



**Affordable Care Act (ACA)
Information Returns
(AIR)**

**AIR Submission Composition and Reference Guide
(Early Look)**

Document No: OS:CTO:ACA:IC:AIR-CR-V0.18-10142015

Version 1.0

October 20, 2015

Signature Page

Prepared by:

Chazara Clark-Smith
Release Manager, AIR ACA 6.2

Date

Reviewed by:

Prince Levy
Program Manager, AIR

Date

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1 Introduction

The ACA Information Returns (AIR) Project is responsible for delivering applications, infrastructure, and supporting processes required to process information returns. In October 2015, AIR began processing Tax Year (TY) 2014 Forms 1094/1095-B and Forms 1094/1095-C; In January 2016, filing TY 2015 Forms 1094/1095-B and Forms 1094/1095-C is mandatory.

The AIR System will have two transmission methods; an Application-to-Application (A2A) channel and a Web Browser-based User Interface (UI) channel. The AIR System will perform structural and initial data validations on the information returns. Both the A2A and UI channels will also support requests for status responses (was the transmission accepted or rejected).

1.1. Identification

The purpose of this document is to provide guidance to all types of external transmitters about composing and successfully transmitting compliant submissions to IRS. The audience of this document is:

- **Issuer:** A business filing their own ACA Information Returns regardless of whether they are required to file electronically (transmit 250 or more of the same type of information return) or volunteer to file electronically. The term issuer includes any person required to report coverage on Form 1095-B and any applicable large employer required to report offers of coverage on Form 1095-C and file associated transmittals on Form 1094-B or 1094-C.
- **Transmitter** – A third-party sending the electronic information return data directly to the IRS on behalf of any business required to file.
- **Software Developer** – An organization writing either origination or transmission software according to IRS specifications.

Issuers, Transmitters, and Software Developers are collectively referred to as transmitters throughout this document. Please note that not all transmitters are software developers and vice versa but some of them can be both.

1.2. Scope

This document covers details on composing and submitting Form 1094/1095-Bs and Form 1094/1095-Cs by transmitters to IRS. The scope of the document addresses the *Application to Application* interface (A2A-application based via SOAP messages exchanged between client and exposed Web Service endpoints) and the *Web User Interface* (Web UI-browser-based requiring human initiation).

AIR accepts the following information return transmittals and documents For TY 2015 (PY 2016):

- Form 1094-B, Transmittal of Health Coverage Information Returns
- Form 1095-B, Health Coverage

- Form 1094-C, Transmittal of Employer-Provided Health Insurance Offer and Coverage Information Returns
- Form 1095-C, Employer-Provided Health Insurance Offer and Coverage

AIR System will process each submission and provide a status and detailed acknowledgement for the Transmitter. Generally, the Form 1095-B and 1095-C will be submitted with their associated transmittals, Form 1094-B and Forms 1094-C; however, in certain circumstances, the Form 1094-C can be submitted alone.

1.3. Purpose

The purpose of this document is to provide sufficient technical information to the transmitters so that they are able to compose and send valid submissions comprised of Form 1094/1095Bs and Form 1094/1095Cs. The document addresses how *Receipt ID* is transmitted from AIR System to the Transmitter as part of the synchronous session initiated by the Transmitter to submit the submission and how the Receipt ID forms the basis for uniquely identifying Form 1094 and Form 1095 records within a transmission.

1.4. Document Organization

This document is structured in sections as described below:

- **Section 1: Introduction** – Outlines the purpose and scope of the document along with the appropriate reference documents.
- **Section 2: Interface Overview** – Provides overview of the interfaces.
- **Section 3: Composing the IRS ACA Information Returns Transmission** – Describes how to compose an Information Return for IRS by a transmitter.
- **Section 4: Transmitting IRS ACA Form Data File and Retrieving Acknowledgment via the ISS-UI Channel** – Provides the details for using IRS Portal's User Interface channel to upload Information Returns.
- **Section 5: Transmitting IRS ACA Form Data File and Retrieving Acknowledgment via the ISS-A2A Channel** – Provides the details for using IRS Application-to-Application SOAP web service interface to submit Information Returns to IRS.
- **Section 6: Transmitting Corrections and Replacements** – Provides details on submission of Corrections and Replacements Transmissions.
- **Section 7 Data Mapping for ISS-UI Services/ISS-A2A Web Services** – Illustrates data elements, their relationship and mappings.
- **Section 8: Example ISS-A2A Web Service Messages** – Provides an example of a SOAP message containing one or more Information Returns.
- **Section 9: General Philosophy on Data Elements in XML Schemas** – Discussion on various aspects of data elements in the schema.
- **Section 10: Validating a Transmission against XML Schema** – Describes validation XML validation rules.
- **Section 11: Reporting Business Rule Validation Errors** – Describes business rules associated with XML.

- **Section 12: SOAP Fault Response Messages** – SOAP fault messages are described in this section.
- **Appendix A:** Listing of ACA 7.0 Error Code Library

1.5. Related Documents

Following documents are related with this guide:

- IRS Publication 5165, *Guide for Electronically Filing Affordable Care Act (ACA) Information Returns for Software Developers and Transmitters (Processing Year 2016)*, October 2015
- Online documentation related with Form 1094/1095-B and Form 1094/1095-C are available at <http://www.irs.gov/for-Tax-Pros/Software-Developers/Information>Returns/Affordable-Care-Act-Information-Return-AIR-Program>
- Form 1094-B - <http://www.irs.gov/uac/About-Form-1094-B>
- Form 1095-B - <http://www.irs.gov/uac/About-Form-1095-B>
- Form 1094-C - <http://www.irs.gov/uac/About-Form-1094-C>
- Form 1095-C - <http://www.irs.gov/uac/About-Form-1095-C>

2 Interface Overview

The data is exchanged between Transmitters and IRS via Simple Object Access Protocol (SOAP) messages with Message Transmission Optimization Mechanism (MTOM) attachment or via XML file upload using the Transmitter's web browser. MTOM is a [World Wide Web Consortium](#) (W3C) standard that provides a method of efficiently sending binary data to and from Web services. The SOAP data structures and XML Schema are specified in this document. As illustrated in Figure 2-1 there are two data communication channels between the external clients and IRS.

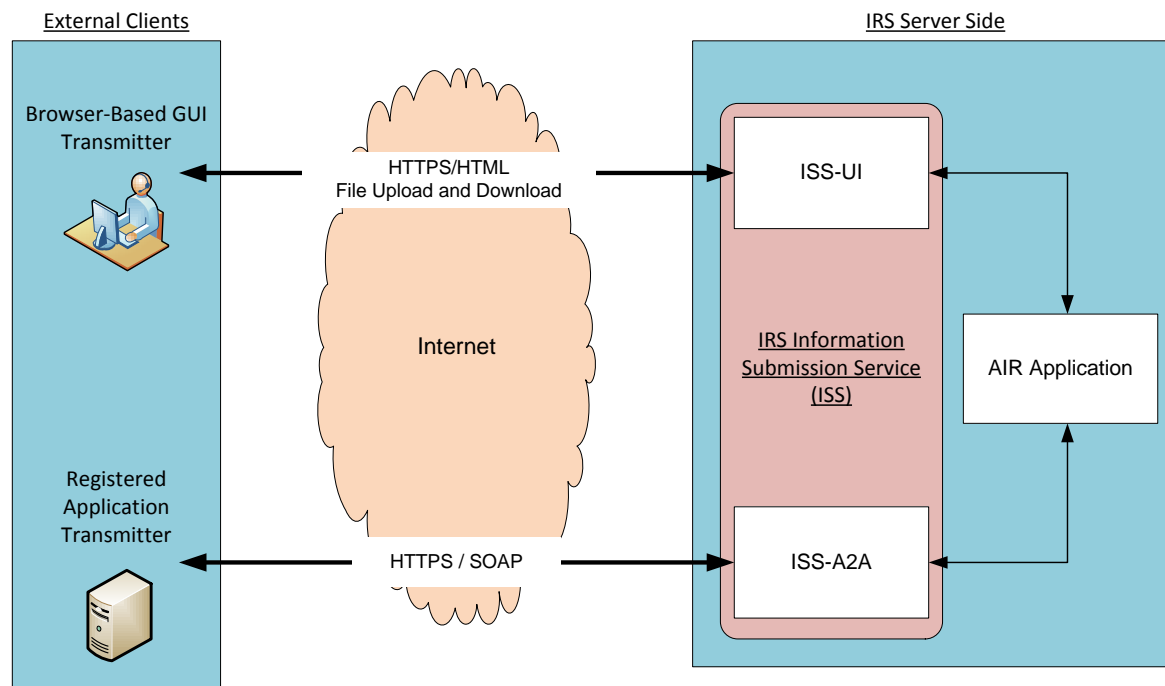


Figure 2-1: External Clients and IRS AIR

2.1 ISS-UI Channel

In this channel, Information Returns are uploaded as XML files using the HyperText Transfer Protocol (HTTP) over Transport Layer Security (TLS). A user interface is exposed in the IRS portal where a user will login and if authenticated and authorized will be allowed to upload files containing Information Returns.

Both Schema's and sample XML are part of schema package posted on IRS.gov

Transmitters must upload two xml files

1. Manifest file: To create Request Manifest file: Transmitters should use Schema IRS-ACAUserInterfaceHeaderMessage.xsd to add/create "ACA Business Header" and "Request Manifest Details"
2. Form Data File: (1094/1095-[B,C])
 - IRS-Form1094-1095BTransmitterUpstreamMessage.xsd

- IRS-Form1094-1095CTransmitterUpstreamMessage.xsd

All Schema's and sample XML are part of schema packages posted on [Affordable-Care-Act-Information>Returns-Schemas](#) webpage.

Note that it is the IRS-ACAUserInterfaceHeaderMessage.xsd to create the Manifest file to be uploaded via the ISS-UI Channel. The resulting Manifest file will include both the Manifest Header and the ACA Business Header and be upload ready.

2.2 ISS-A2A Channel

In this channel, Information Returns are sent as SOAP messages with a MTOM-encoded attachment and HTTP gzip compression (see Section 4.1 for more details on HTTP Message Compression) using the SOAP Web Services request-response model. A web service endpoint is exposed in the external-facing IRS portal. The SOAP message will carry the credentials for authentication and authorization. The Information Returns are transmitted in the file as the MTOM-encoded attachment.

3 Composing the IRS ACA Information Return Transmission

An IRS transmission consists of two parts – the Manifest and the Form Data File.

- The Manifest contains information about the transmitter, transmission and the payload
- The Form Data File contains one or more submissions in XML format
- A submission is either:
 - One Form 1094-B and one or more Form 1095-Bs, or

One Form 1094-C and zero or more Form 1095-Cs Each transmission will have either Form 1094-B/1095-Bs or Form 1094-C/1095-Cs. The transmission may not contain comingled B and C submissions. This section covers composing the Form Data File only. In the context of Form 1095-Bs and 1095-Cs, the recipient is referred to as payee.

3.1 Namespace and Prefix

XML namespaces are used for providing uniquely named elements and attributes in an XML document. An XML instance may contain element or attribute names from more than one XML vocabulary. If each vocabulary is given a namespace, the ambiguity between identically named elements or attributes can be resolved to avoid collisions that can occur when markup intended for another software package uses the same element name or attribute name.

The following table identifies the target namespace associated with the various prefixes for ACA Forms 1094/1095-B/C request and response messages. :

Table 3-1 : Prefix and Target Namespaces

Namespace	Prefix	Uses
urn:us:gov:treasury:irs:srv:gettransmitterbulkrequest	acaSoapReq	SOAP message
urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter	acaBodyReq	SOAP message
urn:us:gov:treasury:irs:msg:acabusinessheader	acaBusHeader	SOAP message
urn:us:gov:treasury:irs:msg:acasecurityheader	acaSec	SOAP message
urn:us:gov:treasury:irs:msg:irstransmitterstatusrequest	acaStsReq	SOAP message
urn:us:gov:treasury:irs:common	irs	SOAP message/Form
urn:us:gov:treasury:irs:ext:aca:air:7.0		Form
urn:us:gov:treasury:irs:msg:form1094-1095BCtransmittermessage	form109495BC	Error Data File
urn:us:gov:treasury:irs:msg:form1094-1095Btransmitterupstreammessage	form109495B	SOAP message
urn:us:gov:treasury:irs:msg:form1094-1095Ctransmitterupstreammessage	form109495C	SOAP message

3.2 Guidelines for Composing IRS Form Data File

The content file or payload contains records, i.e. Form 1094 along with zero or more associated Forms 1095. Below are general guidelines for composing the Form Data File which contains either Form 1094/1095-B submissions or Form 1094/1095-C submissions, but not both:

1. The IRS Form Data File can only contain valid uncompressed and unencrypted XML
2. The IRS Form Data File cannot exceed 100 MB in size

3. Only one IRS Form Data File is allowed as an attachment to a transmission
4. A submission consists of a Form 1094 (transmittal) and zero or more associated Form 1095 records
 - a) A Form 1094-B transmittal can be associated with one or more Form 1095-B
 - b) A Form 1094-C transmittal can be associated with zero or more Form 1095-C. A Form 1094-C transmittal can be submitted by itself and still be considered a valid submission.
5. A transmission will contain at least one submission or as many within 100 MB maximum payload file size allows
6. A transmission cannot comingle Form 1094/1095-B submissions and Form 1094/1095-C submissions otherwise it will be rejected
7. The XML Schema requires two attributes that when missing cause a transmission to be rejected by the IRS. The following attributes are defined in the XML Schema and must be present on each record in the Form Data File:

```
<xsd:attribute name="recordType" type="xsd:string" use="required"/>
<xsd:attribute name="lineNum" type="xsd:integer" use="required"/>
<!-- recordType and lineNum are COTS Mandatory Element-->
```

For every record, recordType must be null and lineNum must be 0 (zero). The content files Root elements: must have the attributes as depicted and highlighted for the Form1094BUpstreamDetail:

```
<?xml version="1.0" encoding="UTF-8"?>
<n1:Form109495BTransmittalUpstream
  xmlns="urn:us:gov:treasury:irs:ext:aca:air:6.2"
  xmlns:irs="urn:us:gov:treasury:irs:common"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:n1="urn:us:gov:treasury:irs:msg:form1094-
1095Btransmitterupstreammessage"
  xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095Btransmitterupstreammessage IRS-Form1094-
1095BTransmitterUpstreamMessage.xsd">
  <Form1094BUpstreamDetail recordType="String" lineNum="0">
    <SubmissionId>1</SubmissionId>
    <irs:TaxYr>1000</irs:TaxYr>
```

Each 1095 record must also have the attributes as depicted and highlighted for the Form1095CUpstreamDetail below:

```
<Form1095CUpstreamDetail recordType="String" lineNum="0">
  <RecordId>1</RecordId>
```

3.3 IRS Form Data File Naming Convention

The Form Data file naming convention defined in the efile Type *AIRDocumentSystemFileNmType* includes the Form Type, a static indicator (Request), the Transmitter's TCC, and the date and time (in GMT time) the transmitter submits the file. The name specifically includes the following components:

```
1094<form type>_Request_<TCC>_<Date>T<TimeStamp>Z.xml
```

For example:

```
1094B_Request_BY01G_20140101T010102000Z.xml
1094C_Request_BY02G_20140101T010102000Z.xml
```

The XML naming pattern to be used to create Form Data files is as follows:

```
<xsd:pattern value="1094[B|C]_Request_[B-DF-HJ-NP-TV-Z]{2}[B-DF-HJ-NP-TV-Z0-9]{3}_[1-9][0-9]{3}(0[1-9]|1[0-2])(0[1-9]|[1-2][0-9]|3[0-1])T(0[0-9]|1[0-9]|2[0-3])(0[0-9]|[1-5][0-9])(0[0-9]|[1-5][0-9])[0-9]{3}Z.xml">
</xsd:pattern>
```

Particularly as it applies to those transmitters that will be invoking the ISS-UI channel, the name of the XML file that contains the form data is up to the transmitter but should be intuitive. Naming the XML file the same as the required *AIRDocumentSystemFileNm* allows the transmitter to easily locate the Form Data File in the event errors are returned that need to be corrected.

3.4 Structure of IRS Form Data File

The Form Data File is structured in a hierarchical fashion, i.e. the file contains one or more submissions. Each submission will have exactly one Form 1094 and zero or more associated Forms 1095. In the example shown below there are total of three submissions with 1, 2, and 3 Form 1095 records respectively:

```
Form Data File
  Submission #1
    Form 1094
      Form 1095
      Form 1095
  Submission #2
    Form 1094
      Form 1095
  Submission #3
    Form 1094
      Form 1095
      Form 1095
      Form 1095
```

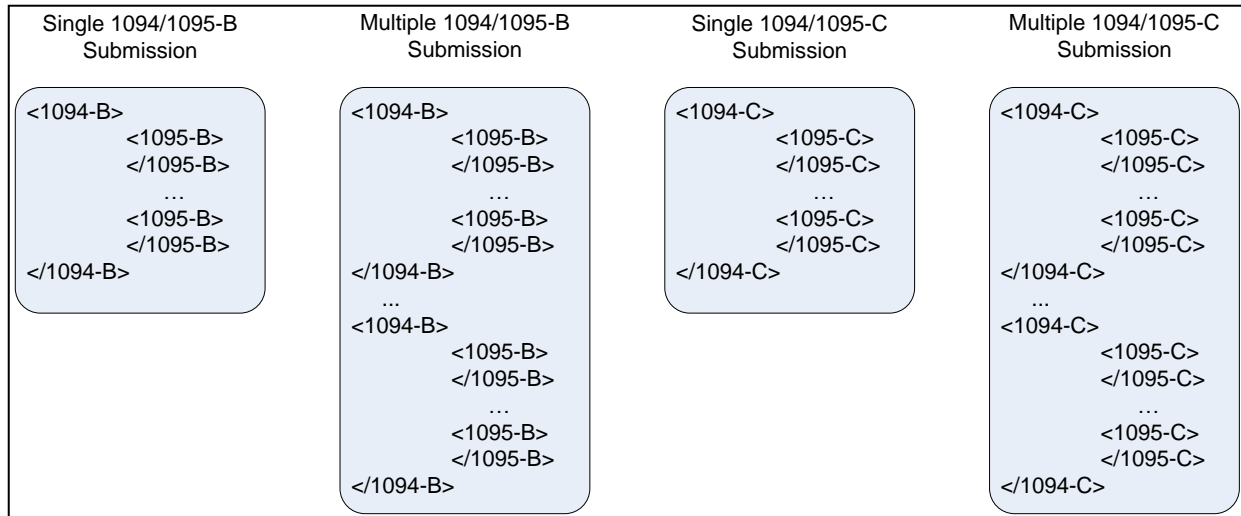


Figure 3-1: Structure of IRS Form Data File [B and C]

3.4.1 Transmission XML Elements

The following table provides details of XML elements that the XML Schema requires in the Manifest Header of the SOAP message when transmitting information returns to the IRS.

Table 3-2 : Transmission Manifest XML Elements

Element Name	eFile Type	eFile Type Definition	minOccurs	maxOccurs	Description
<i>PaymentYr</i>	<i>YearType</i>	Xsd minInclusive value="1000" maxInclusive value="9999"	1	1	This is the filing year for the information returns.
<i>PriorYearDataInd</i>	<i>DigitBooleanType</i>	enumerated string value="0" value="1"	1	1	"false" or "0" for current filing year "true" or "1" for prior filing year
<i>EIN</i>	<i>EINType</i>	string pattern "[0-9]{9}"	1	1	Employer Identification Number belonging to the transmitter
<i>TransmissionTypeCd</i>	<i>TransmissionTypeCdType</i>	enumerated string value="O" value="C" value="R"	1	1	Type of records: "O" - Original "C" - Corrections "R" - Replacement
<i>TestFileCd</i>	<i>TestFileCdType</i>	string pattern "([TP])?"	1	1	"T" - AATS "P" - Production

Element Name	eFile Type	eFile Type Definition	minOccurs	maxOccurs	Description
<i>OriginalReceiptID</i>	<i>String80Type</i>	string maxLength value="80"	0	1	Reference to the Receipt ID of the transmission for which replacement transmissions are submitted - Do not include XML tags on transmissions with original records
<i>TransmitterForeignEntityInd</i>	<i>DigitBooleanType</i>	enumerated string value="0" value="1"	0	1	"1" identifies the transmitter as a foreign entity, Do not include XML tags if transmitter is not a foreign entity
<i>TransmitterNameGrp</i>	<i>BusinessNameType</i>	Complex Element	1	1	The transmitter's name
<i>CompanyInformationGrp</i>	<i>CompanyInformationGrpType</i>	Complex Element	1	1	The address, name of person to contact, and phone number to call
<i>VendorInformationGrp</i>	<i>VendorInformationGrpType</i>	Complex Element	1	1	Identifies if software was developed by a vendor or in-house as well as contact information regarding the

Element Name	eFile Type	eFile Type Definition	minOccurs	maxOccurs	Description
					software
<i>TotalPayeeRecordCnt</i>	<i>TotalNumberNonNegativeType</i>	nonNegativeInteger	1	1	The total number of Forms 1095 included in the entire transmission
<i>TotalPayerRecordCnt</i>	<i>TotalNumberType</i>	positiveInteger	1	1	The total number of Forms 1094 included in the entire transmission
<i>SoftwareId</i>	<i>SoftwareIdNumberType</i>	"StringWithNoHashCharacterType" maxLength value="10"	1	1	The Software ID assigned to the software when the software was registered with the IRS
<i>FormTypeCd</i>	<i>FormNameType</i>	enumerated string value="1094/1095B" value="1094/1095C"	1	1	Will contain "1094/1095B" if Forms 1094/1095-B are included in the transmission or "1094/1095C" if Forms 1094/1095-C are included in the transmission
<i>BinaryFormatCd</i>	<i>BinaryFormatCodeType</i>	enumerated string value="application/xml"	1	1	Will contain "application/xml"
<i>ChecksumAugmentationNum</i>	<i>MD5Type</i>	string pattern "[0-9A-Fa-f]{32}"	1	1	The MD5 Checksum computed on

Element Name	eFile Type	eFile Type Definition	minOccurs	maxOccurs	Description
					the Form Data File attached to the transmission
<i>AttachmentByteSizeNum</i>	integer	integer	1	1	The size in bytes of the Form Data File attached to the transmission
<i>DocumentSystemFileNm</i>	<i>AIRDocumentSystemFileNmType</i>	String value="1094[B/C]_Request_[B-DF-HJ-NP-TV-Z]{2}[B-DF-HJ-NP-TV-Z0-9]{3}_{1-9}[0-9]{3}(0[1-9] 1[0-2])(0[1-9] 1[1-2][0-9] 3[0-1])T(0[0-9] 1[0-9] 2[0-3])(0[0-9] 1[1-5][0-9])(0[0-9] 1[1-5][0-9])[0-9]{3}Z.xml"	1	1	The name of the Form Data File attached to the transmission

3.4.2 Uniquely Identifying Transmissions, Submissions, and Records

The XML Schemas for Form 1094/1095-B and Form 1094/1095-C include elements designed to uniquely identify ACA Information Returns transmissions, submissions within the transmission, and records within the submission. The transmitter that generates the Form Data File for transmission to the IRS must generate these IDs and maintain them along with their associated transmissions. Unique IDs are used to simplify and increase the precision of communications, corrections, and replacements.

A transmission will contain one or more Form 1094/1095-B submissions (transmittals/payee records) or one or more Form 1094/1095-C submissions (transmittals/payer records). A transmission may not contain comingled B and C submissions. The Submission Identifier (SID) construct is applicable to all submissions (Forms 1094) within a transmission whether that transmission contains B or C Information Returns. Each submission will include an SID that will start at one and increment by one for each subsequent submission in the transmission.

SID = 1..n (e.g. 2, 17, 31, 86, ...)

A submission will contain one or more Form 1095 Information Returns along with its corresponding Transmittal Form 1094. The Record Identifier (RID) construct is applicable to all Form 1095 records within a submission irrespective of if that Submission contains B or C Information Returns. Each Form 1095 record in a submission will include a RID that will start at one and increment by one for every subsequent record in the submission.

RID = 1..n (e.g. 2, 17, 31, 86, 1023, 9842, ...)

When the IRS successfully receives a transmission for processing, a unique Receipt ID is generated by the IRS which uniquely identifies the transmission. The Receipt ID is used by the transmitter to retrieve the processing status of the transmission after it is processed. In addition, the IRS uses the Receipt ID along with the submission and record IDs to uniquely identify the records in a transmission.

RECEIPTID = 1095B-10-00001234
RECEIPTID = 1095C-03-00044923
RECEIPTID = 1095C-29-00000385

When an error is identified, both Form 1094 and Form 1095 records are uniquely identified within a transmission by concatenating the Receipt ID, SID, and RID (as applicable) and returning them to the transmitter as follows:

UniqueSubmissionId = RECEIPTID|SID

UniqueRecordId = RECEIPTID|SID|RID

Unique Submission Identifier (USID) and Unique Record Identifier (URID) enable:

- IRS to report errors to transmitters that are unambiguously related to the specific record(s) within the submission and the transmission
- Transmitters to send corrected records to the IRS precisely identifying the record to be corrected
- Both IRS and transmitters to track transmissions and submissions

For example, the Form 1094 data located in submission 12 of a transmission would have a USID as follows:

USID=*ReceiptId*|12



Figure 3-2: Unique Submission Identifier

The 1095 data located in record 98 of submission 12 of a transmission would have a URID as follows:

URID=*ReceiptId*|12|98

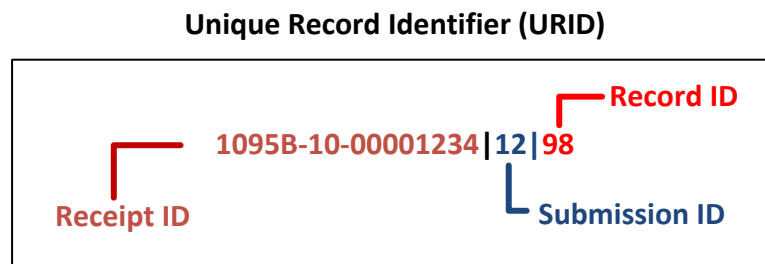


Figure 3-3: Unique Record Identifier

3.4.3 Sample IRS Form Data File

Please refer to the Section 8.1.2 for an example of the Form 1094-B and Form 1095-B XML that will be included in the Form Data File.

3.4.4 Computing Checksum

There are many algorithms readily available that can be used to calculate an MD5 Checksum:

- a. The algorithm that a transmitter uses will differ depending on their technology stack. For example, if the transmitter is building the interface on Windows/.NET Framework, they will need to obtain a MD5 Checksum algorithm that runs on the Windows platform. If the transmitter is building their interface on Linux/Java, they will need to obtain a MD5 Checksum that runs on the Linux platform.
- b. Do not attempt to program the calculation. The specifications are included in the IETF RFC 1321 and are quite complex. The transmitter will have to do the research to locate and obtain an algorithm that they can use.

Note: The transmitter calculated Checksum must exactly match the IRS calculated Checksum for the same file.

4 Transmitting IRS ACA Form Data File and Retrieving Acknowledgment via the ISS-UI Channel

The ISS-UI Channel is a Web Browser based user interface that allows transmitters to submit Form Data Files to the IRS and retrieve acknowledgments. The purpose of this section is to describe the requirements for submitting an ACA Information Returns Form Data File to the IRS.

4.1 Message Compression

“HTTP compression is used to maximize transfer efficiency and is a standard capability within the HTTP protocol. GZIP is a specific compression method defined under HTTP that is required of messages to the IRS for ACA. A comprehensive explanation of the GZIP specification is provided by the Internet Engineering Task Force: <https://tools.ietf.org/html/rfc1952>”.

Depending on what tool the Transmitter is using to submit the message, packaged software like SoapUI allows users to simply enable HTTP transmission compression via a setting while custom solutions would require the appropriate code.

4.2 Transmitter Operations

As shown below, Figure 4-1: ISS-UI Channel Features, the operations available to transmitters, provided by the ISS-UI Channel are: A) Upload ACA Forms, and B) Check Transmission Status.

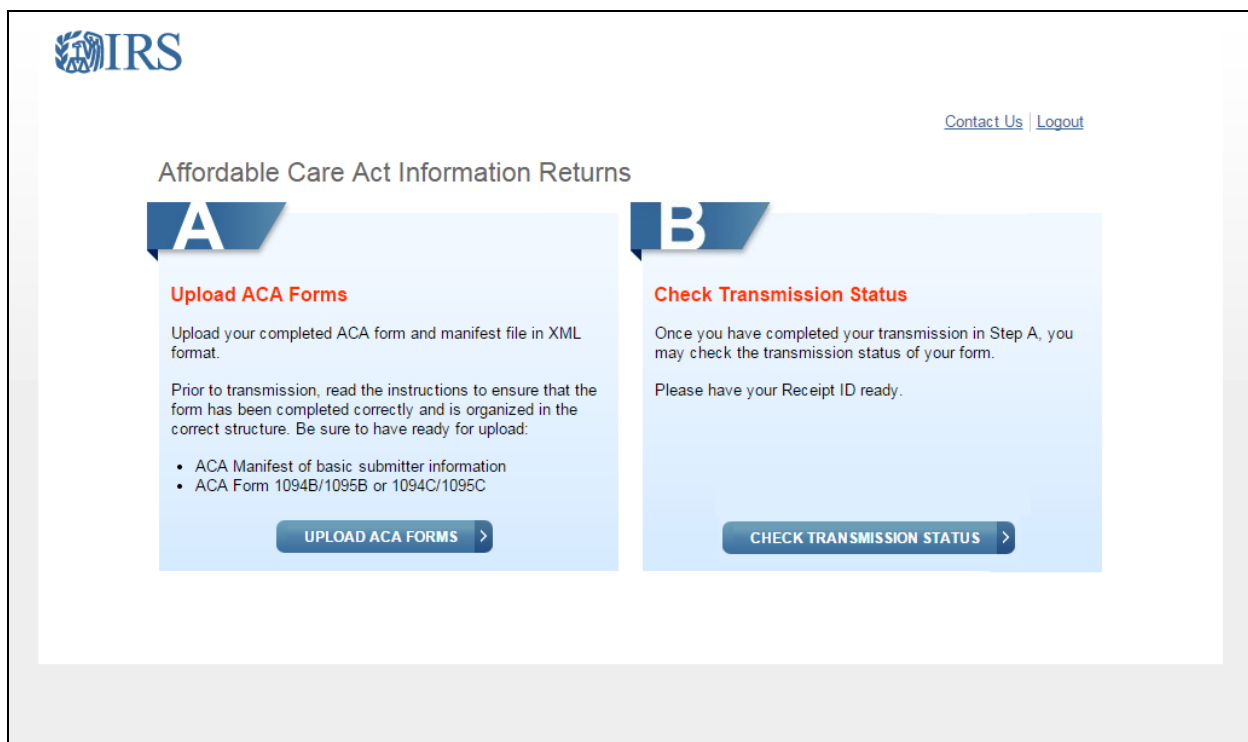


Figure 4-1: ISS-UI Channel Features

4.3 Transmitting Form Data File to the IRS

Figure 4-2: ISS-UI Channel Transmission Submission Screen, shows the capability of the ISS-UI Channel that allows a transmitter to upload the manifest file and the associated Form Data File containing either Forms 1094/1095-B or Forms 1094/1095-C submissions. The number of records that can be included in the Form Data File is only constrained by the 100 MB file size limit. The transmitter will have to provide information about the transmission, and the transmission itself, through ISS-UI channel. The transmitter provides a Unique Transmission Identifier (UTID) in the transmission manifest file which will be uploaded along with transmission file. IRS recommends that external users validate their XML files against the schemas provided by the IRS prior to submitting them to the IRS. Taking this step will help avoid discovering errors after the XML file is submitted. Performing this validation on the user end makes it easier and faster to identify and locate schema types of errors. Any tool which allows the external user to validate their XML files against the schemas, such as “Altova XML Spy” or “SoapUI” should be suitable.

Once the transmission manifest and transmission files are uploaded and validated by the IRS, the IRS generates a Receipt ID which will be displayed to the transmitter. The Receipt ID is used to retrieve the acknowledgement after IRS process the transmission. It is also used in combination with other identifiers to uniquely identify records in a transmission. Note: it is very important that the Receipt ID be recorded and associated with the correct transmission. It will be needed to retrieve acknowledgements and may be needed for any corrections or replacements.

IRS

[Contact Us](#) | [Home](#) | [Logout](#)

ACA Transmission File Upload

Upload Manifest

Browse for your Manifest file in XML format.

Note: Only one Manifest file may be selected to coincide with this transmission.

Manifest File

Upload Form

Browse for your Form file containing Form 1094B and all associated 1095Bs or Form 1094C and all associated 1095Cs in XML format. Once you have selected your files select "Transmit" to process the transmission.

Note: Only one Form file may be selected to coincide with this transmission. Your total transmission file size may not exceed 100MB and may only include XML file types.

Form File

Transmission

Once you click "Transmit", you will NOT be able to cancel your transmission.

If your file is successfully received after clicking "Transmit", a confirmation page will appear that contains the Receipt ID for this transmission. It is recommended that you save your Receipt ID in a secure location. If there was a problem with your file, an error page will be shown. Please correct the problem and try your transmission again.

Note: Due to file size limitations, please allow sufficient time for larger Form files to be transmitted.

Figure 4-2: ISS-UI Channel Transmission Submission Screen

4.3.1 Sample Manifest File

Transmitters must upload two xml files

1. Manifest file: To create the Request Manifest XML file: Transmitters should use Schema IRS-ACAUserInterfaceHeaderMessage.xsd to add/create "ACA Business Header" and "Request Manifest Details"
2. Form Data File: (1094/1095-[B,C])
 - IRS-Form1094-1095BTransmitterUpstreamMessage.xsd
 - IRS-Form1094-1095CTransmitterUpstreamMessage.xsd

All Schema's and sample XML are part of schema package posted on IRS.gov.

Note that it is the IRS-ACAUserInterfaceHeaderMessage.xsd to create the Manifest file to be uploaded via the ISS-UI Channel. The resulting Manifest file will include both the Manifest Header and the ACA Business Header and be upload ready.

The following is a sample of the Manifest XML file that would be uploaded via the ISS-UI channel:

```
<?xml version="1.0" encoding="UTF-8"?>
<p:ACAUIBusinessHeader xmlns:p="urn:us:gov:treasury:irs:msg:acabusinessheader"
xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0"
xmlns:p2="urn:us:gov:treasury:irs:common"
xmlns:p3="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
1.0.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="urn:us:gov:treasury:irs:msg:acabusinessheader IRS-
ACAUserInterfaceHeaderMessage.xsd ">
  <p1:ACATransmitterBusinessHeaderRequest p3:Id="idvalue0">
    <p1:UniqueTransmissionId>550e8400-e29b-41d4-a716-
446655440000:SYS12:BCZ5Y::T</p1:UniqueTransmissionId>
    <p2:Timestamp>2016-03-23T11:08:09Z</p2:Timestamp>
  </p1:ACATransmitterBusinessHeaderRequest>
  <p1:ACATransmitterManifestReqDtl p3:Id="idvalue1">
    <p1:PaymentYr>2015</p1:PaymentYr>
    <p1:PriorYearDataInd>0</p1:PriorYearDataInd>
    <p2:EIN>450931245</p2:EIN>
    <p1:TransmissionTypeCd>0</p1:TransmissionTypeCd>
    <p1:TestFileCd>T</p1:TestFileCd>
    <p1:TransmitterForeignEntityInd>0</p1:TransmitterForeignEntityInd>
    <p1:TransmitterNameGrp>
      <p1:BusinessNameLine1Txt>Wenz Transmitter</p1:BusinessNameLine1Txt>
    </p1:TransmitterNameGrp>
    <p1:CompanyInformationGrp>
      <p1:CompanyNm>Acme</p1:CompanyNm>
      <p1:MailingAddressGrp>
        <p1:USAddressGrp>
          <p1:AddressLine1Txt>489 Anyplace Ave</p1:AddressLine1Txt>
          <p2:CityNm>Mycity</p2:CityNm>
          <p1:USStateCd>AL</p1:USStateCd>
          <p2:USZIPCd>73491</p2:USZIPCd>
        </p1:USAddressGrp>
      </p1:MailingAddressGrp>
      <p1:ContactNameGrp>
        <p1:PersonFirstNm>Nofirstname</p1:PersonFirstNm>
        <p1:PersonLastNm>Nolastname</p1:PersonLastNm>
      </p1:ContactNameGrp>
      <p1:ContactPhoneNum>5555551212</p1:ContactPhoneNum>
    </p1:CompanyInformationGrp>
    <p1:VendorInformationGrp>
      <p1:VendorCd>I</p1:VendorCd>
      <p1:ContactNameGrp>
        <p1:PersonFirstNm>Firstnamenone</p1:PersonFirstNm>
        <p1:PersonLastNm>Lastnamenone</p1:PersonLastNm>
      </p1:ContactNameGrp>
      <p1:ContactPhoneNum>5555551212</p1:ContactPhoneNum>
    </p1:VendorInformationGrp>
    <p1:TotalPayeeRecordCnt>1620</p1:TotalPayeeRecordCnt>
    <p1:TotalPayerRecordCnt>1</p1:TotalPayerRecordCnt>
    <p1:SoftwareId>5432109876</p1:SoftwareId>
  </p1:ACATransmitterManifestReqDtl>
</p>
```



```

    <p1:FormTypeCd>1094/1095B</p1:FormTypeCd>
    <p2:BinaryFormatCd>application/xml</p2:BinaryFormatCd>

    <p2:ChecksumAugmentationNum>79054025255fb1a26e4bc422aef54eb4</p2:ChecksumAugmentation
    Num>
    <p2:AttachmentByteSizeNum>0</p2:AttachmentByteSizeNum>

    <p1:DocumentSystemFileNm>1094B_Request_BCZ5Y_20140101T010102000Z.xml</p1:DocumentSyst
    emFileNm>
    </p1:ACATransmitterManifestReqDt1>
  </p:ACAUIBusinessHeader>

```

Figure 4-3: Sample Manifest Data File

4.3.2 Sample Form Data File

The following is a sample of the Form Data File XML file that would be uploaded via the ISS-UI channel::

```

<?xml version="1.0" encoding="UTF-8"?>
<!--Sample XML file generated by XMLSpy v2014 rel. 2 sp1 (http://www.altova.com)-->
<n1:Form109495BTransmittalUpstream xmlns="urn:us:gov:treasury:irs:ext:aca:air:7.0"
xmlns:irs="urn:us:gov:treasury:irs:common"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:n1="urn:us:gov:treasury:irs:msg:form1094-1095Btransmitterupstreammessage"
xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095Btransmitterupstreammessage IRS-Form1094-1095BTransmitterUpstreamMessage.xsd">
  <Form1094BUpstreamDetail recordType="" lineNum="0">
    <SubmissionId>523</SubmissionId>
    <irs:TaxYr>2015</irs:TaxYr>
    <BusinessName>
      <BusinessNameLine1Txt>GHOST WRITERS
ASSOCIATES</BusinessNameLine1Txt>
    </BusinessName>
    <BusinessNameControlTxt>GHOS</BusinessNameControlTxt>
    <irs:TINRequestTypeCd>BUSINESS_TIN</irs:TINRequestTypeCd>
    <irs:EmployerEIN>025142116</irs:EmployerEIN>
    <ContactNameGrp>
      <PersonFirstNm>Deborah</PersonFirstNm>
      <PersonLastNm>Cobb</PersonLastNm>
    </ContactNameGrp>
    <ContactPhoneNum>5555551212</ContactPhoneNum>
    <MailingAddressGrp>
      <USAddressGrp>
        <AddressLine1Txt>244 Shale Circle</AddressLine1Txt>
        <AddressLine2Txt>Number 295</AddressLine2Txt>
        <irs:CityNm>Notown</irs:CityNm>
        <USStateCd>DE</USStateCd>
        <irs:USZIPCd>19896</irs:USZIPCd>
        <irs:USZIPExtensionCd>6703</irs:USZIPExtensionCd>
      </USAddressGrp>
    </MailingAddressGrp>
  </Form1094BUpstreamDetail>
</n1:Form109495BTransmittalUpstream>

```

```

</MailingAddressGrp>
<Form1095BAttachedCnt>5</Form1095BAttachedCnt>
<Form1095BUpstreamDetail recordType="" lineNum="0">
  <RecordId>1</RecordId>
  <CorrectedInd>0</CorrectedInd>
  <irs:TaxYr>2015</irs:TaxYr>
  <ResponsibleIndividualGrp>
    <ResponsibleIndividualName>
      <PersonFirstNm>Janice</PersonFirstNm>
      <PersonLastNm>Lastnameunknown</PersonLastNm>
    </ResponsibleIndividualName>
    <PersonNameControlTxt>LAST</PersonNameControlTxt>

  <irs:TINRequestTypeCd>INDIVIDUAL_TIN</irs:TINRequestTypeCd>
  <irs:SSN>000458764</irs:SSN>
  <irs:BirthDt>1957-08-13</irs:BirthDt>
  <MailingAddressGrp>
    <USAddressGrp>
      <AddressLine1Txt>0001 Noname
Lane</AddressLine1Txt>
      <irs:CityNm>Nosuchcity</irs:CityNm>
      <USStateCd>WA</USStateCd>
      <irs:USZIPCd>98961</irs:USZIPCd>
    </USAddressGrp>
  </MailingAddressGrp>
  <PolicyOriginCd>A</PolicyOriginCd>
</ResponsibleIndividualGrp>
<SponsoringEmployerInfoGrp>
  <BusinessName>
    <BusinessNameLine1Txt>Mobey Has No
Business</BusinessNameLine1Txt>
  </BusinessName>
  <BusinessNameControlTxt>MOBE</BusinessNameControlTxt>
  <irs:EIN>000459832</irs:EIN>
  <irs:TINRequestTypeCd>BUSINESS_TIN</irs:TINRequestTypeCd>
  <MailingAddressGrp>
    <USAddressGrp>
      <AddressLine1Txt>0001 Noname
Lane</AddressLine1Txt>
      <irs:CityNm>Nosuchcity</irs:CityNm>
      <USStateCd>WA</USStateCd>
      <irs:USZIPCd>98961</irs:USZIPCd>
    </USAddressGrp>
  </MailingAddressGrp>
</SponsoringEmployerInfoGrp>
<CoveredIndividualGrp>
  <CoveredIndividualName>
    <PersonFirstNm>Robert</PersonFirstNm>
    <PersonLastNm>Lastnameunknown</PersonLastNm>
  </CoveredIndividualName>
  <PersonNameControlTxt>LAST</PersonNameControlTxt>

  <irs:TINRequestTypeCd>INDIVIDUAL_TIN</irs:TINRequestTypeCd>
  <irs:SSN>345002954</irs:SSN>
  <irs:BirthDt>1964-08-13</irs:BirthDt>

```

```

        <CoveredIndividualAnnualInd>1</CoveredIndividualAnnualInd>
      </CoveredIndividualGrp>
    </Form1095BUpstreamDetail>
    <irs:SignatureDt>2015-01-31</irs:SignatureDt>
  </Form1094BUpstreamDetail>
</n1:Form109495BTransmittalUpstream

```

Figure 4-4: Sample Form Data File

4.4 Retrieving Acknowledgment from the IRS

Transmitters must submit a request to retrieve the processing status and error detail of their transmission which is known as the Acknowledgement. In order to retrieve the Acknowledgement, the transmitter must provide their Transmitter Control Code (TCC) and the Receipt ID from the transmission for which they are requesting the processing status.

Figure 4-5: ISS-UI Channel Transmission Status Retrieval Screen is depicting the Transmission Retrieval feature of the ISS-UI Channel.

The screenshot shows the IRS Transmission Status Record retrieval screen. At the top left is the IRS logo. In the top right corner, there are links for [Contact Us](#), [Home](#), and [Logout](#). The main heading is "Transmission Status Record". Below this, a message states: "You may enter your TCC and a Receipt ID applicable for the current tax year in the search tool to find a specific transmission. You must enter the Receipt ID **exactly** how it is written in your transmission confirmation." There are two input fields: one for "TCC" and a larger one for "Receipt ID". Below the input fields are two buttons: "FIND" and "CLEAR".

Figure 4-5: ISS-UI Channel Transmission Status Retrieval Screen

4.5 Transmitter IRS Form Data File Specification

Please refer to Section 3 – Composing the IRS ACA Information Return Transmission for details on composing an IRS ACA Form Data File that will be submitted by a transmitter to the IRS.

4.6 Transmitting Information Returns to the IRS

In order to transmit a Form Data File through the ISS-UI channel, a transmitter must have an active IRS account and have been approved to submit or retrieve acknowledgements. The transmitter will be required to interactively log in to the IRS web site with their web browser and invoke the appropriate URL for the IRS AIR System. The application will allow transmitters to submit Information Returns to the IRS.

The transmitter must have two XML files to submit a single transmission via the ISS-UI Channel.

The Manifest file will contain information in uncompressed native XML format related to the transmitter and the transmission. The Manifest file will include the Manifest Header XML as defined by the XML Schema located at [Affordable-Care-Act-Information>Returns-Schemas - \(AIR\)](#) in the IRS-Form1094-1095BCTransmitterReqMessage.xsd file located in the MSG folder of the schema. Many of the elements in the Transmission Data File will not change from transmission to transmission and thus can be reused. Elements such as the transmitter information (TransmitterNameGrp, TransmitterForeignEntityInd, MailingAddressGrp, SoftwareID, etc.) should not change frequently if at all. Other elements in the Manifest file are likely to change for every transmission. Elements such as the transmission information (total payer and payee counts, checksum, file byte size, document file name (naming convention being worked), etc.) will be different for every transmission. Section 8.1.1, *IRS-ACASubmitService SOAP Request Message – Manifest Header*, includes the elements that are required in the Transmission Data File.

The Form Data File will contain Form 1094/1095-B or Form 1094/1095-C data in uncompressed native XML format relative to the Form 1094/1095 data. Once the required files are uploaded to the IRS, the transmitter will receive a *Receipt ID*, and other information about the transmission, as part of the interactive session.

The Receipt ID does not provide proof that the ACA Information Returns in the transmission were either Accepted or Rejected. The Receipt ID simply provides proof that the IRS received the transmission. The transmitter must retrieve their Acknowledgement using the Receipt ID to obtain proof of acceptance or rejection.

The web interface will only accept uncompressed native XML files. Security checking (XML Threat detection, virus scanning, etc.) is performed on each file before routing to IRS back-end systems.

Transmitters that take advantage of the ISS-UI Channel to submit their transmissions will have to log into the IRS from a web browser on their local system. The URL for the IRS AIR System that facilitates interaction with the transmitter community will be provided on the [Affordable-Care-Act-Information-Return-AIR-Program](#) page and other guidance documents produced by the IRS and made available to the transmitters. The ISS-UI AIR System will guide the transmitter through the steps necessary to upload the required files from their local system.

The Form Data File that a transmitter uploads through the ISS-UI Channel must contain valid XML. Open tags must be followed by closed tags. For example, the open tag <TaxYear> must be followed by the data and the closed tag </TaxYear> (i.e., <TaxYear>2014</TaxYear>). The Form Data File must conform to the XML Schema definitions included in the applicable xsd files. Table 4-1 maps the ACA forms to the XML Schema file names.

Table 4-1: Forms to XML Schema File Mapping

Applicable Forms	XML Schema Root Element File
Form 1094-B/1095-B	IRS-Form1094-1095BTransmitterUpstreamMessage.xsd
Form 1094-C/1095-C	IRS-Form1094-1095CTransmitterUpstreamMessage.xsd

All of the required information for a transmission will be included in the file that is uploaded including the transmission information, the form type, the Form 1094 form elements and the Form 1095 form elements.

4.6.1 Validation of IRS Form Data File

IRS performs security checks on the Form Data File received from the transmitter. If no security threats are detected, IRS generates the *Receipt ID* and returns it along with Timestamp to the transmitter. Each Receipt ID uniquely identifies a Form Data File submitted to the IRS. The Receipt ID is used to retrieve the status of the transmission. As such, the Receipt ID returned to the transmitter should be kept with the Form Data File submitted and protected from loss or deletion.

When the IRS receives the uploaded Form Data File, the following checks are performed:

1. The file must be free of viruses
2. The file size must not exceed 100 MB
3. The file must be readable by the IRS AIR System
4. The IRS application must be able to write the file to disk
5. The file must pass XML validations
6. The file must not contain comingled Forms 1094/10595-B and Forms 1094/1095-C
7. UUID is verified to be unique for the TCC
8. Metadata entered by the transmitter is checked for any discrepancies
9. Duplicate transmission check is performed using checksum and TCC

After above checks are performed, a Receipt ID and Timestamp is generated and returned to the transmitter via user-interface and the Form Data File is queued for processing.

4.6.2 Processing of IRS Form Data File

Once the file is processed, status is made available for the transmitter to retrieve through Retrieve Acknowledgement web service described below. When errors are identified during file processing such as read/write errors, invalid XML, etc. the transmission is rejected and appropriate error(s) and description(s) are returned to the transmitter through the user interface.

When business rule errors are identified during processing the XML Form Data File, IRS will record these error codes and their descriptions and return them in an XML Error Data File attached to the Acknowledgement message which transmitter will retrieve. The transmitter will have an opportunity to correct the errors and resubmit the Form Data File.

The IRS AIR System assigns a Shipment Number to every transmission. The Shipment Number allows IRS business processes for ACA to align with business processes for other types of Information Returns received by the IRS. The Shipment Number is derived by appending a static "00" to the transmitter's TCC.

4.7 Retrieving Acknowledgement from the IRS

After the transmitter successfully uploads a Form Data File and receives a *Receipt ID*, they will use the Receipt ID to request the *Acknowledgement* for that transmission. The transmitter is required to log in to the IRS web site and invoke the appropriate URL provided by the IRS. The transmitter shall select the option to retrieve one or more acknowledgements from user interface. The *Receipt ID* is the key information required for a transmitter to retrieve the Acknowledgement for a specific transmission. As such, when the transmitter receives the Receipt ID, it should be kept with the transmission and protected from loss or deletion.

Figure 4-6: ISS-UI Channel Transmission Status Results Screen, is showing a sample transmission status retrieved using the ISS-UI Channel Transmission Status Retrieval feature.

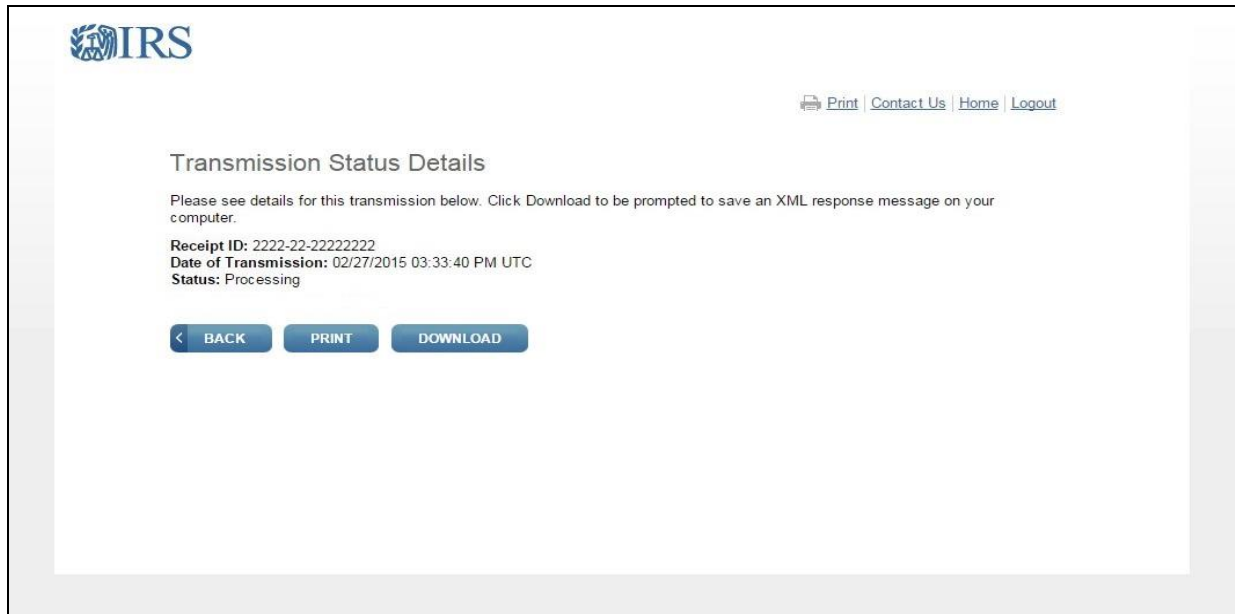


Figure 4-6: ISS-UI Channel Transmission Status Results Screen

The status of the transmission includes one of the outcomes identified in Table 4-2.

Table 4-2: Transmission Processing Status Included in Acknowledgement

Transmission Status	Description
Processing	IRS has not completed processing the transmission
Accepted	IRS has completed processing the transmission and found no errors
Accepted with Errors	IRS has completed processing the transmission and the errors are documented in the Error Data File attached to the Acknowledgement

Partially Accepted	IRS has completed processing the transmission and has rejected at least one (but not all) the submissions included. depending on why there was a rejection, an Error Data File may or may not be attached to the Acknowledgement
Rejected	IRS has rejected the transmission – depending on why the transmission was rejected, an Error Data File may or may not be attached to the Acknowledgement
Not Found	IRS could not find a transmission corresponding to the Receipt ID provided

The transmission Acknowledgement includes the status of the transmission, and if applicable, details of errors such as any business rules validation errors, and TIN validation results that were found when the IRS processed the Form Data File.

The details of errors found when IRS processed the Form Data File are included in an Error Data File that can be viewed and downloaded through the ISS-UI user-interface. The Acknowledgement includes the errors found, in XML format, during Form Data File processing. If no errors are found during processing, no Error Data File will be included with the Acknowledgement and the transmission processing status will be “Accepted”.

In the event that the error detail included in the Error Data File exceeds the 100 MB file size limit, the file will be truncated and a message indicating that the file was truncated will be inserted at the end of the file. Anytime an Error Data File exceeds the 100 MB file size constraint, it is usually indicative of a systemic problem with the input. If the Transmitter has questions about errors that were truncated, they can contact the AIR Help Desk for assistance with any error detail that was truncated.

The transmission Acknowledgement will include:

- Unique Transmission ID (UTID)
- Transmitter Control Code (TCC)
- Shipment Number assigned to the transmission
- Receipt ID
- Form Type Code
- Timestamp
- Transmission Status Code: Processing, Partially Accepted, Accepted, Accepted with Errors, Rejected, Not Found
- Error Message Detail
- Errors (if applicable – included in the Error Data File)

4.8 IRS Error Data File

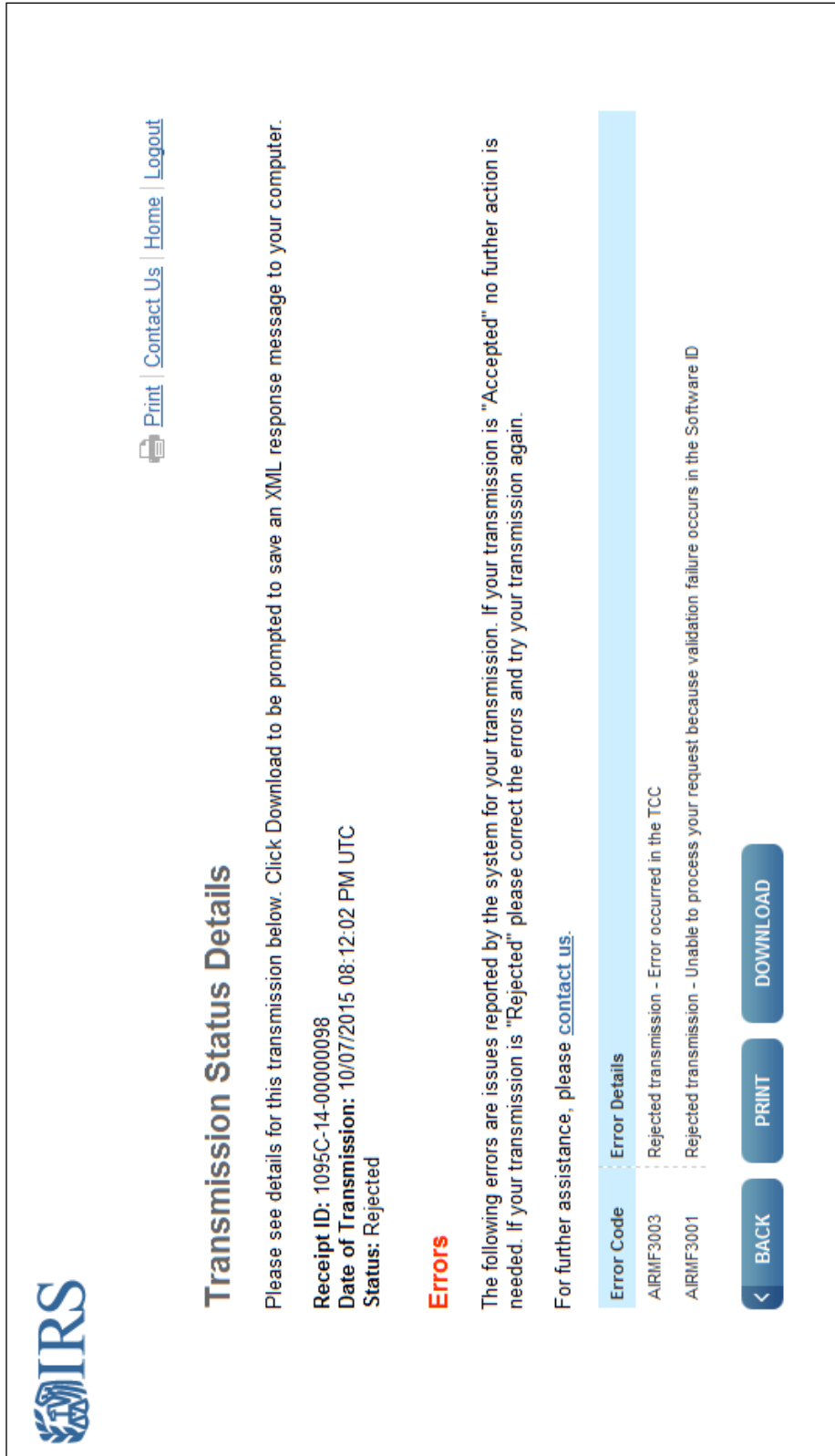
IRS accumulates any errors encountered during Form Data File processing in the Error Data File. As with the Form Data File 100 MB size constraint, Error Data Files are also constrained by the 100 MB size limitation. Only one Error Data File will ever be created per transmission. It is possible that the Error Data File could contain a sufficiently large number of errors that the 100 MB file size limitation is exceeded. In this case, while the IRS AIR System will process the entire Form Data File and record all of the errors found, the Error Data File will be truncated and a message will be placed at the end of the file indicating that it was truncated. Error Data Files that exceed the 100 MB size constraint are typical of a systemic problem within the Form Data

File that when addressed will substantially reduce the number of errors found. The Error Data File is returned in AATS and Production Environment.


The Error Data File includes the following data:

- The identifier that uniquely identifies the record with an error (Unique Submission Identifier or Unique Record Identifier)
- The error message code indicating what the error is
- The error message text explaining what the error is
- The element that was in error

Figure 4-7 provides an example of the information that will be displayed on-screen in the ISS-UI. Note the “Download” button that enables the transmitter to download the Error Data XML File.



The screenshot displays the IRS Transmission Status Details page. At the top left is the IRS logo. To the right are navigation links: Print, Contact Us, Home, and Logout. The main heading is "Transmission Status Details". Below it, a message states: "Please see details for this transmission below. Click Download to be prompted to save an XML response message to your computer." The transmission details are: Receipt ID: 1095C-14-00000098, Date of Transmission: 10/07/2015 08:12:02 PM UTC, and Status: Rejected. A red "Errors" section follows, with a message: "The following errors are issues reported by the system for your transmission. If your transmission is 'Accepted' no further action is needed. If your transmission is 'Rejected' please correct the errors and try your transmission again." Below this is a link for further assistance: "For further assistance, please contact us." A table lists error details for AIRMF3003 and AIRMF3001. At the bottom are three buttons: BACK, PRINT, and DOWNLOAD.

 [Print](#) | [Contact Us](#) | [Home](#) | [Logout](#)

Transmission Status Details

Please see details for this transmission below. Click Download to be prompted to save an XML response message to your computer.

Receipt ID: 1095C-14-00000098
Date of Transmission: 10/07/2015 08:12:02 PM UTC
Status: Rejected

Errors

The following errors are issues reported by the system for your transmission. If your transmission is "Accepted" no further action is needed. If your transmission is "Rejected" please correct the errors and try your transmission again.

For further assistance, please [contact us](#).

Error Code	Error Details
AIRMF3003	Rejected transmission - Error occurred in the TCC
AIRMF3001	Rejected transmission - Unable to process your request because validation failure occurs in the Software ID

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Figure 4-7: Example of Acknowledgement via ISS-UI Channel

Figure 4-8 provides an example of the information that will be included in the Error Data File that can be downloaded via the ISS-UI channel.

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<ns3:FormBCTransmitterSubmissionDtl xmlns="urn:us:gov:treasury:irs:ext:aca:air:6.2" xmlns:ns2="urn:us:gov:treasury:irs:common" xmlns:ns3=
"urn:us:gov:treasury:irs:msg:form1094-1095BCTransmittermessage">
  <ACATransmitterSubmissionDetail>
    <TransmitterErrorDetailGrp>
      <ns2:ErrorMessageDetail>
        <ns2:ErrorMessageCd>AIRMF3001</ns2:ErrorMessageCd>
        <ns2:ErrorMessageTxt>Rejected transmission - Unable to process your request because validation failure occurs in the Software ID
        </ns2:ErrorMessageTxt>
      </ns2:ErrorMessageDetail>
    </TransmitterErrorDetailGrp>
    <TransmitterErrorDetailGrp>
      <ns2:ErrorMessageDetail>
        <ns2:ErrorMessageCd>AIRMF3003</ns2:ErrorMessageCd>
        <ns2:ErrorMessageTxt>Rejected transmission - Error occurred in the TCC</ns2:ErrorMessageTxt>
      </ns2:ErrorMessageDetail>
    </TransmitterErrorDetailGrp>
  </ACATransmitterSubmissionDetail>
</ns3:FormBCTransmitterSubmissionDtl>
```

Figure 4-8 Error Data XML File

5 Transmitting IRS ACA Form Data File and Retrieving Acknowledgment via the ISS-A2A Channel

The ISS-A2A Channel allows transmitters to submit a Form Data File to the IRS and retrieve the acknowledgment for that transmission via a Services Oriented Architecture (SOA) interface. The Form Data File is transmitted in the SOAP Message as an MTOM attachment. The response to a request for Acknowledgement may or may not include an Error Data File. If an Error Data File is produced by the IRS AIR System, it will be returned to the transmitter in the SOAP Response as an MTOM attachment. MTOM is the only method allowed by the IRS for transmitters to attach files to a SOAP Message for ACA Information Returns. The purpose of this section is to describe the requirements for submitting an ACA Information Returns Form Data File to the IRS using the ISS-A2A Channel.

5.1 Message Compression

“HTTP compression is used to maximize transfer efficiency and is a standard capability within the HTTP protocol. GZIP is a specific compression method defined under HTTP that is required of messages to the IRS for ACA. A comprehensive explanation of the GZIP specification is provided by the Internet Engineering Task Force: <https://tools.ietf.org/html/rfc1952>”. Depending on what tool the Transmitter is using to submit the message, packaged software like SoapUI allows users to simply enable HTTP transmission compression via a setting while custom solutions would require the appropriate code.

5.2 Basic SOAP Message Structure

A SOAP message is a XML structure consisting of *SOAP Envelope*, *SOAP Header* and *SOAP Body* which may contain payload data or an MTOM-encoded attachment. A SOAP message starts with an XML declaration `<?xml version="1.0" encoding="UTF-8"?>`. The following section explains various sections and aspects of a SOAP message and IRS A2A web service available to the transmitters. Please be advised that this document is not intended as a tutorial and therefore covers only important aspects of a SOAP message. Please refer to www.w3.org/TR/soap/ and other authoritative websites for more information.

This section describes the logical structure of basic messages with a SOAP Header and SOAP Body blocks within a SOAP message Envelope. A SOAP message contains one SOAP Header and one SOAP Body within one SOAP Envelope. The SOAP Header contains the Web Services (WS) Addressing (WS-Addressing), WS-Security, and ACA-related business header information. The SOAP Body contains the other ACA business information and Information Returns as MTOM-encoded attachment.

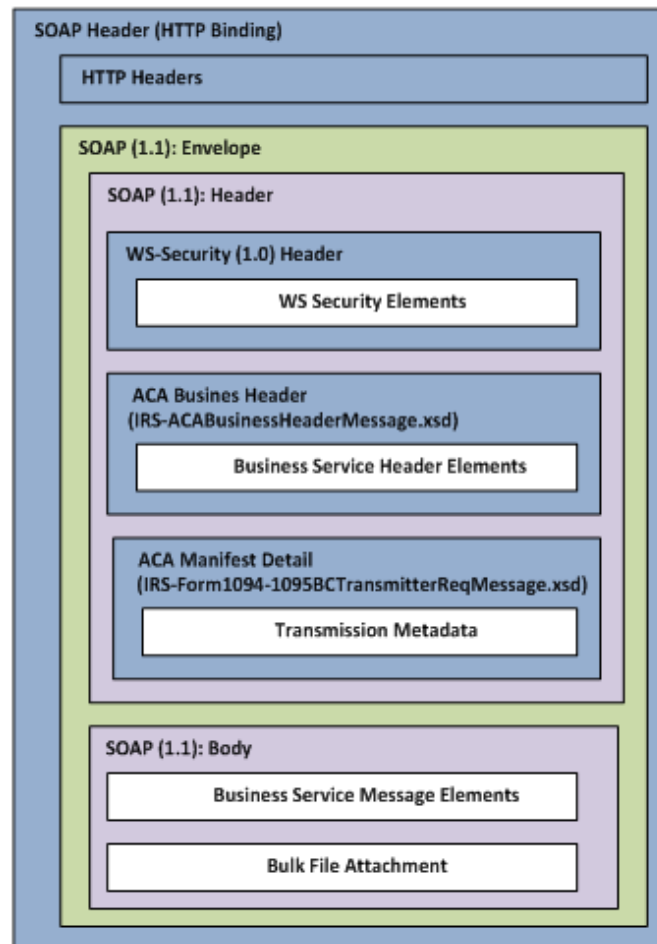


Figure 5-1: Basic SOAP Message Structure

5.2.1 Creating the SOAP Envelope

The SOAP Envelope consists of a SOAP header and a SOAP body. The SOAP header contains information about the transmitter and the transmission and metadata about the payload in the SOAP body. The SOAP body is also referred to as the content file, Form Data File or simply payload. To create the SOAP envelope, the transmitter needs to know the following information:

- UTID (Business Correlation ID)
- TCC
- Form Data File

5.3 SOAP Header

IRS defines what should be in the SOAP header. There are four element structures allowed in SOAP messages on the A2A channel:

- **WS-Addressing** – Provides mechanisms for endpoint-to-endpoint identification in SOAP messages
- **WS-Security** – Provides mechanisms for user identification and assertions in SOAP messages
- **ACA AIR Transmission Header Business Elements** – Provides elements required for all SOAP messages on the A2A channel
- **ACA AIR Transmission Manifest** – Provides manifest elements

5.3.1 WS-Addressing

None of the AIR web services use any WS-Addressing elements. Use of WS-Addressing elements are allowed, but they will be ignored by the AIR web services.

5.3.2 WS-Security

ACA A2A Web Services exposed via IRS Portal comply with Web Services Security (WS-Security) specification version 1.0 for implementing end to end message security. It is an open standard published by OASIS that defines mechanisms for signing and encrypting SOAP messages and provides transport-neutral mechanisms to enforce integrity and confidentiality on messages and allows the communication of various security token formats.

WS-Security defines SOAP extensions to implement client authentication, message integrity and message confidentiality on the message level. Authentication helps identify the Sender (the transmitter). Message integrity ensures the recipient receives unaltered request. XML Signature specification ensures integrity of the message, which defines a methodology for cryptographically signing XML. Message confidentiality is to make the user sure that the data can't be read during transit. The XML Encryption specification is the basis to encrypt the parts of SOAP message including headers, body blocks, and substructures, may be encrypted.

To consume ACA A2A web services, transmitter must use the X.509 authentication framework with the WS-Security specification. An X.509 certificate specifies a binding between a public key and a set of attributes that includes (at least) a subject name, issuer name, serial number, and validity interval. An X.509 certificate may be used to validate a public key that may be used to authenticate a SOAP message or to identify the public key with SOAP message that has been encrypted.

5.3.2.1 Creating the XML Signature

The signatures are defined using a <Signature> element and accompanying sub-elements as part of a security header. Here is a quick overview of how to create an XML signature:

1. Determine which resources are to be signed.

2. Calculate the digest of each resource:

Each referenced resource is specified through a <Reference> element and its digest (calculated on the identified resource and not the <Reference> element itself) is placed in a <DigestValue> child element like

```
<ds:Reference URI="#TS-E68EBBF1696C5DD4AA143353323390073">
  <ds:Transforms>
    <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
      <InclusiveNamespaces PrefixList="wsse wsa soapenv urn urn1 urn2
        urn3" xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
    </ds:Transform>
  </ds:Transforms>
  <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
  <ds:DigestValue?TimestampDigestValue?</ds:DigestValue>
</ds:Reference>
```

The <DigestMethod> element identifies the algorithm used to calculate the digest.

3. Collect the Reference elements:

Collect the <Reference> elements (with their associated digests) within a <SignedInfo> element like

```
<ds:SignedInfo>
  <ds:CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-
    c14n#WithComments" />
  <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
  <ds:Reference URI="#TS-E68EBBF1696C5DD4AA143353323390073">
    <ds:Transforms>
      <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-
        c14n#">
        <InclusiveNamespaces PrefixList="wsse wsa soapenv urn urn1
          urn2 urn3" xmlns="http://www.w3.org/2001/10/xml-exc-c14n#"
        />
      </ds:Transform>
    </ds:Transforms>
    <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
    <ds:DigestValue?TimestampDigestValue?</ds:DigestValue>
  </ds:Reference>
  <ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390577">
    <ds:Transforms>
      <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-
        c14n#">
        <InclusiveNamespaces PrefixList="wsa soapenv urn1 urn2
          urn3" xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
      </ds:Transform>
    </ds:Transforms>
  </ds:Reference>
```

```

        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
            <ds:DigestValue?ACATransmitterManifestReqDt1DigestValue?
            </ds:DigestValue>
    </ds:Reference>
    <ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390578">
        <ds:Transforms>
            <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-
            c14n#">
                <InclusiveNamespaces PrefixList="wsa soapenv urn urn1
                urn3" xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
            </ds:Transform>
        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
        <ds:DigestValue?ACABusinessHeaderDigestValue?</ds:DigestValue>
    </ds:Reference>
</ds:SignedInfo>

```

The `<CanonicalizationMethod>` element indicates the algorithm was used to canonize the `<SignedInfo>` element. Different data streams with the same XML information set may have different textual representations, e.g. differing as to whitespace. The `<SignatureMethod>` element identifies the algorithm used to produce the signature value.

4. Signing:

Calculate the digest of the `<SignedInfo>` element, sign that digest and put the signature value in a `<SignatureValue>` element.

```
<ds:SignatureValue>SignatureValue</ds:SignatureValue>
```

5. Add key information:

If keying information is to be included, place it in a `<KeyInfo>` element. Here the keying information contains the X.509 certificate for the transmitter, which would include the public key needed for signature verification.

```

<ds:KeyInfo Id="KI-E68EBBF1696C5DD4AA143353323390475">
    <wsse:SecurityTokenReference wsu:Id="STR-E68EBBF1696C5DD4AA143353323390476">
        <wsse:KeyIdentifier EncodingType=http://docs.oasis-
        open.org/wss/2004/01/oasis-200401-wss-soap-message-security-
        1.0#Base64Binary ValueType="http://docs.oasis-
        open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-
        1.0#X509v3">?CertificateValue?</wsse:KeyIdentifier>
    </wsse:SecurityTokenReference>
</ds:KeyInfo>

```

6. Enclose in a Signature element

Place the `<SignedInfo>`, `<SignatureValue>`, and `<KeyInfo>` elements into a `<Signature>` element. The `<Signature>` element comprises the XML signature.

```
<ds:Signature Id="SIG-E68EBBF1696C5DD4AA143353323390579"
```

```

        xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
    <ds:SignedInfo>
        <ds:CanonicalizationMethod .../>
        <ds:SignatureMethod .../>
        <ds:Reference .../>
        <ds:Reference .../>
        <ds:Reference .../>
    </ds:SignedInfo>
    <ds:SignatureValue>?SignatureValue?</ds:SignatureValue>
    <ds:KeyInfo Id="KI-E68EBBF1696C5DD4AA143353323390475" .../>
</ds:Signature>

```

5.3.2.2 ACA SOAP Header Elements to be Digitally Encrypted

The Header Elements in the Request SOAP Message to be encrypted :

- **Message Type - ACAGetTransmitterBulkRequestService**

- ACABusinessHeader
- ACATransmitterManifestReqDtl
- Timestamp

- **Message Type – ACAGetTransmitterBulkRequestStatus**

- ACABusinessHeader
- ACABulkRequestTransmitterStatusDetailRequest
- Timestamp

5.3.2.3 Message Timeout

WS-Security provides the <Timestamp> header can be used to record creation and expiration time of a request message.

```

<wsu:Timestamp wsu:Id="TS-E68EBBF1696C5DD4AA143353323390073">
    <wsu:Created>2015-06-05T19:40:33.900Z</wsu:Created>
    <wsu:Expires>2015-06-05T19:50:33.900Z</wsu:Expires>
</wsu:Timestamp>

```

5.3.2.4 SOAP Header Examples showing Security Header and related elements

A sample of ACAGetTransmitterBulkRequestService:

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:urn="urn:us:gov:treasury:irs:ext:aca:air:7.0"
  xmlns:urn1="urn:us:gov:treasury:irs:common"
  xmlns:urn2="urn:us:gov:treasury:irs:msg:acabusinessheader"
  xmlns:urn3="urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter">
  <soapenv:Header xmlns:wsa="http://www.w3.org/2005/08/addressing">
    <wsse:Security
      xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
      xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
      <ds:Signature Id="SIG-E68EBBF1696C5DD4AA143353323390579"
        xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
        <ds:SignedInfo>
          <ds:CanonicalizationMethod
            Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#WithComments" />
          <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
          <ds:Reference URI="#TS-E68EBBF1696C5DD4AA143353323390073">
            <ds:Transforms>
              <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
                <InclusiveNamespaces PrefixList="wsse wsa soapenv urn urn1 urn2 urn3"
                  xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
              </ds:Transform>
            </ds:Transforms>
          <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
          <ds:DigestValue>?TimestampDigestValue?</ds:DigestValue>
        </ds:Reference>
        <ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390577">
          <ds:Transforms>
            <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
              <InclusiveNamespaces PrefixList="wsa soapenv urn1 urn2 urn3"
                xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
            </ds:Transform>
          </ds:Transforms>
          <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
          <ds:DigestValue>?ACATransmitterManifestReqDt1DigestValue?
        </ds:DigestValue>
      </ds:Signature>
    </wsse:Security>
  </soapenv:Header>
</soapenv:Envelope>
```



```
</ds:Reference>
<ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390578">
  <ds:Transforms>
    <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
      <InclusiveNamespaces PrefixList="wsa soapenv urn urn1 urn3"
        xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
    </ds:Transform>
  </ds:Transforms>
  <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmlsig#sha1" />
  <ds:DigestValue?ACABusinessHeaderDigestValue?</ds:DigestValue>
</ds:Reference>
</ds:SignedInfo>
<ds:SignatureValue?SignatureValue?</ds:SignatureValue>
<ds:KeyInfo Id="KI-E68EBBF1696C5DD4AA143353323390475">
  <wsse:SecurityTokenReference
    wsu:Id="STR-E68EBBF1696C5DD4AA143353323390476">
    <wsse:KeyIdentifier
      EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
        soap-message-security-1.0#Base64Binary"
      ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-
        token-profile-1.0#X509v3"?CertificateValue?</wsse:KeyIdentifier>
    </wsse:SecurityTokenReference>
  </ds:KeyInfo>
</ds:Signature>
<wsu:Timestamp wsu:Id="TS-E68EBBF1696C5DD4AA143353323390073">
  <wsu:Created>2016-03-05T19:40:33.900Z</wsu:Created>
  <wsu:Expires>2016-03-05T19:50:33.900Z</wsu:Expires>
</wsu:Timestamp>
</wsse:Security>
<urn:ACATransmitterManifestReqDtl
  wsu:Id="id-E68EBBF1696C5DD4AA143353323390577"
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
  .
  .
</urn:ACATransmitterManifestReqDtl>
<urn2:ACABusinessHeader wsu:Id="id-E68EBBF1696C5DD4AA143353323390578"
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
  .
  .
```

```

        </urn2:ACABusinessHeader>
        <wsa:Action>BulkRequestTransmitter</wsa:Action>
    </soapenv:Header>
    <soapenv:Body>
        .
        .
    </soapenv:Body>
</soapenv:Envelope>

```

A sample of ACAGetTransmitterBulkRequestStatus (check status of a transmission):

```

<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:urn="urn:us:gov:treasury:irs:ext:aca:air:7.0"
  xmlns:urn1="urn:us:gov:treasury:irs:common"
  xmlns:urn2="urn:us:gov:treasury:irs:msg:acabusinessheader"
  xmlns:urn3="urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter">
  <soapenv:Header xmlns:wsa="http://www.w3.org/2005/08/addressing">
    <wsse:Security
      xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
      xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
      <ds:Signature Id="SIG-E68EBBF1696C5DD4AA143353323390579"
        xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
        <ds:SignedInfo>
          <ds:CanonicalizationMethod
            Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#WithComments" />
          <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
          <ds:Reference URI="#TS-E68EBBF1696C5DD4AA143353323390073">
            <ds:Transforms>
              <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
                <InclusiveNamespaces PrefixList="wsse wsa soapenv urn urn1 urn2 urn3"
                  xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
              </ds:Transform>
            </ds:Transforms>
          <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
          <ds:DigestValue>?TimestampDigestValue?</ds:DigestValue>
        </ds:Reference>
      <ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390577">
        <ds:Transforms>
          <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
            <InclusiveNamespaces PrefixList="wsa soapenv urn1 urn2 urn3"

```

```

                xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
            </ds:Transform>
        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmlsig#sha1" />
        <ds:DigestValue>?ACATransmitterManifestReqDt1DigestValue?
        </ds:DigestValue>
    </ds:Reference>
    <ds:Reference URI="#id-E68EBBF1696C5DD4AA143353323390578">
        <ds:Transforms>
            <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
                <InclusiveNamespaces PrefixList="wsa soapenv urn urn1 urn3"
                    xmlns="http://www.w3.org/2001/10/xml-exc-c14n#" />
            </ds:Transform>
        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmlsig#sha1" />
        <ds:DigestValue>?ACABusinessHeaderDigestValue?</ds:DigestValue>
    </ds:Reference>
</ds:SignedInfo>
<ds:SignatureValue>?SignatureValue?</ds:SignatureValue>
<ds:KeyInfo Id="KI-E68EBBF1696C5DD4AA143353323390475">
    <wsse:SecurityTokenReference
        wsu:Id="STR-E68EBBF1696C5DD4AA143353323390476">
        <wsse:KeyIdentifier
            EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
            soap-message-security-1.0#Base64Binary"
            ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-
            token-profile-1.0#X509v3">?CertificateValue?</wsse:KeyIdentifier>
        </wsse:SecurityTokenReference>
    </ds:KeyInfo>
</ds:Signature>
<wsu:Timestamp wsu:Id="TS-E68EBBF1696C5DD4AA143353323390073">
    <wsu:Created>2016-03-05T19:40:33.900Z</wsu:Created>
    <wsu:Expires>2016-03-05T19:50:33.900Z</wsu:Expires>
</wsu:Timestamp>
</wsse:Security>
<urn:ACATransmitterManifestReqDt1
    wsu:Id="id-E68EBBF1696C5DD4AA143353323390577"
    xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    .

```

```
      .
      .
      </urn:ACATransmitterManifestReqDtl>
      <urn2:ACABusinessHeader wsu:Id="id-E68EBBF1696C5DD4AA143353323390578"
        xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
      .
      .
      </urn2:ACABusinessHeader>
      <wsa:Action>GetACATransmitterStatusReqService</wsa:Action>
    </soapenv:Header>
    <soapenv:Body>
      .
      .
    </soapenv:Body>
  </soapenv:Envelope>
```

5.3.2.5 Digital Certificates

Digital certificates bind digital information to physical identities and provide non-repudiation and data integrity. Before you begin the enrollment process, each entity should obtain one valid digital certificate issued by an approved certificate authority (CA). Automated Enrollment only recognizes and accepts digital certificates issued by IRS approved certificate authorities, listed below.

Table 5-1: IRS Authorized Certificate Issuing Authorities

Certificate Authority	Type of Certificate
<u>Identrust ACES SSL</u> , naming a server	Go to Identrust and choose the Certificates menu and the type of ACES (currently ACES SSL). The type to choose is "ACES SSL Server".
<u>Identrust ACES Business Representative</u> , naming an individual	Go to identrust and choose the Certificates menu and the type of ACES (currently ACES Business Representative). The type to choose is "ACES Business Representative".
<u>ORC ACES Business Representative</u> , naming an individual	Go to ACES ORC and follow the "Get Certificate" button. When you reach the "Select a Certificate" screen, choose "Business Representative". Please note that we have not tested with the Government Representative types and do not know if they will work with our system. We don't expect state and local governments to use ORC Government Representative certificates.
<u>ORC ECA</u> , naming a server	Go to ORC ECA and select "Order Component/Server Certificates". On the screen for order , please choose "Server Certificates".

5.3.3 Uniquely Identifying a Transmission

The Transmitter will uniquely identify each transmission by the **Unique Transmission Identifier (UTID)** in the header.

The format for the UTID includes various fields separated by colons (:): is as follows:

- **UUID** – a **universally unique identifier** (UUID) is an identifier standard defined by the Internet Engineering Task Force (IETF) in Request For Comments (RFC) 4122. The UUID consists of a 16-octet (128-bit) number. This is a mandatory field. In its canonical form, a UUID is represented by 32 hexadecimal digits, displayed in five groups separated by hyphens, in the form 8-4-4-4-12 for a total of 36 characters (32 digits and four hyphens). For example:

550e8400-e29b-41d4-a716-44665540000

- Application ID – the Application ID will be **SYS12** and is a mandatory field.
- Transmitter Control Code – is 5 characters alphanumeric field that will contain the transmitters TCC and is mandatory – enter the TCC that the IRS assigned when the transmitter applied to eFile. Note, TCCs do not include lower case characters.
- Reserved – is an empty field
- Request Type – the Request Type defines the types of request which must be “T” (Transactional) and is mandatory

The UTID for the transmission (always 51 bytes) will be (see Figure 5-2: Unique Transmission Identifier below):

- **550e8400-e29b-41d4-a716-446655440000**:**SYS12**:**12ABC**::**T**

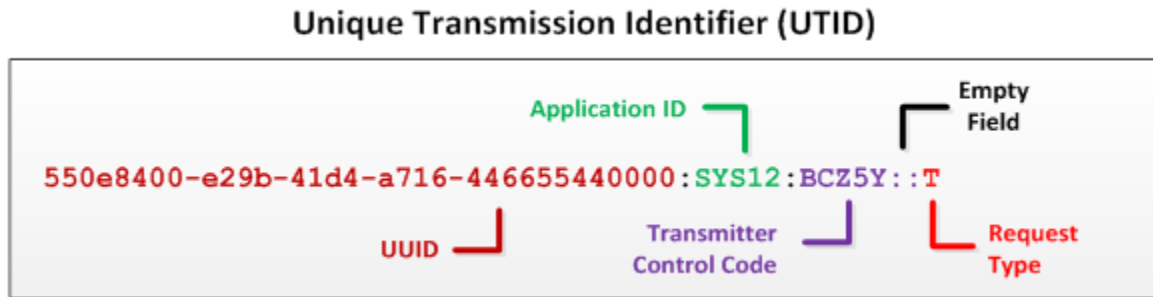


Figure 5-2: Unique Transmission Identifier

5.3.4 ACA Transmitter Business Header Elements

Element	Description
<i>UniqueTransmissionId</i>	Unique identifier assigned by the transmitter to each transmission in the format defined in Section 5.2.4
<i>Timestamp</i>	The date and time (based on the local time zone of the transmitter) that the SOAP Message was created

5.3.5 ACA AIR Transmission Manifest

The Manifest provides information about the submission and must pass validation check before submission can be accepted. It consists of details about the transmitter and also about the file transmitted.

Please see Appendix A.

5.4 SOAP Body

The SOAP Body contains the request message and the Form Data File as MTOM attachment submitted by the transmitter application. When retrieving acknowledgments, in the responses, the SOAP body contains the response message and optionally Error Data File as MTOM attachment sent by the IRS to transmitter informing them of any errors in the submissions.

5.4.1 Message Attachment File Format

Only one Form Data File will be accepted as an attachment sent by a transmitter and, in the event errors are found during processing, only one Error Data File will be returned as an

attachment by IRS to the transmitter. The Form Data File and the Error Data File will be in XML format and will be attached to the message using the W3C MTOM specification.

5.4.2 Message Attachment Content Type

ISS-A2A AIR web services require transmitters to use SOAP-over-HTTP messaging with MTOM to send XML data files. The file that is encoded in the MTOM attachment must be uncompressed native XML. The content type for the MTOM encoded binary object identified in the Manifest header must be "*application/xml*".

5.5 ISS-A2A Web Services Summary

Transmitters that take advantage of the A2A channel to submit their transmissions will have to program their systems to generate and transmit Information Returns via SOAP Messages (Request/Response). The transmitter will implement a Web Service Client on their host systems and will configure their SOAP Messages so that they are delivered to the Web Service endpoint exposed by the IRS.

The SOAP Message is made up of a HTTP Header and a SOAP Envelope. The SOAP Envelope contains a SOAP Header and a SOAP Body. The XML elements in the SOAP Header facilitate transporting the Information Returns between the end points representing applications (Application to Application or A2A). The SOAP Header contains the URL for the Web Service endpoint exposed at the IRS as well as security mechanisms for authenticating the transmitter.

The SOAP Body contains the XML elements that make up the Information Return transmission. ACA Information Return XML Schema will ensure that all required information pertaining to the transmitter and transmission is captured in corresponding XML elements.

The *normal processing* for a transmission is as follows:

- Transmitter sends a transmission to IRS via SOAP Request message
- Transmitter receives a SOAP Response message which includes the Receipt ID assigned and the status (i.e. Processing or Rejected) to the transmission by the IRS
- IRS processes the transmission
- Transmitter sends a request for Acknowledgment via SOAP Request message to IRS with the Receipt ID corresponding to the transmission for which they are requesting status
- Transmitter receives a SOAP Response message which provides the status of the transmission (i.e., Accepted, Accepted with Errors, Rejected, Processing, Not Found, and Partially Accepted) and any errors in the Error Data File attached to the SOAP Response message.

The *exception path* covers the following error processing:

- Manifest errors (errors relating to transmitter information and/or transmission details)
- Duplicate transmissions
- Business Rule errors
- System errors

Transmitters transmitting ACA Information Returns have two channels with which they can submit transmissions containing the Information Returns. The IRS has directed that ACA Information Returns will be transmitted as XML documents.

Following services (service names) are available to the transmitters through ISS-A2A Channel:

- IRS-ACASubmitService (ACAGetTransmitterBulkRequestService.wsdl) - 1095BC_Transmission
- IRS-ACAACKngService (ACAGetTransmitterBulkRequestStatus.wsdl) - 1095BC_Status_Request

5.5.1 Overview of Transmission and Submission

A transmission must consist of specific information pertaining to the transmitter and the transmission and at least one transmittal and the corresponding detail records. The transmittal information equates to the Form 1094 and the corresponding detail records equate to Form 1095.

Note that a **transmission** consists of the following:

- Transmitter, transmission, and transmission summary information
- All of the Form 1094/1095 forms and summary information

A **submission** consists of one Form 1094 and its associated Form 1095 forms and the submission summary information. A transmission must contain at least one submission. A transmission can contain more than one submission. A transmission cannot co-mingle Form 1094/1095-B and Form 1094/1095-C.

In the example below, the text in **brown/orange** is required for A2A SOAP Transactions between two exposed Web Service endpoints and do not contain any information pertinent to the transmission. The text in **blue** are comments and have no effect on the processing of the transmission.

```
<SOAP-ENV:Envelope>
  <SOAP-ENV:Header>
    <!-- WS Addressing -->
    <!-- WS Security -->
  </SOAP-ENV:Header>
  Example of 1094B and 1095B schema
  <Form109495BTransmittalUpstream>
    <Form1094BUpstreamDetail>
      <!-- ALL TRANSMITTAL/1094B INFORMATION GOES HERE -->
    <Form1095BUpstreamDetail>
      <!-- ALL TRANSMITTAL/1095B INFORMATION GOES HERE -->
    </Form1095BUpstreamDetail>
    <Form1095BUpstreamDetail>
      <!-- ALL TRANSMITTAL/1095B INFORMATION GOES HERE -->
    </Form1095BUpstreamDetail>
    <Form1094BUpstreamDetail>
  </Form109495BTransmittalUpstream>
  Example of 1094C and 1095C schema
  <Form109495CTransmittalUpstream>
    <Form1094CUpstreamDetail>
      <!-- ALL TRANSMITTAL/1094C INFORMATION GOES HERE -->
    <Form1095CUpstreamDetail>
      <!-- ALL TRANSMITTAL/1095C INFORMATION GOES HERE -->
```



```

    </Form1095CUpstreamDetail>
  </Form1094CUpstreamDetail>
</Form109495CTransmittalUpstream>
</SOAP-ENV:Body>
</SOAP-ENV:Envelope>

```

The information contained within the open element tag `<Form109495BTransmittalUpstream>` and the close element tag `</Form109495BTransmittalUpstream>` or `<Form109495CTransmittalUpstream>` and the closing element tag `</Form109495CTransmittalUpstream>` are the “as-is” transmission submitted by the transmitter. This XML will be retained in the Information Return Repository as received from the transmitter without alteration, while ensuring appropriate access controls are in place to protect the integrity of the transmission.

The information required for the transmitter and transmission is contained within the element tags `<Form1095BUpstreamDetail>` and `</Form1095BUpstreamDetail>` for Form 1094-B and Form 1095-B. The tags `<Form1095CUpstreamDetail>` and `</Form1095CUpstreamDetail>` are for Form 1094-C and Form 1095-C. This is the information that equates to the “T” Record documented in Publication 1220.

The information required for the detail information (1095X) associated with each transmittal (1094X) is contained within the variable number of element tags `<Form1095BUpstreamDetail>` `</Form1095BUpstreamDetail>`. This is the information that equates to the “B” Record documented in Publication 1220.

5.5.2 IRS-ACA Submit Transmission Request Message

The `ACAGetTransmitterBulkRequestService` operation allows the transmitter to send transmissions to the web service endpoint exposed at the IRS. The service name within the WSDL file is `1095BC_Transmission`. The binding for the exposed web service is as follows:

```

<wsdl:binding name="BulkRequestTransmitterBinding" type="BulkRequestTransmitterPortType">
  <soapbind:binding style="document" transport="http://schemas.xmlsoap.org/soap/http"/>
  <wsp:PolicyReference URI="#Addressing"/>
  <wsdl:operation name="BulkRequestTransmitter">
    <soapbind:operation soapAction="BulkRequestTransmitter"/>
    <wsdl:input>
      <soapbind:header message="ACASecurityHeader" part="ACASecurityHeader" use="literal"/>
      <soapbind:header message="WSSESecurity" part="WSSESecurity" use="literal"/>
      <soapbind:header message="ACABusinessHeader" part="ACABusinessHeader" use="literal"/>
      <soapbind:header message="ACATransmitterManifestReqDtl" part="ACATransmitterManifestReqDtl" use="literal"/>
      <soapbind:body parts="BulkRequestTransmitter" use="literal"/>
    </wsdl:input>
    <wsdl:output>
      <soapbind:header message="ACABusinessHeader" part="ACABusinessHeader" use="literal"/>
      <soapbind:body parts="BulkRequestTransmitterResponse" use="literal"/>
    </wsdl:output>
    <wsdl:fault name="fault">
      <soapbind:fault name="fault" use="literal"/>
    </wsdl:fault>
  </wsdl:operation>
</wsdl:binding>

```

Figure 5-3: ACA Binding – `ACAGetTransmitterBulkRequestService.wsdl`

The SOAP Message Header in the SOAP Request message, `ACATransmitterStatusReqBody`, requires the following elements (see `<wsdl:input>` in Figure 5-3 above):

Table 5-2: Transmission SOAP Message Binding – SOAP Request Message Header

SOAP Binding – Input Header Element	SOAP Binding – Header Element Description
ACASecurityHeader	Requires the <i>UserID</i> that the IRS assigned when the entity registered for an IRS account – Not required for transmissions sent via ISS-A2A channel and IRS will extract from credentials for transmissions sent via ISS-UI channel
WSSESecurity	Requires Signature (Signed Info, Signature Value, KeyInfo) and a Timestamp
ACABusinessHeader	Requires the Unique Transmission ID (<i>UTID</i>) and a Timestamp
ACATransmitterManifestReqDtl	Requires the metadata (information) pertaining to the transmitter and the transmission

The SOAP Message Body in the SOAP Request message requires the following elements:

Table 5-3: Transmission SOAP Message Binding – SOAP Request Message Body

SOAP Binding – Input Header Element	SOAP Binding – Header Element Description
BulkRequestTransmitter	Requires the <i>BulkExchangeFile</i> is the base64Binary MTOM encoded attachment containing the Form Data File

The SOAP Message Header in the SOAP Response message includes the following elements:

Table 5-4: Transmission SOAP Message Binding – SOAP Response Message Header

SOAP Binding – Output Header Element	SOAP Binding – Header Element Description
ACABusinessHeader	Includes the Unique Transmission ID (<i>UTID</i>) and a Timestamp
ACASecurityHeader	IRS Credentials for identification and authentication
WSSESecurity	Securing the message according to W3C Standards

The SOAP Message Body in the SOAP Response message includes the following elements:

Table 5-5: Transmission SOAP Message Binding – Soap Response Message Body

SOAP Binding – Output Body Element	SOAP Binding – Body Element Description
ACATransmitterStatusRespBody	Includes " <i>TransmissionStatusCd</i> ", " <i>ReceiptId</i> ", " <i>irs:ErrorMessageDetail</i> "

5.5.3 IRS-ACA Retrieve Acknowledgement Request Message

The *ACAGetTransmitterBulkRequestStatus* operation allows the transmitter to send a request for processing status and detail for transmissions they previously sent to the IRS. The service name within the WSDL file is 1095BC_Status_Request. The binding for the exposed web service is as follows:

```

<wsdl:binding name="GetACATransmitterStatusReqBinding"
  type="ACATransmitterStatusReqPortType">
  <soapbind:binding style="document" transport="http://schemas.xmlsoap.org/soap/http" />
  <wsdl:operation name="GetACATransmitterStatusReqOperation">
    <soapbind:operation soapAction="RequestSubmissionStatusDetail" />
    <wsdl:input>
      <soapbind:header message="ACASecurityHeader" part="ACASecurityHeader" use="literal"/>
      <soapbind:header message="WSSESecurity" part="WSSESecurity" use="literal"/>
      <soapbind:header
        message="ACABusinessHeader"
        part="ACABusinessHeader" use="literal" />
      <soapbind:body parts="ACATransmitterStatusReqBody" use="literal" />
    </wsdl:input>
    <wsdl:output>
      <soapbind:header
        message="ACABusinessHeader"
        part="ACABusinessHeader" use="literal" />
      <soapbind:body parts="ACATransmitterStatusRespBody" use="literal" />
    </wsdl:output>
    <wsdl:fault name="fault">
      <soapbind:fault name="fault" use="literal" />
    </wsdl:fault>
  </wsdl:operation>
</wsdl:binding>

```

Figure 5-4: ACA Binding – ACAGetTransmitterBulkRequestStatus.wsdl

The SOAP Message Header in the SOAP Request message requires the following elements (see <wsdl:input> in Figure 5-4 above):

Table 5-6: Status Request SOAP Message Binding – SOAP Request Message Header

SOAP Binding – Input Header Element	SOAP Binding – Header Element Description
ACASecurityHeader	Requires the <i>UserID</i> that the IRS assigned when the entity registered for an IRS account – Not required for transmissions sent via ISS-A2A channel and IRS will extract from credentials for transmissions sent via ISS-UI channel
WSSESecurity	Requires Signature (Signed Info, Signature Value, KeyInfo) and a Timestamp
ACABusinessHeader	Requires the Unique Transmission ID (<i>UTID</i>) and a Timestamp

The SOAP Message Body in the SOAP Request message requires the following elements:

Table 5-7: Status Request SOAP Message Binding – SOAP Request Message Body

SOAP Binding – Input Body Element	SOAP Binding – Body Element Description
ACATransmitterStatusReqBody	Includes Receipt ID

The SOAP Message Header in the SOAP Response message includes the following elements:

Table 5-8: Status SOAP Message Binding – SOAP Response Message Header

SOAP Binding – Output Header Element	SOAP Binding – Header Element Description
ACASecurityHeader	Requires the <i>UserID</i> that the IRS assigned when the entity registered for an IRS account – Not required for transmissions sent via ISS-A2A channel and IRS will extract from credentials for transmissions sent via ISS-UI channel
WSSESecurity	Requires Signature (Signed Info, Signature Value, KeyInfo) and a Timestamp
ACABusinessHeader	Requires the Unique Transmission ID (<i>UTID</i>) and a Timestamp

The SOAP Message Body in the SOAP Response message includes an MTOM attachment containing the following elements for each error identified during processing:

Table 5-9: Transmission SOAP Message Binding – Soap Response Message Body

SOAP Binding – Output Body Element	SOAP Binding – Body Element Description
BulkRequestTransmitterResponse	Includes the Unique Record Identifier, <i>ErrorMessageCd</i> , <i>ErrorMessageTxt</i> , and <i>XpathContent</i>

6 Transmitting Corrections and Replacements

6.1 Identifying the Transmission Type

A transmitter can submit three types of ACA transmissions to the IRS:

Table 6-1: Transmission Type Codes

Type of Transmission	Description
Original Records ("O")	A transmission containing original Form 1094/1095 records
Correction Records ("C")	A transmission containing correction Form 1094/1095 records
Replace Transmission ("R")	A transmission containing original Form 1094/1095 records to replace a transmission that the IRS rejected

The type of transmission is identified in the XML Schema in the *ACATransmitterManifestReqDtl* by the data included in the *TransmissionTypeCd* element. The *TransmissionTypeCd* element is required and the eFile Type *TransmissionTypeCdType* data must be one of the following enumerated values:

```
<xsd:simpleType name="TransmissionTypeCdType">
  <xsd:annotation>
    <xsd:documentation>
      <Component>
        <DictionaryEntryNm>Transmission Type Code</DictionaryEntryNm>
        <MajorVersionNum>1</MajorVersionNum>
        <MinorVersionNum>0</MinorVersionNum>
        <VersionEffectiveBeginDt>2015-01-15</VersionEffectiveBeginDt>
        <VersionDescriptionTxt>Initial version</VersionDescriptionTxt>
        <DescriptionTxt>Transmission Type Indicates whether Transmission has Original
Records, Corrected Records, or is a Replacement</DescriptionTxt>
      </Component>
    </xsd:documentation>
  </xsd:annotation>
  <xsd:restriction base="xsd:string">
    <xsd:enumeration value="O"/>
    <xsd:enumeration value="C"/>
    <xsd:enumeration value="R"/>
  </xsd:restriction>
</xsd:simpleType>
```

A transmission containing original records consists of the initial submission of Forms 1094/1095. These records have not been previously submitted to the IRS is coded "O".

A transmission containing corrected records consists of corrections to records that have been submitted to the IRS and have been accepted by the IRS is coded "C".

A transmission that replaces a rejected transmission or that contains submissions that replace rejected submissions is coded “R”.

Note: Do not comingle submissions of different types (O, C, or R) in the same transmission. Each transmission must contain only one type of submission. Additionally, each submission must only contain one type of records (O, C, or R).

6.2 Identifying the Transmission Status (Acknowledgement)

When the transmitter retrieves their Acknowledgement, the *ACABulkRequestTransmitterResponse* includes the XML element *TransmissionStatusCd* which conveys the status of the transmission. The eFile Type *TransmissionStatusCodeType* data conveys one of the following enumerated values:

```
<xsd:simpleType name="TransmissionStatusCodeType">
  <xsd:annotation>
    <xsd:documentation>
      <Component>
        <DictionaryEntryNm>ACA Information Returns (AIR) Submission Status
Type</DictionaryEntryNm>
        <MajorVersionNum>2</MajorVersionNum>
        <MinorVersionNum>0</MinorVersionNum>
        <VersionEffectiveBeginDt>2012-09-01</VersionEffectiveBeginDt>
        <VersionDescriptionTxt>Initial version</VersionDescriptionTxt>
        <DescriptionTxt>The AIR form(s) submission status type code
types.</DescriptionTxt>
        <DataElementId/>
      </Component>
    </xsd:documentation>
  </xsd:annotation>
  <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Accepted"/>
    <xsd:enumeration value="Accepted with Errors"/>
    <xsd:enumeration value="Partially Accepted"/>
    <xsd:enumeration value="Rejected"/>
    <xsd:enumeration value="Processing"/>
    <xsd:enumeration value="Not Found"/>
  </xsd:restriction>
</xsd:simpleType>
```

A snippet of XML included in the Acknowledgement that will include the Transmission Status Code follows in Figure 6-1:

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Figure 6-1: Identifying the Submission Status Code

All of the records within a transmission have been accepted by the IRS when the Submission Status Code is one of either “Accepted” or “Accepted with Errors”. If the Submission Status Code is “Accepted”, it indicates that the IRS AIR System did not find any errors in any of the submissions within the transmission. If the Submission Status Code is “Accepted with Errors”,

the transmission and all of the records within the transmission have been accepted by the IRS. However, errors identified when processing the transmission are expected to be corrected and submitted in another transmission where the *TransmissionTypeCd* element is set to “C” for corrections. The transmission has been rejected and will have to be replaced when the Submission Status Code is “Rejected”.

6.3 Receipt ID as Basis for Uniquely Identifying Transmissions and Records

When the transmitter sends a transmission to the IRS, a Receipt ID is returned to the transmitter to indicate that the IRS received the transmission. The Receipt ID, along with the transmitter’s Transmitter Control Code (TCC), is the key information required for the transmitter to retrieve the Acknowledgement (processing status and error detail) for a given transmission. The structure of the Receipt ID is as shown in Fig 6-2.

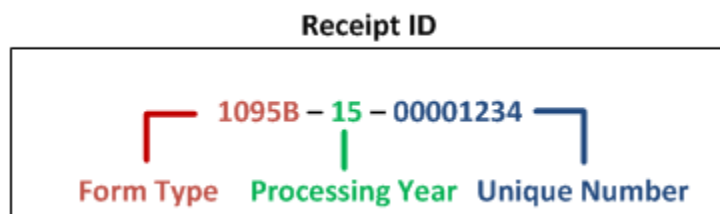


Figure 6-2: Structure of a Receipt ID

In sample submission response message shown below in Figure 6-3, the Receipt ID assigned to a received transmission is “**1095C-15-00000152**”. In addition to uniquely identifying the transmission to both the IRS and the transmitter, the Receipt ID also includes information about the type of the forms contained in the transmission (In this case Form 1094/1095-B) and the processing year (2015) in which the IRS AIR System received the transmission. The Receipt ID returned to the transmitter should be kept with the Form Data File submitted in the transmission and protected from loss or deletion.

Figure 6-3 includes a sample Response message that the transmitter would receive if they had submitted the transmission via the ISS-A2A channel. Please note that the *TransmissionStatusCd* is “Processing”. The *TransmissionStatusCd* contained in the Response message to a transmission request will either be “Processing” or “Rejected”.

```

<?xml version="1.0" encoding="UTF-8"?>
- <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  - <soap:Header>
    - <ns6:ACABusinessHeader xmlns:ns8="urn:us:gov:treasury:irs:msg:acasecurityheader"
      xmlns:ns7="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-
      secext-1.0.xsd" xmlns:ns6="urn:us:gov:treasury:irs:msg:acabusinessheader"
      xmlns:ns5="urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter"
      xmlns:ns4="http://www.w3.org/2000/09/xmlsig#" xmlns:ns3="http://docs.oasis-
      open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
      xmlns:irs="urn:us:gov:treasury:irs:common"
      xmlns:air7.0="urn:us:gov:treasury:irs:ext:aca:air:7.0">
      <air7.0:UniqueTransmissionId>65753438-e29b-41d4-a716-
      5946454476384:SYS12:BB07C:T</air7.0:UniqueTransmissionId>
      <irs:Timestamp>2015-10-13T13:25:57Z</irs:Timestamp>
    </ns6:ACABusinessHeader>
  </soap:Header>
  - <soap:Body>
    - <ns5:ACABulkRequestTransmitterResponse
      xmlns:ns8="urn:us:gov:treasury:irs:msg:acasecurityheader" xmlns:ns7="http://docs.oasis-
      open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
      xmlns:ns6="urn:us:gov:treasury:irs:msg:acabusinessheader"
      xmlns:ns5="urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter"
      xmlns:ns4="http://www.w3.org/2000/09/xmlsig#" xmlns:ns3="http://docs.oasis-
      open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
      xmlns:irs="urn:us:gov:treasury:irs:common"
      xmlns:air7.0="urn:us:gov:treasury:irs:ext:aca:air:7.0">
      <irs:TransmissionStatusCd>Processing</irs:TransmissionStatusCd>
      <irs:ReceiptId>1095C-15-00000152</irs:ReceiptId>
    </ns5:ACABulkRequestTransmitterResponse>
  </soap:Body>
</soap:Envelope>

```

Figure 6-3: Sample AIR ISS-A2A Transmission Intake Response Message

If the transmitter uses the AIR ISS-UI channel to upload a transmission, the Receipt ID assigned to that transmission upon receipt is returned and displayed on the web browser as shown below in Figure 6-4.

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Figure 6-4: Sample AIR ISS-UI Transmission Intake Response Message

6.3.1 Uniquely Identifying Forms 1094 within a Transmission

When the transmitter generates the Form Data File, there are XML elements included in the schema that provide for uniquely identifying submission(s) within the transmission and record(s) within the submission. A submission is identified by the Form 1094 transmittal included in the transmission. Each Form 1094 transmittal XML schema (both for Form 1094-B and for Form 1094-C) includes the XML element *SubmissionId* within the respective *Form1094BUpstreamDetailType* or *Form1094CUpstreamDetailType* eFile Type. The XML Schema snippet for Form 1094-C that includes the *SubmissionId* follows:


```

<xsd:complexType name="Form1094CUpstreamDetailType">
  <xsd:annotation>
    <xsd:documentation>
      <Component>
        <DictionaryEntryNm>Form 1094-C Upstream Detail Type</DictionaryEntryNm>
        <MajorVersionNum>1</MajorVersionNum>
        <MinorVersionNum>0</MinorVersionNum>
        <VersionEffectiveBeginDt>2015-01-27</VersionEffectiveBeginDt>
        <VersionDescriptionTxt>Initial version</VersionDescriptionTxt>
        <DescriptionTxt>Form 1094-C - Transmittal of Employer-Provided Health
          Information Returns</DescriptionTxt>
      </Component>
    </xsd:documentation>
  </xsd:annotation>
  <xsd:sequence>
    <xsd:element ref="SubmissionId"/>
    <xsd:element ref="OriginalUniqueSubmissionId" minOccurs="0"/>
    <xsd:element ref="TestScenarioId" minOccurs="0"/>
    <xsd:element ref="irs:TaxYr"/>
  </xsd:sequence>
</xsd:complexType>

```

Figure 6-5: Submission Id is Required for Every Form 1094 in the Transmission

The *SubmissionIdType* eFile Type is defined in the XML Schema as a nonNegativeInteger with a minInclusive value="1". The Submission ID cannot be less than 1 (one) and cannot include fractions or decimal values. The Submission ID must not be duplicated within a transmission. IRS recommends that the Submission ID start at 1 for the first Form 1094 transmittal within the transmission and increment by 1 for every subsequent Form 1094 transmittal in the transmission.

Every Form 1094 in a transmission can be uniquely identified by the XML element *UniqueSubmissionId* which is derived by concatenating the transmission Receipt ID generated by the IRS with the Form 1094 Submission ID. For example, given the above referenced Receipt ID and a transmission from a Transmitter or Issuer that included 3 submissions, Forms 1094-B would be uniquely identified as follows:

Table 6-2: Unique Submission Identifier

Form 1094-B Transmittal <i>SubmissionId</i>	Form 1094-B Transmittal <i>UniqueSubmissionId</i>
1	1095B-15-00000283 1
2	1095B-15-00000283 2
3	1095B-15-00000283 3

6.3.2 Uniquely Identifying Forms 1095 within a Transmission

Form 1095 records within a submission are identified in the XML schema (both for Form 1095-B and for Form 1095-C) by the XML element *RecordId* within the respective *Form1095BUpstreamDetailType* or *Form1095CUpstreamDetailType* eFile Type. The XML Schema snippet for Form 1095-C that includes the *RecordId* follows:

```

<xsd:complexType name="Form1095BUpstreamDetailType">
  <xsd:annotation>
    <xsd:documentation>
      <Component>
        <DictionaryEntryNm>Form 1095-B Upstream Detail Type</DictionaryEntryNm>
        <MajorVersionNum>1</MajorVersionNum>
        <MinorVersionNum>0</MinorVersionNum>
        <VersionEffectiveBeginDt>2015-01-27</VersionEffectiveBeginDt>
        <VersionDescriptionTxt>Initial version</VersionDescriptionTxt>
        <DescriptionTxt>Form 1095-B - Health Coverage Information Return</DescriptionTxt>
      </Component>
    </xsd:documentation>
  </xsd:annotation>
  <xsd:sequence>
    <xsd:element ref="RecordId"/>
    <xsd:element ref="TestScenarioId" minOccurs="0"/>
    <xsd:element ref="irs:CorrectedInd"/>
    <xsd:element ref="CorrectedRecordInfoGrp" minOccurs="0"/>
    <xsd:element ref="irs:TaxYr" minOccurs="0"/>
    <xsd:element ref="PolicyHolderInfoGrp" minOccurs="0"/>
    <xsd:element ref="SponsoringEmployerInfoGrp" minOccurs="0"/>
    <xsd:element ref="IssuerInfoGrp" minOccurs="0"/>
    <xsd:element ref="CoveredIndividualGrp" minOccurs="0" maxOccurs="999"/>
  </xsd:sequence>
</complexType>

```

Figure 6-2: Form 1094-C XML Schema Including RecordId

The *RecordIdType* eFile Type is defined in the XML Schema as a nonNegativeInteger with a minInclusive value="1". The Record ID cannot be less than 1 (one) and cannot include fractions or decimal values. The Record ID must not be duplicated within a submission. IRS recommends that the Record ID start at 1 for the first Form 1095 within the submission and increment by 1 for every subsequent Form 1095 in the submission.

Every Form 1095 record in a submission can be uniquely identified by the XML element *UniqueRecordId* which is derived by concatenating the transmission Receipt ID, the Form 1094 Submission ID, and the Form 1095 Record ID. For example, given the above referenced Receipt ID and a transmission from a Transmitter or Issuer that included 2 submissions with a variable number of records in each submission, Forms 1095-B would be uniquely identified as follows:

Table 6-3: Unique Record Identifier

Form 1094-B Transmittal <i>SubmissionId</i>	Form 1095-B <i>RecordId</i>	Unique Identifiers <i>UniqueSubmissionId</i> or <i>UniqueRecordId</i>
1		1095B-15-00000283 1
	1	1095B-15-00000283 1 1
	2	1095B-15-00000283 1 2

2		1095B-15-00000283 2
	1	1095B-15-00000283 2 1
	2	1095B-15-00000283 2 2
	3	1095B-15-00000283 2 3

6.4 Identifying Records with Errors

When the IRS records an error while processing a record, the unique identifiers described in Section 3.4.2 are used to inform the transmitter where the error occurred. Those errors are written to a file named the Error Data File. The Error Data File is attached (MTOM encoded attachment) to the SOAP Response message when the transmitter requests the status and processing detail (Acknowledgement) for the given transmission. Note: The Error Data File is returned for transmissions processed in the AATS and Production environment.

The content of the Error Data File can be found in the root element *IRS-ACABulkRequestTransmitterStatusDetailMessage.xsd* found in the MSG folder. The *ACABulkRequestTransmitterStatusDetailResponseType* eFile Type includes a single optional and unbounded XML element *TransmitterErrorDetailGrp*. The error information for each record is contained within this complex element:

Table 6-4: Error Data File Contents

Schema Constraints	XML Element	Description
Choice Elements – the applicable identifier will be included	<i>UniqueSubmissionId</i>	The unique identifier indicating which Form 1094 has errors
	<i>UniqueRecordId</i>	The unique identifier indicating which Form 1095 has errors
	<i>SchemaErrorInfo</i>	
	<i>ErrorMessageDetail</i>	<ul style="list-style-type: none"> • Error message code • Error message description • Pointer to the element (and instance if applicable)

A sample of the XML included in the Error Data File follows:

```
<?xml version="1.0" encoding="UTF-8"?>
<!--Sample XML file generated by XMLSpy v2014 rel. 2 sp1 (http://www.altova.com)-->
```

```

<n1:FormBCTransmitterSubmissionDtl xmlns="urn:us:gov:treasury:irs:common"
xmlns:air7.0="urn:us:gov:treasury:irs:ext:aca:air:7.0"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:n1="urn:us:gov:treasury:irs:msg:form1094-1095BCtransmittermessage"
xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-1095BCtransmittermessage
IRS-Form1094-1095BCTransmitterMessage.xsd">
  <air7.0:ACATransmitterSubmissionDetail>
    <air7.0:TransmitterErrorDetailGrp>
      <air7.0:SubmissionLevelStatusCd>Accepted with
errors</air7.0:SubmissionLevelStatusCd>
      <air7.0:UniqueSubmissionId>1095C-15-00000098|1</air7.0:UniqueSubmissionId>
      <ErrorMessageDetail>
        <ErrorMessageCd>AIRBR827</ErrorMessageCd>
        <ErrorMessageTxt>Minimum Essential Coverage Offer Indicator (All 12 Months)
is checked NO when some but not all of the corresponding months are checked NO or any
of the corresponding months are checked YES</ErrorMessageTxt>
        <XPathContent>ALEMemberInforGrp:MinEssentialCvrOffrInd</XPathContent>
      </ErrorMessageDetail>
    </air7.0:TransmitterErrorDetailGrp>
  </air7.0:ACATransmitterSubmissionDetail>
</n1:FormBCTransmitterSubmissionDtl>

```

When the transmitter receives the Receipt ID for a transmission, that Receipt ID must be maintained with the transmission and protected from loss or deletion. The transmitter will have all of the information required to uniquely identify records in a transmission (the Receipt ID, the Submission IDs on Forms 1094, and the Record IDs on Forms 1095). In the event an error is detected by the transmitter or the responsible filing entity (Issuer, Employer). If errors are identified by the transmitter of filing entity, and the record has been submitted and accepted by the IRS, the transmitter must use the same unique identifiers to determine the record that needs to be corrected.

For additional details on transmitting Corrections and Replacements, please refer to IRS Publication 5165, *Guide for Electronically Filing Affordable Care Act (ACA) Information Return for Software Developers and Transmitters (Processing Year 2016)*, October 2015 found on the [AIR Program](#) webpage.

7 Data Mapping for ISS-UI Services/ISS-A2A Web Services

This section provides mapping of the request and the response information exchanged between the transmitter and the IRS. The mapping feeds into the request and response XML schemas for the messages. Both the request and the response schemas are incorporated into the ACA XML library.

The data mapping that follows is structured according to the asynchronous business process as follows:

- The transmitter sends a transmission with a Form Data File to the IRS and receives a Receipt ID from the IRS in a single synchronous session. In the ISS-A2A Channel, the synchronous session is comprised of the transmitter sending a SOAP Request message with a transmission to IRS and receiving a SOAP Response message with the Receipt ID. In the ISS-UI Channel, the synchronous session is comprised of the transmitter logging on to the IRS, uploading the Transmission Data File containing information pertaining to the transmitter and the transmission, uploading the Form Data File, submitting the transmission and receiving the Receipt ID at their Web Browser.
- The transmitter sends a message with the Receipt ID associated with the transmission and receives the status and, if applicable error detail. In the ISS-A2A Channel, the synchronous session is comprised of the transmitter sending a SOAP Request message with the Receipt ID pertaining to the transmission for which they are requesting status and receiving a SOAP Response message with the status (one of Accepted, Accepted with Errors, Partially Accepted, Rejected, Processing, Not Found) and, if errors were found during processing, the Error Data File containing the details of the errors. In the ISS-UI Channel, the synchronous session is comprised of the transmitter logging on to the IRS, entering the Receipt ID and their TCC via a Web Browser and receiving the status via a web page and the capability to browse or download the Error Data File if errors were found during processing.

This section identifies the boundary data exchanged between the transmitter and the IRS for the following transactions:

- Transmitting the Form Data File and receiving the response
- Requesting the Acknowledgement and receiving the response

This section also identifies the business data sent to the IRS by a transmitter. The business data is sent to the IRS in the Form Data File containing Forms 1094/1095-B and Forms 1094/1095-C xml elements.

7.1 Data Mapping – Message Data Boundary

Tables 7-1 through 7-3 provide the data element definitions relevant to the transmission SOAP Request and SOAP Response messages.

Tables 7-4 through 7-6 provide the data element definitions relevant to the Acknowledgement request SOAP Request and SOAP Response messages.

Table 7-1: Form 1094-1095 B and C Transmission SOAP Request Header – ACA Business Header

ACABusinessHeader	Definition	XML Element Name
-------------------	------------	------------------

ACABusinessHeader	Definition	XML Element Name
Unique Transmission Id	Unique Transmission Id	UniqueTransmissionId
Time stamp	Time stamp	Timestamp

Table 7-2: Form 1094-1095 B and C Transmission SOAP Request Manifest Header

ACATransmitterManifestReqDtl	Definition	XML Element Name
Payment Yr	Payment year	PaymentYr
Prior Year Data Ind	Prior Filing year Indicator	PriorYearDataInd
Employer Identification Number (EIN)	The filer's nine digit EIN	EIN
Transmission Type Cd	Transmission Type Indicates whether Transmission has Original or Corrected Records	TransmissionTypeCd
Test File Ind	Test File Indicator	TestFileCd
Original Receipt ID	Original Receipt Identifier of the Transmission being replaced	OriginalReceiptId - (optional)
Transmitter Foreign Entity Ind	Transmitter Foreign Entity Indicator	TransmitterForeignEntityInd - (optional)
Transmitter Name Grp	Global type for name of the transmitter.	TransmitterNameGrp PersonFirstNm PersonMiddleNm - (optional) PersonLastNm SuffixNm - (optional)
Company Information Grp	Global type for the Company Information. <i>*USAddressGrpType and ForeignAddressGrpType are choice elements.</i>	CompanyNm MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt -(optional) CityNm USStateCd USZIPCd USZIPExtensionCd -(optional) ForeignAddressGrpAddressLine1Txt AddressLine2Txt-(optional) CityNm- (optional) CountryCd CountryName ForeignProvinceNm -

ACATransmitterManifestReqDtl	Definition	XML Element Name
		(optional) ForeignPostalCd-(optional) ContactNameGrp PersonFirstNm PersonMiddleNm - (optional) PersonLastNm SuffixNm-(optional) ContactPhoneNum
Vendor Information Grp	Global type for the vendor information	VendorInformationGrp VendorCd ContactNameGrp (Person) ContactPhoneNum
Total Payee Record Cnt	The Total Number of Payees count	TotalPayeeRecordCnt
Total Payer Record Cnt	The Total Number of Payer Records count	TotalPayerRecordCnt
Software Id	An identification number assigned by the IRS to a software	SoftwareId
Form Type Cd	Form Type 1094-1095B/C	FormTypeCd
Binary Format Cd	An identifier for a file format of content type of a binary object. Extensible Markup Language (XML) is the only accepted type at this time	BinaryFormatCd
Checksum Augmentation Num	An MD5 Checksum value provided by Requestors and IRS verify the size of file received is the same as the size transmitted	ChecksumAugmentationNum
Attachment Byte Size Num	A size of a binary object (attachment) in bytes	AttachmentByteSizeNum
Document System File Nm	The filename of a document in a file system	DocumentSystemFileNm

Table 7-3: Form 1094-1095 B and C Transmission SOAP Response

ACABusinessHeader	Definition	XML Element Name
UniqueTransmissionId	Unique Transmission ID	UniqueTransmissionId
Timestamp	Base type for a date and time stamp – Time zone portion is required and fractional seconds are prohibited	Timestamp
ACABulkRequestTransmitterResponse		
Transmission Status Code	<i>The current known status of the transmission (Processing or Rejected)</i>	TransmissionStatusCd
Receipt Id	A unique receipt identifier	ReceiptId
Error Message Detail	Global type for the Error Message Detail <i>*Error code/message always be one</i>	ErrorMessageDetail ErrorMessageCd ErrorMessageTxt

Table 7-4: Form 1094-1095 B and C Acknowledgement SOAP Request to check the Status of the Transmission

ACABusinessHeader	Definition	XML Element Name
UniqueTransmissionId	Unique Transmission ID	UniqueTransmissionId
Timestamp	Base type for a date and time stamp – Time zone portion is required and fractional seconds are prohibited	Timestamp
ACABulkRequestTransmitterStatusDetailRequest		
Receipt Id	A unique receipt identifier	ReceiptId

Table 7-5: Form 1094-1095 B and C Transmission SOAP Response Header

ACABusinessHeader	Definition	XML Element Name
UniqueTransmissionId	Global type for the Unique Transmitter Identifier	UniqueTransmissionId
Time stamp	Base type for a date and time stamp – Time zone portion is required and fractional seconds are	Timestamp

ACABusinessHeader	Definition	XML Element Name
	prohibited	
ACABulkRequestTransmitterResponse		
Transmission Status Code	<i>Current state of transmission processing (Processing or Rejected)</i>	TransmissionStatusCd
Receipt Id	A unique receipt identifier	ReceiptId

Table 7-6: Form 1094-1095 B and C Transmission SOAP Request Header – ACA Business Header

TransmitterErrorDetailGrp	Definition	XML Element Name
Unique Submission Id	Unique Submission Identifier	UniqueSubmissionId
Error Message Detail	Global type for name of the transmitter (<i>Multiple Error code / message</i>)	ErrorMessageDetail ErrorMessageCd ErrorMessageTxt XpathContent

7.2 Data Mapping – Form Data Boundary

Tables 7-7 and 7-8 provide the data element definitions relevant to Issuer Reporting. Issuers include health insurance issuer, sponsor of a self-insured health plan, government agency that administers government-sponsored health insurance programs. The Form Line Numbers are taken from the Form 1094-B and Form 1095-Bs.

Tables 7-9 and 7-10 provide the data element definitions relevant to Employer Reporting. Employers include Applicable Large Employers (ALE) within the meaning of IRC section 4980H(c)(2). The Form Line Numbers are taken from the Form 1094-C and Form 1095-C forms.

Table 7-7: Form 1094-B

Form Line Num	1094-B Data Element	Definition	XML Element Name
N/A	Calendar Year	Tax Year	TaxYr
1	Filer's name	Filer's complete Name	BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
2	Employer Identification	The filer's nine digit EIN.	EmployerEIN

Form Line Num	1094-B Data Element	Definition	XML Element Name
	Number (EIN)		
3	Name of person to contact	The name of the person to contact who is responsible for answering any questions.	ContactNameGrp PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
4	Contact telephone number	Phone number of contact person	ContactPhoneNum
5	Street Address (including room or suite no.)	The filer's complete address where all correspondence will be sent.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd
6	City or town	IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used. Choice between CountryCd and CountryName	Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
7	State or Province		
8	Country and ZIP or Foreign Postal Code		
9	Total number of Forms 1095-B submitted with this transmittal	The total number of Forms 1095-B that are transmitted with this Form 1094-B.	Form1095BAttachedCnt
N/A	Signature	Jurat Signature PIN*	JuratSignaturePIN
N/A	Title	Person Title*	PersonTitleTxt
N/A	Date	Signature Date	SignatureDt

* Please note that Signature and Title are not required for Form 1094C

Table 7-8: Form 1095-B

Form Line Num	1095-B Data Element	Definition	XML Element Name
N/A	Corrected	Identifies the Information Return as containing corrections to the previously transmitted 1095-B.	CorrectedInd
N/A	Corrected Record Info Grp	Information to identify the record being corrected	CorrectedRecordInfoGrp CorrectedUniqueRecordId CorrectedRecordPayeeName CorrectedRecordPayeeTIN

Form Line Num	1095-B Data Element	Definition	XML Element Name
N/A	Calendar Year	Tax Year	TaxYr
Part I Responsible Individual (Policy Holder)			PolicyHolderInfoGrp
1	Name of Responsible Individual	The name of the responsible individual	PolicyHolderName PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
2	Social security number (SSN)	The nine-digit social security number (SSN) of the responsible individual.	SSN
3	Date of birth (If SSN is not available)	The responsible individual's data of birth – only if Line 2 is blank	BirthDt
4	Street Address (Including Apartment no.)	The complete mailing address of the responsible individual. IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used Choice between CountryCd and CountryName.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
5	City or Town		
6	State or province		
7	Country and ZIP or Foreign Postal Code		
8	Enter letter identifying Origin of the Policy (see instructions for codes)	The letter identifying the origin of the policy: A. SHOP B. Employer-sponsored program C. Gov't-sponsored program D. Individual market insurance E. Multiemployer plan F. Miscellaneous MEC	PolicyOriginCd
9	Small Business Health Options Program (SHOP) Marketplace identifier, if applicable	Leave blank (DO NOT INCLUDE XML TAGS IN XML DOCUMENT)	SHOPIdentificationNum
Part II Employer Sponsored Coverage (If Line 8 is A or B, Complete this part)			SponsoringEmployerInfoGrp

Form Line Num	1095-B Data Element	Definition	XML Element Name
10	Employer Name	Name of the provider of the coverage.	BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
11	Employer Identification number (EIN)	The nine digits EIN of the provider of the coverage.	EIN
12	Street Address (Including room or suite no.)	The telephone number, including area code, of the provider of the coverage.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd
13	City or Town	The complete mailing address of the provider of the coverage.	
14	State or province	IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used. Choice between CountryCd and CountryName	Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
15	Country and ZIP or Foreign Postal Code		
Part III Issuer or Other Coverage Provider			IssuerInfoGrp
16	Name	Name of the provider of the coverage.	BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
17	Employer Identification number (EIN)	The nine digits EIN of the provider of the coverage.	EIN
18	Contact telephone number	The telephone number, including area code, of the provider of the coverage.	ContactPhoneNum
19	Street Address (Including room or suite no.)	The complete mailing address of the provider of the coverage.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd
20	City or Town	IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used. Choice between CountryCd and CountryName	Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
21	State or province		
22	Country and ZIP or Foreign Postal Code		

Form Line Num	1095-B Data Element	Definition	XML Element Name
Part IV Covered Individuals (Enter the information for each covered individual(s))			
CoveredIndividualGrp			
23a-28a	Name of covered individual(s)	Name of each covered individual.	CoveredIndividualName PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
23b-28b	SSN	The nine-digit social security number (SSN) of each covered individual.	SSN
23c-28c	DOB (If SSN is not Available)	The data of birth of each covered individual – only if SSN is not available	BirthDt
23d-28d	Covered All 12 Months	Check box indicating which months the covered individual was covered for at least one day if the covered individual was not covered for at least one day for all 12 months of the calendar year.	CoveredIndividualAnnualInd
23e-28e	Jan		CoveredIndividualMonthlyIndGrp JanuaryInd
23e-28e	Feb		FebruaryInd
23e-28e	Mar		MarchInd
23e-28e	Apr		AprilInd
23e-28e	May		MayInd
23e-28e	Jun		JuneInd
23e-28e	Jul		JulyInd
23e-28e	Aug		AugustInd
23e-28e	Sep		SeptemberInd
23e-28e	Oct		OctoberInd
23e-28e	Nov		NovemberInd
23e-28e	Dec		DecemberInd

Table 7-9: Form 1094-C

Form Line Num	1094-C Data Element	Definition	XML Element Name
N/A	Corrected	Identifies the Information Return as containing corrections to the previously	CorrectedInd

Form Line Num	1094-C Data Element	Definition	XML Element Name
		transmitted 1095-B.	
N/A	Corrected Submission Info Grp	Information to identify the submission(Form 1094-C) being corrected	CorrectedSubmissionInfoGrp CorrectedUniqueSubmissionId CorrectedSubmissionPayeeName CorrectedSubmissionPayeeTIN
N/A	Calendar Year	Tax Year	TaxYr
Part I Applicable Large Employer Member (ALE Member)			EmployerInformationGrp
1	Name of ALE Member (Employer)	The employer's name.	BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
2	Employer identification number (EIN)	The employers 9-digit EIN	EmployerEIN
3	Street address (including room or suite no.)	The employer's complete mailing address.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd
4	City or town		
5	State or province		
6	Country and ZIP or foreign postal code	IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used.	Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
7	Name of person to contact	Name of the person to contact who is responsible for answering any questions.	ContactNameGrp PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
8	Contact telephone number	Contact telephone number, including area code, of the person to contact who is responsible for answering any questions.	ContactPhoneNum
9	Name of Designated Government Entity (only if applicable)	The name of the Designated Government Entity (DGE) if a DGE is filing on behalf of the employer	GovtEntityEmployerInfoGrp BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
10	Employer identification number (EIN)	The DGE's 9-digit EIN	EmployerEIN

Form Line Num	1094-C Data Element	Definition	XML Element Name
11	Street address (including room or suite no.)	The DGE's complete mailing address.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd
12	City or town		
13	State or province		
14	Country and ZIP or foreign postal code	IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used.	Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
15	Name of person to contact	Name of the person to contact who is responsible for answering any questions.	ContactNameGrp PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
16	Contact telephone number	Contact telephone number, including area code, of the person to contact who is responsible for answering any questions.	ContactPhoneNum
17	Reserved	The line is reserved for future use.	
18	Total number of Forms 1095-C submitted with this transmittal	The total number of Forms 1095-C submitted with this Form 1094-C transmittal.	Form1095CAttachedCnt
Part II ALE Member Information			
19	Is this the authoritative transmittal for this ALE Member?	If checkbox is checked, identifies the Form 1094-C transmittal as the Authoritative Transmittal to report aggregate employer-level data for the employer.	AuthoritativeTransmittalInd
20	Total number of Forms 1095-C filed by and/or on behalf of ALE Member	The total number of Forms 1095-C that will be filed by and/or on behalf of the employer.	TotalForm1095CALEMemberCnt
21	Is ALE Member a member of and Aggregated ALE Group?	If during any month of the calendar year the employer was a member of an Aggregated ALE Group, check "Yes" checkbox.	AggregatedGroupMemberInd

Form Line Num	1094-C Data Element	Definition	XML Element Name
		Otherwise, check the “No” checkbox.	
22	Certifications of Eligibility	Check each applicable box if the employer meets the eligibility requirements and is using one of the Offer Methods and/or one of the forms of Transition Relief Indicated: A. Qualifying Offer Method B. Qualifying Offer Method Transition Relief C. Section 4980H Transition Relief D. 98% Offer Method	QualifyingOfferMethodInd QlfyOfferMethodTrnstReliefInd Section4980HReliefInd NinetyEightPctOfferMethodInd
N/A	Signature	Not required	JuratSignaturePIN
N/A	Title	Not required	PersonTitleTxt
N/A	Date	The date that the submission is completed.	SignatureDt
Part III ALE Member Information – Monthly			ALEMemberInformationGrp
23a-35a	Minimum Essential Coverage Offer Indicator	Indicates if employer offered MEC under an eligible employer-sponsored plan to at least 95% of its full-time employees and their dependents for either the entire calendar year or certain calendar months.	YearlyALEMemberDetail MinEssentialCvrOffrInd JanALEMonthlyInfoGrp- DecALEMonthlyInfoGrp MinEssentialCvrOffrInd
23b-35b	Full-Time Employee Count for ALE Member	The number of full-time employees for each month	JanALEMonthlyInfoGrp- DecALEMonthlyInfoGrp ALEMemberFTECnt
23c-35c	Total Employee Count for ALE Member	The total number of employees (full-time and nont-full-time) for each calendar month.	YearlyALEMemberDetail TotalEmployeeCnt JanALEMonthlyInfoGrp- DecALEMonthlyInfoGrp TotalEmployeeCnt
23d-35d	Aggregated Group Indicator	Indicates employer was a member of an Aggregated ALE either for the entire calendar year or certain calendar months.	YearlyALEMemberDetail AggregatedGroupInd JanALEMonthlyInfoGrp- DecALEMonthlyInfoGrp AggregatedGroupInd
23e-35e	Section 4980H Transition Relief Indicator	Indicates if the employer is eligible for Section 4980H Transition Relief and the 50-99 Relief (Code A), or is eligible for Section 4980H Transition Relief and is eligible for the 100 or More Relief (Code B)	YearlyALEMemberDetail ALESect4980HTrnstReliefCd <u>JanALEMonthly – DecAleMonthly</u> ALESect4980HTrnstReliefCd

Form Line Num	1094-C Data Element	Definition	XML Element Name
Part IV Other ALE Members of Aggregated ALE Group			OtherALEMembersGrp
36-65	Other ALE Members of Aggregated ALE Group Name	The names and EIN of the other Aggregated ALE Group members (up to 30) , if the employer is a member of an Aggregated ALE Group for any month of the calendar year.	BusinessName BusinessNameLine1Txt BusinessNameLine2Txt
36-65	EIN		EIN

Table 7-10: Form 1095-C

Form Line Num	1095-C Data Element	Definition	XML Element Name
N/A	Corrected	Identifies the Information Return as containing corrections to the previously transmitted and accepted 1095-B.	CorrectedInd
N/A	Corrected Record Info Grp	Information to identify the record being corrected	CorrectedRecordInfoGrp CorrectedUniqueRecordId CorrectedRecordPayeeName CorrectedRecordPayeeTIN
N/A	Calendar Year	Tax Year	TaxYr
Part I Employee			EmployeeInfoGrp
1	Name of employee	The name of the employee	OtherCompletePersonName PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
2	Social security number (SSN)	The 9-digit SSN of the employee	SSN
3	Street address (including apartment no.)	The employee’s complete address. IRS XML schema provides a choice between using US Address or Foreign Address. Differences are noted in individual fields on the right. If TransmitterForeignEntityInd is true then ForeignAddressGrp will be used.	MailingAddressGrp USAddressGrp AddressLine1Txt AddressLine2Txt CityNm USStateCd USZIPCd USZIPExtensionCd Or ForeignAddressGrp AddressLine1Txt AddressLine2Txt CityNm
4	City or town		
5	State or province		
6	Country and ZIP or foreign postal code		

Form Line Num	1095-C Data Element	Definition	XML Element Name
			CountryCd - OR CountryName ForeignProvinceNm ForeignPostalCd
Applicable Large Employer Member (ALE Member)			
10	Contact telephone number	Contact telephone number, including area code, of the person to contact who is responsible for answering any questions.	ContactPhoneNum
Part II Employee Offer and Coverage			
14	Offer of Coverage	The applicable code from Code Series 1, either for "All 12 Months" if the same code applies for all 12 calendar months, or the applicable code for each month (see form instructions for Code Series 1 values). 1A 1B 1C 1D 1E 1F 1G 1H 1I	EmployeeOfferAndCoverageGrp AnnualOfferOfCoverageCd MonthlyOfferCoverageGrp JanOfferCd - DecOfferCd
15	Employee Share of Lowest Cost Monthly Premium, for Self-Only Minimum Value Coverage	The amount of the employee share of the lowest-cost monthly premium for self-only minimum essential coverage providing minimum value that is offered to the employee (only if line 15 is 1B, 1C, 1D, or 1E).	EmployeeOfferAndCoverageGrp AnnIShrLowestCostMthlyPremAmt MonthlyShareOfLowestCostMonthlyPremGrp JanuaryAmt - DecemberAmt
16	Applicable Section 4980H Safe Harbor	The applicable code from Series 2, either for "All 12 Months" if the same code applies for all 12 calendar months, or the applicable code for each month (see form instructions for Code Series 2 values). 2A 2B 2C 2D 2E 2F 2G 2H 2I	EmployeeOfferAndCoverageGrp AnnualSafeHarborCd MonthlySafeHarborGrp JanSafeHarborCd - DecSafeHarborCd
Part III Covered Individuals			
N/A	Checkbox indicating if Employer provided self-insured	If the employer is completing Part III, enter "X" in the check box in Part III. If the employer is not completing Part III, do	CoveredIndividualInd

Form Line Num	1095-C Data Element	Definition	XML Element Name
	coverage.	not enter " X" in the check box in Part III.	
17a-22a	Name of covered individuals	The name of each covered individual.	CoveredIndividualGrp CoveredIndividualName PersonFirstNm PersonMiddleNm PersonLastNm SuffixNm
17b-22b	SSN	The 9-digit SSN for each covered individual.	CoveredIndividualGrp SSN
17c-22c	DOB (if SSN not available)	The date of birth for the covered individual if SSN is blank.	CoveredIndividualGrp BirthDt
17d-22d	Annual or Monthly Coverage	Checkbox if the individual was covered for at least one day per month for all 12 months of the calendar year.	CoveredIndividualGrp CoveredIndividualAnnualInd
17e-22e	Months of Coverage	Checkboxes indicating which months the individual was covered for at least one day if the individual was not covered for all 12 months of the calendar year.	CoveredIndividualGrp CoveredIndividualMonthlyInd JanuaryInd - DecemberInd

8 Example ISS-A2A Web Service Messages

8.1 Transmitter Services SOAP Message Elements

- ACATransmitterManifestReqDtl
- Form109495BTransmittalUpstream
- ACATransmitterManifestRespDtl
- ACATransmitterBusinessHeaderRequest
- ACATransmitterResponseAckGrp
- ACATransmitterManifestRespDtl

8.1.1 IRS-ACASubmitService SOAP Request Message – Manifest Header (ACAGetTransmitterBulkRequestService.wsdl)

The ACABusinessHeader, WSSESecurity, ACASecurity and ACATransmitterManifestReqDtl elements are required for a SOAP request message sent by a transmitter to be sent to transmission to the IRS. The root element for the Manifest Header XML Schema is found in the IRS-Form1094-1095BCTransmitterReqMessage.xsd file located in the MSG folder.

See Section 7.1 for details on the ACABusinessHeader XML. See Section 5.3.2 for details on the WSSESecurity and ACASecurity XML.

```
<?xml version="1.0" encoding="UTF-8"?>
<soapenv:Envelope
  xmlns:acaBodyReq="urn:us:gov:treasury:irs:msg:irsacabulkrequesttransmitter"
  xmlns:acaBusHeader="urn:us:gov:treasury:irs:msg:acabusinessheader"
  xmlns:irs="urn:us:gov:treasury:irs:common" xmlns:air7.0="urn:us:gov:treasury:irs:ext:aca:air:7.0"
  xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/">
  <soapenv:Header>
    <air7.0:ACATransmitterManifestReqDtl>
      <air7.0:PaymentYr>2016</air7.0:PaymentYr>
      <air7.0:PriorYearDataInd>0</air7.0:PriorYearDataInd>
      <irs:EIN>irs:EIN</irs:EIN>
      <air7.0:TransmissionTypeCd>0</air7.0:TransmissionTypeCd>
      <air7.0:TestFileCd>TestFileCode</air7.0:TestFileCd>
      <air7.0:OriginalReceiptId>OriginalReceiptId
      </air7.0:OriginalReceiptId>
      <air7.0:TransmitterForeignEntityInd>0</air7.0:TransmitterForeignEntityInd>
    </air7.0:ACATransmitterManifestReqDtl>
  </soapenv:Header>
</soapenv:Envelope>
```

```

<air7.0:TransmitterNameGrp>
  <air7.0:BusinessNameLine1Txt>BusinessNameLine1Txt
  </air7.0:BusinessNameLine1Txt>
  <air7.0:BusinessNameLine2Txt>BusinessNameLine2Txt
  </air7.0:BusinessNameLine2Txt>
</air7.0:TransmitterNameGrp>
<air7.0:CompanyInformationGrp>
  <air7.0:CompanyNm>CompanyNm</air7.0:CompanyNm>
  <air7.0:MailingAddressGrp>
    <air7.0:USAddressGrp>
      <air7.0:AddressLine1Txt>AddressLine1Txt </air7.0:AddressLine1Txt>
      <air7.0:AddressLine2Txt>AddressLine2Txt </air7.0:AddressLine2Txt>
      <irs:CityNm>CityNm</irs:CityNm>
      <air7.0:USStateCd>AL</air7.0:USStateCd>
      <irs:USZIPCd>20000</irs:USZIPCd>
<irs:USZIPExtensionCd>USZIPExtensionCd</irs:USZIPExtensionCd>
    </air7.0:USAddressGrp>
  </air7.0:MailingAddressGrp>
  <air7.0:ContactNameGrp>
    <irs:PersonFirstNm>PersonFirstNm</irs:PersonFirstNm>
    <irs:PersonMiddleNm>PersonMiddleNm</irs:PersonMiddleNm>
    <irs:PersonLastNm>PersonLastNm</irs:PersonLastNm>
    <irs:SuffixNm>SuffixNm</irs:SuffixNm>
  </air7.0:ContactNameGrp>
  <air7.0:ContactPhoneNum>ContactPhoneNum </air7.0:ContactPhoneNum>
</air7.0:CompanyInformationGrp>
<air7.0:VendorInformationGrp>
  <air7.0:VendorCd>VendorCode</air7.0:VendorCd>
  <air7.0:ContactNameGrp>
    <irs:PersonFirstNm>PersonFirstNm</irs:PersonFirstNm>
    <irs:PersonMiddleNm>PersonMiddleNm</irs:PersonMiddleNm>
    <irs:PersonLastNm>PersonLastNm</irs:PersonLastNm>
    <irs:SuffixNm>SuffixNm</irs:SuffixNm>
  </air7.0:ContactNameGrp>
  <air7.0:ContactPhoneNum>0000000000</air7.0:ContactPhoneNum>
</air7.0:VendorInformationGrp>
<air7.0:TotalPayeeRecordCnt>0</air7.0:TotalPayeeRecordCnt>
<air7.0:TotalPayerRecordCnt>1</air7.0:TotalPayerRecordCnt>
<air7.0:SoftwareId>SoftwareId</air7.0:SoftwareId>

```

```

    <air7.0:FormTypeCd>1094/1095B</air7.0:FormTypeCd>
    <irs:BinaryFormatCd>application/xml</irs:BinaryFormatCd>
    <irs:ChecksumAugmentationNum>ChecksumAugmentationNum
  </irs:ChecksumAugmentationNum>
    <irs:AttachmentByteSizeNum>0</irs:AttachmentByteSizeNum>
  <air7.0:DocSystemFileNm>DocSystemFileNm.xml</air7.0:DocSystemFileNm>
</air7.0:ACATransmitterManifestReqDtl>
  <acaBusHeader:ACABusinessHeader>
    <air7.0:UniqueTransmissionId>54213342-e29b-41d4-a716-594645440013:SYS12:XXXXX::T
    </air7.0:UniqueTransmissionId>
    <irs:Timestamp>2015-01-10T12:31:22Z</irs:Timestamp>
  </acaBusHeader:ACABusinessHeader>
  <urn4:ACASecurityHeader
    xmlns:urn4="urn:us:gov:treasury:irs:msg:acasecurityheader" />
  <oas:Security
    xmlns:oas="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd" />
</soapenv:Header>
<soapenv:Body>
  <acaBodyReq:ACABulkRequestTransmitter
    version="1.0">
    <irs:BulkExchangeFile>DocSystemFileNm.xml</irs:BulkExchangeFile>
  </acaBodyReq:ACABulkRequestTransmitter>
</soapenv:Body>
</soapenv:Envelope>

```

8.1.2 IRS-ACASubmitService SOAP Request Message – Form Data File (ACAGetTransmitterBulkRequestService.wsdl)

The following sample XML includes the Form Data File elements required for a SOAP request message sent by a transmitter to send a Form 1094/1095-B transmission to the IRS. The root element for the Form 1094/1095-B Form Data File XML Schema is found in the IRS-Form1094-1095BTransmitterUpstreamMessage.xsd file located in the MSG folder.

```

<?xml version="1.0" encoding="UTF-8"?>
<p:Form109495BTransmittalUpstream xmlns:p="urn:us:gov:treasury:irs:msg:form1094-1095Btransmitterupstreammessage"
xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0" xmlns:p2="urn:us:gov:treasury:irs:common"

```

```

xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095Btransmitterupstreammessage IRS-Form1094-1095BTransmitterUpstreamMessage.xsd ">
  <p1:Form1094BUpstreamDetail lineNum="0" recordType="">
    <p1:SubmissionId>1</p1:SubmissionId>
    <p2:TaxYr>2015</p2:TaxYr>
    <p1:BusinessName>
      <p1:BusinessNameLine1Txt>ACME Widgets</p1:BusinessNameLine1Txt>
      <p1:BusinessNameLine2Txt>BusinessNameLine2Txt</p1:BusinessNameLine2Txt>
    </p1:BusinessName>
    <p2:BusinessNameControlTxt>ACME</p2:BusinessNameControlTxt>
    <p2:TINRequestTypeCd>BUSINESS_TIN</p2:TINRequestTypeCd>
    <p2:EmployerEIN>00000000</p2:EmployerEIN>
    <p1:ContactNameGrp>
      <p2:PersonFirstNm>PersonFirstNm</p2:PersonFirstNm>
      <p2:PersonMiddleNm>PersonMiddleNm</p2:PersonMiddleNm>
      <p2:PersonLastNm>PersonLastNm</p2:PersonLastNm>
      <p2:SuffixNm>SuffixNm</p2:SuffixNm>
    </p1:ContactNameGrp>
    <p1:ContactPhoneNum>1234567890</p1:ContactPhoneNum>
    <p1:MailingAddressGrp>
      <p1:USAddressGrp>
        <p1:AddressLine1Txt>AddressLine1Txt</p2:AddressLine1Txt>
        <p1:AddressLine2Txt>AddressLine2Txt</p2:AddressLine2Txt>
        <p1:CityNm>CityNm</p2:CityNm>
        <p1:USStateCd>AL</p2:USStateCd>
        <p1:USZIPCd>20001</p2:USZIPCd>
        <p1:USZIPExtensionCd>0000</p2:USZIPExtensionCd>
      </p1:USAddressGrp>
    </p1:MailingAddressGrp>
    <p1:Form1095BAttachedCnt>1</p1:Form1095BAttachedCnt>
    <p1:Form1095BUpstreamDetail lineNum="0" recordType="">
      <p1:RecordId>1</p1:RecordId>
      <p2:CorrectedInd>0</p2:CorrectedInd>
      <p1:CorrectedRecordInfoGrp>
        <p1:CorrectedUniqueRecordId>1095B-15-00000283:SYS12:BB000::T|1|1</p1:CorrectedUniqueRecordId>
        <p1:CorrectedRecordPayeeName>
          <p2:PersonFirstNm>PersonFirstNm</p2:PersonFirstNm>
          <p2:PersonMiddleNm>PersonMiddleNm</p2:PersonMiddleNm>
          <p2:PersonLastNm>PersonLastNm</p2:PersonLastNm>
        </p1:CorrectedRecordPayeeName>
      </p1:CorrectedRecordInfoGrp>
    </p1:Form1095BUpstreamDetail>
  </p1:Form1094BUpstreamDetail>

```

```
<p2:SuffixNm>SuffixNm</p2:SuffixNm>
</p1:CorrectedRecordPayeeName>
<p1:CorrectedRecordPayeeTIN>123456789</p1:CorrectedRecordPayeeTIN>
</p1:CorrectedRecordInfoGrp>
<p2:TaxYr>2015</p2:TaxYr>
<p1:PolicyHolderInfoGrp>
  <p1:PolicyHolderName>
    <p2:PersonFirstNm>PersonFirstNm</p2:PersonFirstNm>
    <p2:PersonMiddleNm>PersonMiddleNm</p2:PersonMiddleNm>
    <p2:PersonLastNm>PersonLastNm</p2:PersonLastNm>
    <p2:SuffixNm>SuffixNm</p2:SuffixNm>
  </p1:PolicyHolderName>
  <p2:PersonNameControlTxt>ABCD</p2:PersonNameControlTxt>
  <p2:TINRequestTypeCd>INDIVIDUAL_TIN</p2:TINRequestTypeCd>
  <p2:SSN>123456789</p2:SSN>
  <p2:BirthDt>2001-01-01</p2:BirthDt>
  <p1:MailingAddressGrp>
    <p1:USAddressGrp>
      <p1:AddressLine1Txt>p2:AddressLine1Txt</p2:AddressLine1Txt>
      <p1:CityNm>CityNm</p2:CityNm>
      <p1:USStateCd>AL</p2:USStateCd>
      <p1:USZIPCd>20001</p2:USZIPCd>
    </p1:USAddressGrp>
  </p1:MailingAddressGrp>
  <p1:PolicyOriginCd>A</p1:PolicyOriginCd>
</p1:PolicyHolderInfoGrp>
<p1:SponsoringEmployerInfoGrp>
  <p1:BusinessName>
    <p1:BusinessNameLine1Txt>BusinessNameLine1Txt</p1:BusinessNameLine1Txt>
    <p1:BusinessNameLine2Txt>BusinessNameLine2Txt</p1:BusinessNameLine2Txt>
  </p1:BusinessName>
  <p2:BusinessNameControlTxt>ABCD</p2:BusinessNameControlTxt>
  <p2:EIN>123456789</p2:EIN>
  <p2:TINRequestTypeCd>BUSINESS_TIN</p2:TINRequestTypeCd>
  <p1:MailingAddressGrp>
    <p1:USAddressGrp>
      <p1:AddressLine1Txt>AddressLine1Txt</p2:AddressLine1Txt>
      <p1:AddressLine2Txt>AddressLine1Txt</p2:AddressLine2Txt>
      <p1:CityNm>CityNm</p2:CityNm>
```



```

    <p1:USStateCd>AL</p2:USStateCd>
    <p1:USZIPCd>20001</p2:USZIPCd>
  </p1:USAddressGrp>
</p1:MailingAddressGrp>
</p1:SponsoringEmployerInfoGrp>
<p1:IssuerInfoGrp>
  <p1:BusinessName>
    <p1:BusinessNameLine1Txt>BusinessNameLine1Txt</p1:BusinessNameLine1Txt>
    <p1:BusinessNameLine2Txt>BusinessNameLine2Txt</p1:BusinessNameLine2Txt>
  </p1:BusinessName>
  <p2:BusinessNameControlTxt>ABCD</p2:BusinessNameControlTxt>
  <p2:TINRequestTypeCd>BUSINESS_TIN</p2:TINRequestTypeCd>
  <p2:EIN>123456789</p2:EIN>
  <p1:ContactPhoneNum>1234567890</p1:ContactPhoneNum>
<p1:MailingAddressGrp>
  <p1:USAddressGrp>
    <p1:AddressLine1Txt>AddressLine1Txt</p2:AddressLine1Txt>
    <p1:AddressLine2Txt>AddressLine2Txt</p2:AddressLine2Txt>
    <p1:CityNm>CityNm</p2:CityNm>
    <p1:USStateCd>AL</p2:USStateCd>
    <p1:USZIPCd>20001</p2:USZIPCd>
  </p1:USAddressGrp>
</p1:MailingAddressGrp>
</p1:IssuerInfoGrp>
<p1:CoveredIndividualGrp>
  <p1:CoveredIndividualName>
    <p2:PersonFirstNm>PersonFirstNm</p2:PersonFirstNm>
    <p2:PersonMiddleNm>PersonMiddleNm</p2:PersonMiddleNm>
    <p2:PersonLastNm>PersonLastNm</p2:PersonLastNm>
    <p2:SuffixNm>SuffixNm</p2:SuffixNm>
  </p1:CoveredIndividualName>
  <p2:PersonNameControlTxt>ABCD</p2:PersonNameControlTxt>
  <p2:TINRequestTypeCd>INDIVIDUAL_TIN</p2:TINRequestTypeCd>
  <p2:SSN>123456789</p2:SSN>
  <p2:BirthDt>2001-01-01</p2:BirthDt>
  <p1:CoveredIndividualAnnualInd>1</p1:CoveredIndividualAnnualInd>
  <p1:CoveredIndividualMonthlyInd>
    <p1:JanuaryInd>1</p1:JanuaryInd>
    <p1:FebruaryInd>1</p1:FebruaryInd>
  </p1:CoveredIndividualMonthlyInd>
</p1:CoveredIndividualGrp>

```

```

    <p1:MarchInd>1</p1:MarchInd>
    <p1:AprilInd>1</p1:AprilInd>
    <p1:MayInd>1</p1:MayInd>
    <p1:JuneInd>1</p1:JuneInd>
    <p1:JulyInd>1</p1:JulyInd>
    <p1:AugustInd>1</p1:AugustInd>
    <p1:SeptemberInd>1</p1:SeptemberInd>
    <p1:OctoberInd>1</p1:OctoberInd>
    <p1:NovemberInd>1</p1:NovemberInd>
    <p1:DecemberInd>1</p1:DecemberInd>
  </p1:CoveredIndividualMonthlyInd>
</p1:CoveredIndividualGrp>
</p1:Form1095BUpstreamDetail>
</p1:Form1094BUpstreamDetail>
</p:Form109495BTransmittalUpstream>

```

8.1.3 IRS-ACASubmitService SOAP Response Message (ACAGetTransmitterBulkRequestService.wsdl)

The following sample XML includes the Manifest Header elements required in the SOAP Response message sent by IRS to the transmitter. The SOAP Response message is returned to the transmitter as part of the synchronous session for sending transmissions to the IRS. The root element for the SOAP Response message XML Schema (applies to both Form 1094/1095-B and Form 1094/1095-C) is found in the IRS-Form1094-1095BCTransmitterRespMessage.xsd file located in the MSG folder.

```

<?xml version="1.0" encoding="UTF-8"?>
<p:TransmitterManifestResponse
  xmlns:p="urn:us:gov:treasury:irs:msg:form1094-1095BCTransmitterrespmessage"
  xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0"
  xmlns:p2="urn:us:gov:treasury:irs:common"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-1095BCTransmitterrespmessage IRS-Form1094-
  1095BCTransmitterRespMessage.xsd ">
  <p1:ACATransmitterManifestRespDtl>
    <p1:UniqueTransmitterId>p1:UniqueTransmitterId</p1:UniqueTransmitterId>
    <p1:TransmitterControlCd>BB002</p1:TransmitterControlCd>
    <p1:ShipmentRecordNum>BB00200</p1:ShipmentRecordNum>
  </p1:ACATransmitterManifestRespDtl>

```

```

<p2:ReceiptId>p2:ReceiptId</p2:ReceiptId>
<p1:FormTypeCd>1094/1095B</p1:FormTypeCd>
<p2:Timestamp>2015-12-31T12:00:00Z</p2:Timestamp>
<p2:SubmissionStatusCd>Accepted</p2:SubmissionStatusCd>
<p2:ErrorMessageDetail>
  <p2:ErrorMessageCd>p2:ErrorMessageCd</p2:ErrorMessageCd>
</p2:ErrorMessageDetail>
<p2:DocSystemFileNm>DocSystemFileNm </p2:DocSystemFileNm>
<p2:ChecksumAugmentationNum>p2:ChecksumAugmentationNum</p2:ChecksumAugmentationNum>
  <p2:AttachmentByteSizeNum>14556</p2:AttachmentByteSizeNum>
</p1:ACATransmitterManifestRespDtl>
</p:TransmitterManifestResponse>

```

8.1.4 IRS-ACAackngService SOAP Request Message (ACAGetTransmitterBulkRequestStatus.wsdl)

The following sample XML provided by the IRS as a response to a status service request made by the transmitter. The SOAP Response message is returned to the transmitter as part of the synchronous session. The root element for the SOAP Response message XML Schema (applies to both Form 1094/1095-B and Form 1094/1095-C) is found in the IRS-Form1094-1095BCResponseAckMessage.xsd file located in the MSG folder.

```

<?xml version="1.0" encoding="UTF-8"?>
<p:FormBCTransmittalAckResponse xmlns:p="urn:us:gov:treasury:irs:msg:form1094-1095bcresponseackmessage"
xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0" xmlns:p2="urn:us:gov:treasury:irs:common"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095bcresponseackmessage IRS-Form1094-1095BCResponseAckMessage.xsd ">
  <p1:ACATransmitterResponseAckGrp>
    <p2:ReceiptId> ReceiptId</p2:ReceiptId>
    <p1:TransmitterControlCd> TransmitterControlCd</p1:TransmitterControlCd>
  </p1:ACATransmitterResponseAckGrp>
</p:FormBCTransmittalAckResponse>

```

8.1.5 IRS-ACAackngService SOAP Response Message – Manifest Header (ACAGetTransmitterBulkRequestStatus.wsdl)

```

<p:TransmitterManifestResponse xmlns:p="urn:us:gov:treasury:irs:msg:form1094-1095BCtransmitterrespmessage"
xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0" xmlns:p2="urn:us:gov:treasury:irs:common"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095BCtransmitterrespmessage IRS-Form1094-1095BCTransmitterRespMessage.xsd ">
  <p1:ACATransmitterManifestRespDtl>
    <p1:UniqueTransmitterId> UniqueTransmitterId</p1:UniqueTransmitterId>
    <p1:TransmitterControlCd> BB002</p1:TransmitterControlCd>
    <p1:ShipmentRecordNum>BB00200</p1:ShipmentRecordNum>
    <p2:ReceiptId> ReceiptId</p2:ReceiptId>
    <p1:FormTypeCd>1094/1095B</p1:FormTypeCd>
    <p2:Timestamp>2015-12-31T12:00:00Z</p2:Timestamp>
    <p2:SubmissionStatusCd>Accepted</p2:SubmissionStatusCd>
    <p2:ErrorMessageDetail>
      <p2:ErrorMessageCd> ErrorMessageCd</p2:ErrorMessageCd>
    </p2:ErrorMessageDetail>
    <p2:DocSystemFileNm>DocSystemFileNm </p2:DocSystemFileNm>
  <p2:ChecksumAugmentationNum> ChecksumAugmentationNum</p2:ChecksumAugmentationNum>
    <p2:AttachmentByteSizeNum>14556</p2:AttachmentByteSizeNum>
  </p1:ACATransmitterManifestRespDtl>
</p:TransmitterManifestResponse>

```

8.1.6 IRS- ACAAckngService SOAP Response Message – Error Data File (ACAGetTransmitterBulkRequestStatus.wsdl)

The following sample XML includes the Error Data File elements that are sent by the IRS to a transmitter to provide error status detail. The root element for the Form 1094/1095-B Error Data File XML Schema is found in the IRS-Form1094-1095BCTransmitterMessage.xsd file located in the MSG folder.

```

<p:TransmitterManifestResponse xmlns:p="urn:us:gov:treasury:irs:msg:form1094-1095BCtransmitterrespmessage"
xmlns:p1="urn:us:gov:treasury:irs:ext:aca:air:7.0" xmlns:p2="urn:us:gov:treasury:irs:common"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="urn:us:gov:treasury:irs:msg:form1094-
1095BCtransmitterrespmessage IRS-Form1094-1095BCTransmitterRespMessage.xsd ">
  <p1:ACATransmitterManifestRespDtl>
    <p1:UniqueTransmitterId> UniqueTransmitterId</p1:UniqueTransmitterId>
    <p1:TransmitterControlCd> BB002</p1:TransmitterControlCd>
    <p1:ShipmentRecordNum>BB00200</p1:ShipmentRecordNum>
    <p2:ReceiptId> ReceiptId</p2:ReceiptId>
    <p1:FormTypeCd>1094/1095B</p1:FormTypeCd>

```

```
<p2:Timestamp>2015-12-31T12:00:00Z</p2:Timestamp>  
<p2:SubmissionStatusCd>Accepted</p2:SubmissionStatusCd>  
<p2:ErrorMessageDetail>  
  <p2:ErrorMessageCd> ErrorMessageCd</p2:ErrorMessageCd>  
</p2:ErrorMessageDetail>  
<p2:DocSystemFileNm>DocSystemFileNm </p2:DocSystemFileNm>  
<p2:ChecksumAugmentationNum> ChecksumAugmentationNum</p2:ChecksumAugmentationNum>  
  <p2:AttachmentByteSizeNum>14556</p2:AttachmentByteSizeNum>  
</p1:ACATransmitterManifestRespDtl>  
</p:TransmitterManifestResponse>
```

9 General Philosophy on Data Elements in XML Schemas

In general, most data elements in the schemas for each form, schedule, and supporting document have been declared optional. Most of the required elements are in the schema for the SOAP message header. The schema for the SOAP message header contains identifying information about the entity filing the return, the officer responsible for the data in the return, the preparer, and the preparing firm. Hence there are very few data elements that are not required.

This philosophy of keeping most data elements optional in the schemas is consistent with the way paper returns are filed, i.e., the taxpayer and return preparer have the responsibility to provide information as specified by IRS forms, instructions, and regulations.

10 Validating a Transmission against XML Schema

10.1 Structure of Transmission

Following is a high-level content model of a transmission XML:

```
Transmission
  SOAP Envelope
  SOAP Header
    ACA Business Header
    ACA Security Header
    WSSESecurity Header
    Manifest Header
  SOAP Body
    Form Data File (MTOM Attachment)
```

See Section 12.1 for a description of the Processing Assertions, associated error codes and actions relevant to errors detected in the IRS Portal that result in a rejection of the message. In these cases, the AIR System does not receive the transmission for processing and thus the IRS does not have a record of the transmission. The Transmitter must fix the problem that resulted in the error condition and retransmit the transmission exactly as intended (i.e., Original records, Correction records, or Replacement transmission).

10.2 Validation of Transmission

Validation of Transmission requires that the transmission, which is XML document, complies with latest published XML schema, schema versioning rules, encoding scheme, etc. This section covers important aspects of transmission validation rules.

When entering character data into an XML document, it is important to ensure that the specified encoding supports the characters provided. By design, AIR uses Unicode Transformation Format-8 (UTF-8). AIR does not support any other encoding scheme (for example, UTF-16 and UTF-32)

Schema versions are baselined as major or minor releases. A major release is not backward compatible. That is to say, that XML documents developed against an older release of the XML Schema will not validate against the updated release of the XML Schema. A release of the XML Schema is considered major if it introduces mandatory (required) structural changes (e.g., an “optional” XML element is changed to “required” or new “required” elements are introduced). Whereas a minor release of the XML Schema is backward compatible in that no mandatory structural changes are introduced (e.g., a “required” XML element is changed to “optional” or new “optional” XML elements are introduced).

Transmissions will be validated against the applicable major version, including all minor releases pertaining to the major version, of an XML Schema against which the XML document was generated.

For example, the current baselined version of the XML Schema is 2015v2abc for PY 2016. A transmitter submits prior year data that was generated against XML Schema 2015v1def that

was baselined for TY 2015. That XML document will be validated against the 2015v1 XML Schema including all minor releases.

As another example, the current baselined version of the XML Schema is 2016v2.42. A transmitter submits an XML document generated against an older version of the XML Schema 2016v2.14. The XML document will be validated against the 2016v2.42 XML Schema since it is backward compatible with the 2016v2.14 schema.

Below are some XML resources regarding XML Schemas and software tools and parsers. (These resources are provided for information only—the IRS is not endorsing any product.)

- W3C XML Home Page: <http://www.w3.org/XML/>
- W3C XML Schema Home Page: <http://www.w3.org/XML/Schema>
- XML Spy: <http://www.xmlspy.com/>
- Apache Xerces parser toolkit: <http://xml.apache.org/>
- Microsoft MSDN library: <http://www.microsoft.com/xml>

Note: You may choose any third party parser toolkit or use your own.

10.3 Validating the Transmission Envelope Including Contents

The transmission file is a MIME multi-part document that conforms to the “SOAP 1.1 with attachments” standard. It consists of two parts: the SOAP envelope and the SOAP attachment. The SOAP envelope maintains transmission level information, and the SOAP attachment contains the returns. MIME boundaries separate the two parts in the multi-part document. The SOAP envelope consists of a SOAP header and a SOAP body. The SOAP header, also referred to as the *transmission header* in the AIR System, contains information about the transmitter and the transmission. The SOAP body, also referred to as the *transmission manifest*, contains a list of all returns included in the SOAP attachment. Validation of the SOAP envelope (a.k.a., transmission envelope) including its contents consists of the following steps.

1. Validate the SOAP envelope XML instance against the SOAP schema, [SOAP.xsd](#). The standard SOAP schema has been used without modification:
<http://schemas.xmlsoap.org/soap/envelope/>.
2. Validate SOAP header against schema. SOAP header consists of two elements:
 - ACABusinessHeader
 - ACABatchManifestDetail
 ACABusinessHeader and ACABatchManifestDetail are defined in IRS-CAC.xsd file.
3. Validate SOAP body against schema. SOAP body consists of one element:
 - BulkRequestTransmitter

The structure and content of the transmission file and each return included in the transmission file is validated to ensure that it conforms to the structure published by the IRS and the rules established by the IRS.

- The structure of the transmission file is checked for conformance to MIME standard, and the structure of the return data is checked to ensure that it conforms to the XML Schemas published by the IRS.
- The return data is validated against the IRS databases and checked for conformance to business rules published by the IRS.

10.4 How Errors are Reported

When either structural violations are discovered or the data fails one or more business rules, errors are generated and reported to the transmitter in an Error Data File when the transmitter requests the Acknowledgement for the transmission.

The headers in the transmission file are validated to ensure that their values (and their parameter values, if any) are set correctly. The content of the transmission envelope is validated to ensure that it is structurally correct (XML Schema validation per SOAP1.1) and each reference in the transmission manifest is found in the transmission file. If the transmission file structure fails XML Schema validation or violates certain fatal manifest rules published by the IRS, the entire transmission is rejected. The returns included within the transmission file are NOT checked for errors in this case.

If the transmission file structure conforms to IRS manifest and manifest business rules, then each return in the transmission file is validated to make sure that the data is structurally correct and conforms to the published business rules. Structural correctness means that the data conforms to the published XML Schemas. For example, all required elements are present and they conform to their established cardinality. Conforming to business rules means that the relationships among the data elements hold as stated in the published business rules. When structural violations are discovered or the data fails one or more business rules, errors are generated, persisted within the IRS AIR System and reported to the transmitter in an Error Data File.

AIR performs two types of validations against a transmission:

- Structural Validation – Conformance of XML data against the published schemas
- Business Rule Validation – Conformance of data to the established relationships among data elements

As stated above, when a structural violation is discovered in the transmission file, the transmission is rejected. For example, if the ID of the transmitter (e. g., TCC) is not included in the transmission header, the transmission is rejected and the content of the attached Form Data File is not examined.

On the other hand, when a structural violation (conformance of XML data against published schema i.e. not well-formed or missing required elements) is discovered in a return, *the entire transmission is rejected*. When the data violates a business rule that checks data against an IRS database (e.g., the UTID or TCC provided is not listed in the IRS database) or when the data violates a business rule that checks for data consistency, then *the return is accepted-with-error* and errors are reported back to the transmitter in Error Data File. Errors reported to the transmitter needs to be corrected and resubmitted to IRS.

11 Reporting Business Rule Validation Errors

The AIR System will process health coverage data received via Issuer Information Returns (Forms 1094-B, *Transmittal of Health Coverage Information Returns* and 1095-B, *Health Coverage*) and Employer Information Returns (Forms 1094-C, *Transmittal of Employer-Provided Health Insurance Offer and Coverage Information Returns* and 1095-C, *Employer-Provided Health Insurance Offer and Coverage*). The AIR System will ensure that all AIR data is processed according to approved requirements and validated according to IRS business rules. The business rules are applied in order to validate the received data and perform error analysis.

11.1 Overview

The structure and content of the transmission file and each included Information Return is validated to ensure it conforms to the published structure and rules established by the IRS. Structural validation includes validating a transmission against a schema which was covered in the previous section. Data validation of Information Returns includes validating a transmission against IRS business rules.

Sets of business rules specify the validation rules for Form 1094/1095-B and Form 1094/1095-C Information Returns. The business rules are tailored to accommodate unique data elements/schema items, various potential error conditions and other particulars of the ACA Information Returns.

11.2 Data Validation and Error Reporting

The IRS business rules specify the potential error conditions for each Information Return element and indicate if the error is reported (via error statement and/or code) to the transmitter. It must be noted that “faults” (described in the next section) differ from validation errors – a fault is an issue during transmission whereas a validation error is the result of business rules processing.

When data elements fail business rules, errors are generated and reported to the transmitter via a status request. Structural/Schema errors result in the transmission being rejected. Information Return Business Rule validation will result in the errors being reported to the transmitter (when requested). Rejections could occur at the submission/transmittal level (Form 1094 with associated Form 1095s) depending on the error condition.

11.2.1 Transmitters should not include optional XML elements if they do not have data for the element. Processing Status

When a transmitter requests the Acknowledgement for their transmission, the IRS returns one of the following statuses:

- Accepted
- Accepted with Errors
- Partially Accepted
- Rejected
- Processing
- Not Found

In addition, any errors that were found when the transmission was processed are provided in the Error Data File. The next section discusses the error codes and their respective descriptions.

11.2.2 Error Library

Appendix A, AIR TY2015 Error Code Library, contains the error codes and descriptions that may be returned to the transmitter.

The library is a live reference and changes as development progresses. The AIR TY2015 Error Code Library can be found at the following irs.gov web site (See Business Rules Version PDF and CSV Formats and Error Codes):

<http://www.irs.gov/portal/site/irspup/menuitem.143f806b5568dcd501db6ba54251a0a0/?vgnextoid=2ca67252e9cbe410VgnVCM2000003c4d0a0aRCRD&vgnnextchannel=db07dcc08ba78410VgnVCM2000003c4d0a0a&vgnnextfmt=default>

AIR TY2015 Error Code Library updates will be included and aligned with the XML Schema and the ACA AIR Business Rules for each major and minor release. SOAP Fault Response Messages

Instead of a response SOAP message, IRS may send a SOAP fault message to the transmitter if request SOAP message has a fatal error. This section describes conditions that will result in SOAP fault.

Conditions that cause a SOAP Fault response and their associated error codes and means of informing the transmitter about these errors are currently being developed and will be included in a future revision of this document.

12 SOAP Fault Response Messages

Instead of a response SOAP message, IRS may send a SOAP fault message to the transmitter if request SOAP message has a fatal error. This section describes conditions that will result in SOAP fault.

When the transmission is rejected in the IRS portal, there are indications that there is an error in the message pertaining to things like the SOAP envelope (Headers or Body), certain rules pertaining to the SOAP message have not been followed (such as the Form Data File exceeds the 100 MB file size constraint), the WS-Security elements are incorrect, potential XML threats have been detected either in the message or the MTOM attachment, and other possible problems.

12.1 IEP Error Codes and Messages

The error codes and messages included in Table: 12-1 provide information that would be returned from the IRS to the Transmitter in the event a transmission is rejected before it can be transmitted to the AIR System. These error codes are specifically prefixed with “TPE” to identify that the transmission was rejected before it got to AIR.

In the event a Transmitter receives one of the fault codes included in the following table, they must address the cause of the rejection and resubmit the transmission.

Table 12-1: IEP Processing Assertions and Actions

Fault Code	Fault Message	Recommended Steps
TPE1001	Unable to route request to web service provider	IRS is experiencing a system error. Please try again later or call the IRS Help Desk at <XXX>.
TPE1100	Authentication Failure: Certificate missing or invalid. Please resubmit with a valid certificate	Ensure that the request contains a valid digital certificate that has been pre-registered with the IRS.
TPE1102	Requested service is not available at this time. Please resubmit during normal operation hours	Try again during normal operation hours.
TPE1105	Message not formatted properly and/or cannot be interpreted for routing. The message may have malformed XML or it may not have sufficient or accurate information to match a published WSDL. Please check message and try again.	Ensure that the SOAP message, including the Manifest file, conforms to XML standards and that the URL contains a valid service name.
TPE1106	Request message violates WSDL schema specification	Ensure that the SOAP message, including the Manifest file, is compliant with the published XML schema and WSDL.
TPE1107	Request message exceeds maximum size limit	Ensure that the Form file attachment is smaller than 100 MB uncompressed.
TPE1108	Request message contains unexpected content	Ensure that the SOAP message, including the Manifest file, conforms to XML coding standards.
TPE1109	Request message contains unexpected content	Ensure that the SOAP message, including the Manifest file, conforms to XML coding standards.
TPE1110	Request message contains unexpected content	Ensure that the SOAP message, including the Manifest file, conforms to XML coding standards.
TPE1112	Request message must be sent using HTTP compression	Ensure that the request message is compressed using GZIP HTTP compression.
TPE1114	Request message must be MTOM encoded	Ensure that the SOAP attachment is properly MTOM-encoded.
TPE1115	Internal Error Occurred while decoding MTOM message. Please resubmit.	Ensure that the SOAP attachment is properly MTOM-encoded.

Fault Code	Fault Message	Recommended Steps
TPE1122	Invalid WS Security Header. Please try again.	Ensure that the SOAP message, including the Manifest file, contains the necessary signed WS-Security elements.
TPE1123	Invalid WS Security Timestamp. Please try again.	Ensure that the SOAP message, including the Manifest file, contains the necessary signed WS-Security elements.
TPE1126	Unable to authenticate connectivity at this time. Please try again later.	IRS is experiencing a system error. Please try again later or call the IRS Help Desk at <XXX>.
TPE1128	Unable to authenticate connectivity at this time. Please try again.	Ensure that the request contains valid credentials.
TPE1129	Unable to authenticate connectivity at this time. Please try again.	Ensure that the request contains valid credentials.
TPE1130	Failed to retrieve a valid Test File Indicator	Ensure that the 'Test File Indicator' is set to the correct value.
TPE1131	Invalid UTID was identified on the Request Message	Ensure that the 'UTID' is set to a valid value.
TPE1201	Message attachment not formatted properly and/or cannot be parsed. The message attachment may have malformed XML or it may not have sufficient or accurate information to match an internal XSD file.	Ensure that the SOAP attachment conforms to XML coding standards.
TPE1203	Request message attachment contains unexpected content	Ensure that the SOAP attachment conforms to XML coding standards.
TPE1204	Request message attachment contains unexpected content	Ensure that the SOAP attachment conforms to XML coding standards.
TPE1205	Request message attachment contains unexpected content	Ensure that the SOAP attachment conforms to XML coding standards.
TPE1206	Request message contains more than one attachment. Please resubmit with only one attachment	Ensure that the request contains only one SOAP attachment.
TPE1207	This web service requires an attachment to be included with request message. Please add attachment to request and resubmit	Ensure that the request contains a valid SOAP attachment.

Conditions that cause a SOAP Fault response and their associated error codes and means of informing the transmitter about these errors are currently being developed and will be included in a future revision of this document.

Appendix A – AIR TY 2015 Error Code Library

Note: There are pending updates to AIR TY 2015 Error Code Library. The next version of this document will include the necessary updates.

Error Code	Error Description	Mapping to Business Rules
AIRER600	Rejected transmission - MTOM attachment is missing	
AIRER602	Payload attachment error (MTOM) - unable to read the attachment	
	Additional Codes will be identified and added here related with system (SOAP message level) errors in the future	
	<i>Direct Rejection Error(s)</i>	
AIREX100	Rejected transmission - Error in SubmissionID (duplicate)	1094B-148-01, 1094C-211-01
AIREX101	Rejected transmission - Error in RecordID (duplicate)	1095B-160, 1095C-276
AIREX102	Rejected transmission - 1094-C has corrected check box checked when included with filings for 1095-C	1094C-279
AIREX107	Rejected transmission - Corrected Unique Record ID is present when correction indicator is not checked (false or 0)	1095B-218, 1095C-332
AIREX108	Rejected transmission - Corrected Unique Submission ID is present when correction indicator is not checked (false or 0)	1094C-278
AIREX109	Rejected transmission - Corrected Unique Record ID has no value when correction indicator is checked (true or 1)	1095B-217, 1095C-330
AIREX110	Rejected transmission - Corrected Unique Submission ID has no value when correction indicator is checked (true or 1)	1094C-280
AIREX111	Rejected transmission - Cannot make multiple corrections to same 1094/1095	1095B-219, 1094C-281, 1095C-333
AIREX112	Rejected transmission - 1095-C filing not included with an original 1094-C	MANIFEST-018, MANIFEST-019
	<i>TIN Validation Error(s)</i>	

Error Code	Error Description	Mapping to Business Rules
AIRTN500	TIN Validation Failed	1094B-154, 1095B-169, 187, 210 1094C-214, 223, 1095C-285, 317
	<i>XML Schema Validation (Form/Record Level)</i>	
AIRSH101	XML Schema Validation Failed in Manifest	
AIRSH102	XML Schema Validation Failed - not well formed or missing required elements	
Form 1094-B and 1095-B		
	<i>Business Rules Errors - Consistency Checks Form 1094-B</i>	
AIRBR400	Tax Year is incorrect	1094B-149
AIRBR401	Filer's TIN Type is missing	1094B-160
AIRBR408	Filer's TIN Type is incorrect	1094B-151
AIRBR402	Filer's EIN is invalid - contains sequential numbers	1094B-152
AIRBR403	Filer's EIN is invalid - has all the same digits	1094B-153
AIRBR404	Filer's contact phone number is missing	1094B-156-01
AIRBR405	Filer's mailing address is missing	1094B-157
AIRBR406	Total number of Forms 1095-B submitted with this transmittal is missing	1094B-158
AIRBR407	Total count of number of Forms 1095-B submitted with this transmittal is incorrect	1094B-159
	<i>Business Rules Errors - Cardinality Checks Form 1094-B</i>	
AIRBR500	Filer's name is missing	1094B-150
AIRBR502	Filer's name of person to contact is missing	1094B-155
	<i>Business Rules Errors - Consistency Checks Form 1095-B</i>	

Error Code	Error Description	Mapping to Business Rules
AIRBR600	Tax Year is missing	1095B-161
AIRBR400	Tax Year is incorrect	1095B-162
AIRBR601	Tax Year reported on Form 1095 doesn't match the tax year reported on Form 1094	1095B-163
AIRBR602	Responsible individual's TIN Type is missing	1095B-165-01, 1095B-211
AIRBR631	Responsible individual's TIN Type is incorrect	1095B-165-01
AIRBR603	Responsible individual's SSN and DOB are both missing when one is required	1095B-166
AIRBR604	Responsible individual's SSN is invalid - contains sequential numbers	1095B-167
AIRBR605	Responsible individual's SSN is invalid - contains all the same digits	1095B-168
AIRBR635	Responsible Individual's SSN is invalid - contains alpha characters	1095B-221
AIRBR636	Responsible Individual's SSN is invalid - is not nine digits	1095B-220
AIRBR606	Responsible individual's DOB is in the future	1095B-170-01
AIRBR607	Responsible individual's DOB is earlier than Tax Year minus 120 years	1095B-171-01
AIRBR608	Responsible individual's mailing address is missing	1095B-172
AIRBR609	Origin of the Policy is missing	1095B-173
AIRBR610	Origin of the Policy is incorrect	1095B-174
AIRBR611	SHOP Marketplace ID is not missing	1095B-175
AIRBR612	Employer's TIN Type is missing	1095B-177
AIRBR613	Employer's TIN Type is incorrect (is not an EIN)	1095B-177
AIRBR614	Employer's EIN is missing and Origin of Policy is code A	1095B-178
AIRBR615	Employer's EIN is invalid - contains sequential numbers	1095B-179
AIRBR616	Employer's EIN is invalid - contains all the same digits	1095B-180
AIRBR637	Employer's EIN is invalid - contains alpha characters	1095B-221
AIRBR638	Employer's EIN is invalid - is not nine digits	1095B-220
AIRBR617	Employer's mailing address is missing and Origin of Policy is code A	1095B-181
AIRBR618	Issuer's TIN Type is missing	1095B-183, 1095B-213
AIRBR619	Issuer's TIN Type is incorrect (is not an EIN)	1095B-183

Error Code	Error Description	Mapping to Business Rules
AIRBR620	Issuer's EIN is missing	1095B-184
AIRBR632	Issuer's EIN is invalid - contains sequential numbers	1095B-185
AIRBR633	Issuer's EIN is invalid - contains all the same digits	1095B-186
AIRBR639	Issuer's EIN is invalid - contains alpha characters	1095B-223
AIRBR640	Issuer's EIN is invalid - is not nine digits	1095B-222
AIRBR621	Issuer's Contact telephone number is missing	1095B-188
AIRBR622	Issuer's mailing address is missing	1095B-189
AIRBR623	Covered individual's TIN Type is missing	1095B-195, 1095B-215
AIRBR624	Covered individual's TIN Type is incorrect (is not a SSN)	1095B-195
AIRBR625	Covered individual's SSN and DOB are both missing when one is required	1095B-200, 201-01
AIRBR626	Covered individual's SSN is invalid - contains sequential numbers	1095B-198
AIRBR627	Covered individual's SSN is invalid - contains all the same digits	1095B-199
AIRBR641	Covered Individual's SSN is invalid - contains alpha characters	1095B-225
AIRBR642	Covered Individual's SSN is invalid - is not nine digits	1095B-224
AIRBR628	Covered individual's DOB is in the future	1095B-202
AIRBR629	Covered individual's DOB is earlier than Tax Year minus 120 years	1095B-203
AIRBR634	Covered "All 12 months" checked and only some months checked	1095B-216
AIRBR630	Covered individual's name or SSN or DOB are present, when Covered all 12 months not checked or no months checked	1095B-204-01, 205-01, 206-01, 207-01, 208-01, 209-01
	<i>Business Rules Errors - Cardinality Checks Form 1095-B</i>	
AIRBR700	Responsible individual's name is missing	1095B-164
AIRBR701	Employer's name is missing and Origin of Policy is code A	1095B-176
AIRBR702	Issuer's name is missing	1095B-182
AIRBR704	Covered individual's name is missing when at least one is required	1095B-190, 1095B-214
AIRBR703	Covered individual's name is missing	1095B-191, 192, 193, 194

Error Code	Error Description	Mapping to Business Rules
Form 1094-C and 1095-C		
<i>Business Rules Errors - Consistency Checks Form 1094-C</i>		
AIRBR400	Tax Year is incorrect	1094C-212
AIRBR800	Employer's TIN Type is missing	1094C-276
AIRBR848	Employer's TIN Type is incorrect	1094C-215
AIRBR801	Employer's EIN is invalid - contains sequential numbers	1094C-216
AIRBR802	Employer's EIN is invalid - has all the same digits	1094C-217
AIRBR803	Employer's mailing address is missing	1094C-218
AIRBR804	Employer's contact phone number is missing	1094C-220
AIRBR805	Designated Government Entity's TIN Type is missing	1094C-277
AIRBR806	Designated Government Entity's TIN Type is incorrect (is not an EIN)	1094C-224
AIRBR807	Designated Government Entity's EIN is missing	1094C-225, 226
AIRBR808	Designated Government Entity's EIN is invalid - contains sequential numbers	1094C-227
AIRBR809	Designated Government Entity's EIN is invalid - has all the same digits	1094C-228
AIRBR851	Designated Government Entity's EIN is invalid - contains alpha characters	1094C-282
AIRBR852	Designated Government Entity's EIN is invalid - is not nine digits	1094C-283
AIRBR810	Designated Government Entity's mailing address is missing	1094C-229
AIRBR811	Designated Government Entity's contact phone number is missing	1094C-233, 234, 235
AIRBR812	Total number of Forms 1095-C submitted with this transmittal is missing	1094C-236
AIRBR813	Total count of number of Forms 1095-C submitted with this transmittal is incorrect	1094C-237
AIRBR814	Total number of Forms 1095-C filed by and/or on behalf of ALE Member is missing	1094C-238

Error Code	Error Description	Mapping to Business Rules
AIRBR815	Total number of Forms 1095-C filed by and/or on behalf of ALE Member has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-239
AIRBR816	Aggregated Group Member indicator is missing when authoritative transmittal indicator is checked (value of "true" or "1")	1094C-240
AIRBR817	Aggregated Group Member indicator has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-241
AIRBR818	Aggregated Group Member indicator has a value ("false" or "0") when ALE member's name or EIN is present	1094C-242, 243
AIRBR819	Qualifying Offer Method has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-244
AIRBR820	Qualifying Offer Method Transition Relief has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-245
AIRBR821	Section 4980H Transition Relief has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-246
AIRBR822	98% Offer Method has a value when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-247
AIRBR823	Minimum Essential Coverage Offer Indicator of all 12 months and all of the individual months are missing or has a value ("false" or "0") when authoritative transmittal indicator is checked (value of "true" or "1")	1094C-248, 252
AIRBR824	Minimum Essential Coverage Offer Indicator of all 12 months and any of the individual months has a value ("false" or "0") when Qualifying Offer Method and 98% Offer Method has a value	1094C-249, 250
AIRBR825	Minimum Essential Coverage Offer Indicator of all 12 months or any of the individual months has a value ("true" or "1") when authoritative transmittal indicator is missing or not checked (value of "false" or "0")	1094C-251, 253

Error Code	Error Description	Mapping to Business Rules
AIRBR826	Minimum Essential Coverage Offer Indicator (All 12 Months) is checked YES when some but not all of the corresponding months are checked YES or any of the corresponding months are checked NO	1094C-254
AIRBR827	Minimum Essential Coverage Offer Indicator (All 12 Months) is checked NO when some but not all of the corresponding months are checked NO or any of the corresponding months are checked YES	1094C-255
AIRBR828	Full-Time Employee Count for ALE Member value is missing for all of the individual months when authoritative transmittal indicator is checked (value of "true" or "1")	1094C-256
AIRBR830	Full-Time Employee Count for ALE Member has a value for any of the individual months when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-257
AIRBR831	Total Employee Count for ALE Member (All 12 Months) and monthly counts have no value when authoritative transmittal indicator is checked (value of "true" or "1")	1094C-258, 260
AIRBR833	Total Employee Count for ALE Member (All 12 Months) has a value when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-259
AIRBR835	Total Employee Count for ALE Member (monthly) is less than Full-Time Employee Count for the same month	1094C-261
AIRBR836	Total Employee Count for ALE Member (monthly) for any month has a value when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-259
AIRBR837	Neither Aggregated Group Indicator (All 12 Months checkbox) or any months (monthly checkbox) is checked when authoritative transmittal indicator is checked (value of "true" or "1")	1094C-262, 1094C-264
AIRBR838	Aggregated Group Indicator (All 12 Months) is checked when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-263

Error Code	Error Description	Mapping to Business Rules
AIRBR840	Aggregated Group Indicator (monthly) for any month is checked when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-263
AIRBR853	Neither Section 4980H Transition Relief Indicator (All 12 Months) or (monthly) is checked when required	1094C-265, 1094C-268
AIRBR841	Section 4980H Transition Relief Indicator (ALEsect4980HTrnstReliefCd - All 12 Months) has a value when Section 4980H Transition Relief Indicator (Section4980HReliefInd) is not checked	1094C-266
AIRBR842	Section 4980H Transition Relief Indicator (ALEsect4980HTrnstReliefCd - All 12 Months) has a value when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-267
AIRBR843	Section 4980H Transition Relief Indicator (monthly) for any month has a value when Section 4980H Transition Relief Indicator (Section4980HReliefInd) is not checked	1094C-266
AIRBR844	Section 4980H Transition Relief Indicator (monthly) for any month has a value when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-267
AIRBR845	Section 4980H Transition Relief Indicator (monthly) has a code that is different from a code in a different month	1094C-269
AIRBR846	Other ALE Members EIN of Aggregated ALE Group is missing when authoritative transmittal indicator is checked and Aggregated Group Member Indicator is checked or member name is present for Other Members Aggregated ALE Group	1094C-273, 274
AIRBR847	EIN of Other ALE Members of Aggregated ALE Group is present when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-275
<i>Business Rules Errors - Cardinality Checks Form 1094-C</i>		
AIRBR900	Employer's name is missing	1094C-213
AIRBR902	Employer's name of person to contact is missing	1094C-219
AIRBR903	Designated Government Entity's name is missing	1094C-221, 222

Error Code	Error Description	Mapping to Business Rules
AIRBR905	Designated Government Entity's name of person to contact is missing	1094C-230, 231, 232
AIRBR906	Other ALE Members of Aggregated ALE Group Name is missing when authoritative transmittal indicator is checked and Aggregated Group Member Indicator is checked or EIN is present for Other Members Aggregated ALE Group	1094C-270, 271
AIRBR907	Member name of Other ALE Members of Aggregated ALE Group is present when authoritative transmittal indicator is not checked (value of "false" or "0")	1094C-272
	<i>Business Rules Errors - Consistency Checks Form 1095-C</i>	
AIRBR600	Tax Year is missing	1095C-277
AIRBR601	Tax Year reported on Form 1095 doesn't match the tax year reported on Form 1094	1095C-279
AIRBR400	Tax Year is incorrect	1095C-278
AIRBR1000	Employee's TIN Type is missing	1095C-328
AIRBR1001	Employee's TIN Type is incorrect	1095C-281
AIRBR1002	Employee's SSN is missing	1095C-282
AIRBR1003	Employee's SSN is invalid - contains sequential numbers	1095C-283
AIRBR1004	Employee's SSN is invalid - contains all the same digits	1095C-284
AIRBR1018	Employee's SSN is invalid - contains alpha characters	1095C-334
AIRBR1019	Employee's SSN is invalid - is not nine digits	1095C-335
AIRBR1005	Employee's mailing address is missing	1095C-286

Error Code	Error Description	Mapping to Business Rules
AIRBR804	Employer's contact phone number is missing	1095C-287
AIRBR1006	Offer of Coverage is missing	1095C-288, 289
AIRBR1007	Offer of Coverage not a valid code	1095C-290, 291
AIRBR1008	Offer of Coverage (all 12 months) has a value when there are different codes for different months	1095C-292, 293
AIRBR1009	Offer of Coverage (all 12 months) has a different value than any of the months	1095C-293, 294
AIRBR1010	Offer of Coverage (all 12 months) has a value when only some months have a value	1095C-293, 294
AIRBR1011	Employee's Share of Lowest Cost Monthly Premium (annual or monthly) is missing	1095C-295, 296
AIRBR1012	Employee's Share of Lowest Cost Monthly Premium is negative value	1095C-297, 298
AIRBR1013	Employee's Share of Lowest Cost Monthly Premium (All 12 months) has a value when there are different amounts for different months	1095C-299
AIRBR1014	Employee's Share of Lowest Cost Monthly Premium (All 12 months) has a different value than any of the months	1095C-300, 301
AIRBR1015	Employee's Share of Lowest Cost Monthly Premium (All 12 months) has a value when only some months have a value	1095C-300
AIRBR1016	Applicable Section 4980H Safe Harbor has an invalid code	1095C-302, 303
AIRBR1020	Applicable Section 4980H Safe Harbor (all 12 months) has a different value than any of the months	1095C-304
AIRBR1017	Employer provided self-insured coverage not checked while any of the covered individual fields have a value	1095C-305

Error Code	Error Description	Mapping to Business Rules
AIRBR623	Covered individual's TIN Type is missing	1095C-329
AIRBR624	Covered individual's TIN Type is incorrect (is not a SSN)	1095C-311
AIRBR625	Covered individual's SSN and DOB are missing	1095C-312, 313, 314, 318-01
AIRBR626	Covered individual's SSN is invalid - contains sequential numbers	1095C-315
AIRBR627	Covered individual's SSN is invalid - contains all the same digits	1095C-316
AIRBR641	Covered individual's SSN is invalid - contains alpha character	1095C-336
AIRBR642	Covered individual's SSN is invalid - is not nine digits	1095C-337
AIRBR628	Covered individual's DOB is in the future	1095C-319
AIRBR629	Covered individual's DOB is earlier than Tax Year minus 120 years	1095C-320
AIRBR634	Covered "All 12 months" checked and only some months checked	1095C-325
AIRBR630	Covered individual's name or SSN or DOB are present, when Covered all 12 months not checked or no months checked	1095C-321, 322, 323, 324, 326, 327
<i>Business Rules Errors - Cardinality Checks Form 1095-C</i>		
AIRBR1100	Employee's name is missing	1095C-280
AIRBR703	Covered individual's name is missing	1095C-306, 307, 308, 309, 310-01
Manifest		
AIRMF3000	Rejected transmission - Unable to process your request because validation failure occurs in the Test File Indicator	MANIFEST-009, MANIFEST-010
AIRMF3001	Rejected transmission - Unable to process your request because validation failure occurs in the Software ID	MANIFEST-016, MANIFEST-017
AIRMF3002	Rejected transmission - Unable to process your request because validation failure occurs in the Attachment Byte Size Number	
AIRMF3003	Rejected transmission - Error occurred in the TCC	HEADER-023, HEADER-024

Error Code	Error Description	Mapping to Business Rules
AIRMF3004	Rejected transmission - Error occurred in the Form Type Code	MANIFEST-020
AIRMF3005	Rejected transmission - Error occurred in Form Status for Form Type	MANIFEST-011
AIRMF3006	Rejected transmission - Error occurred in UUID	HEADER-025
AIRMF3008	Rejected transmission - Payment Year cannot equal Tax Year if Prior Indicator is "true"	MANIFEST-002, MANIFEST-003
AIRMF3009	Rejected transmission - File cannot contain corrected records when Transmission Type Indicator is "O"	MANIFEST-006
AIRMF3010	Rejected transmission - File cannot contain original records when Transmission Type indicator is "C"	MANIFEST-007
AIRMF3011	File does not contain Vendor Name and/or Phone Number	MANIFEST-013
AIRMF3012	Total Payee Count reported does not equal number of Payee Records in file.	MANIFEST-014
AIRMF3013	Total Payer Count reported does not equal number of Payer Records in file.	MANIFEST-015
AIRMF3014	Rejected transmission - File is a duplicate	MANIFEST-022
AIRMF3015	Rejected transmission - Original UTID not found or not valid for replacement	MANIFEST-008, MANIFEST-012
AIRMF3016	Rejected transmission - Original UTID not found or not valid for correction	MANIFEST-008

Acronyms

Accepted Acronym	Term Name
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Accepted Acronym	Term Name
A2A	Application to Application
ACA	Affordable Care Act
ACK	Acknowledgment
IEP	Integrated Enterprise Portal
MTOM	Message Transmission Optimization Mechanism
SOAP	Simple Object Access Protocol
UI	User Interface