

ACET, April 2022 Title IV, Part A

Can I Use My Title IV, Part A funds for that?

Rod Pruitt, TIVPA State Initiative Coordinator Nancy Galle, TIVPA State Initiative Consultant <u>www.esc14.net/page/t4si</u>





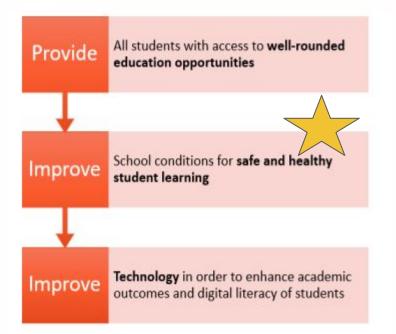
Title IV, Part A School Safety State Initiative

Disclaimer: The information contained in this document is for general information purposes only. You should not rely solely on this information. The contents of this document are subject to change as a result of further potential information and guidance provided by federal and state agencies with regulatory oversight of these programs. Therefore, this document does not constitute legal advice, and entities are advised to seek legal counsel regarding the information and guidance provided in this document before acting on such information and guidance.



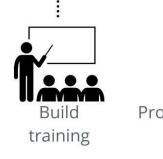
Title IV, Part A Program Intent and Purposes

Grant is designed to improve the academic achievement of all students, by increasing the capacity of LEAs, school, and communities to:





Title IVA School Safety State Initiative Capacity Building Grant











Learning opportunities

 $\mathbf{a}^{\mathcal{O}}$

Under Title IV, Part A, with a focus on **school safety.**





Healthy and Active Lifestyles

- Nutritional Education
- Structured P.E. Activities addressing chronic disease management led by school nurses



School-based Mental Health Services

- Individual Counseling
- Group Counseling

Crisis Management

and

Conflict Resolution Techniques Implementation of Schoolwide Positive Behavioral Interventions and Supports

5



Unallowable Use of Funds

Title IV, Part A Statute General Provisions

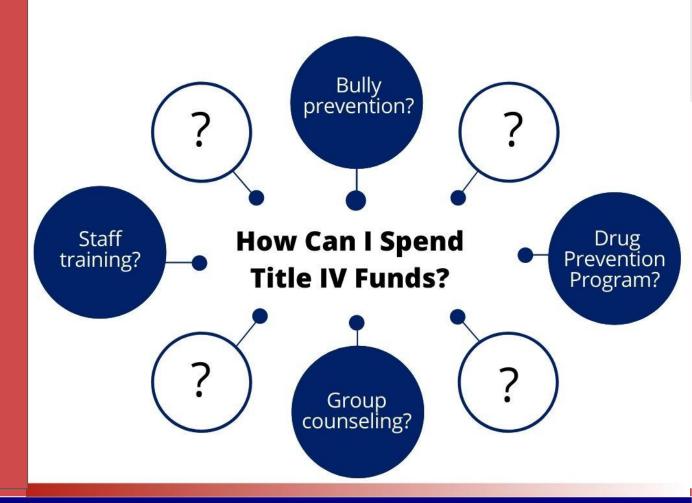
- Section 4001(b)
 - Medical services, drug treatment, or rehabilitation not integrated through Title IV, Part A student supports, referral to treatment, etc.,
- Section 4001(c)
 - Prescriptions for controlled substances

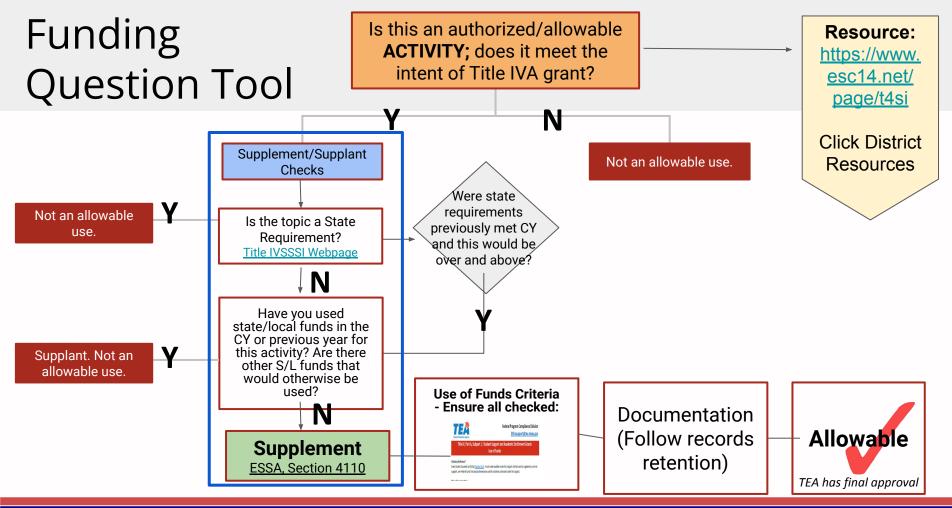
ESSA Statute, Part F, Subpart 2: Uniform Provisions

- Section 8526
 - Construction, renovation, or repair

TEA Budgeting Cost Guidance Handbook

Have you asked this question...







Title IVA Funds can be spent on ⇔

<u>USDE</u>

Non-Regulatory

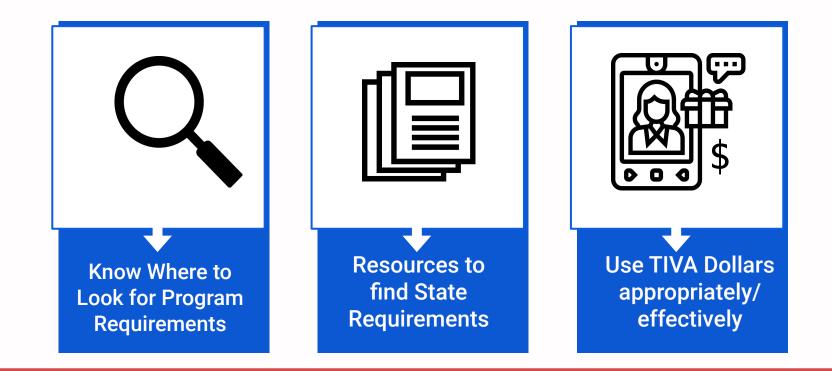
Guidance, p. 27

Table 2. Overview of Authorized Topics Under S	Section 4108 of the ESEA*
------------------------------------------------	---------------------------

Safe and Supportive Schools	Student Physical and Mental Health
 Preventing Bullying and Harassment Relationship-Building Skills School Dropout Prevention Re-Entry Programs and Transition Services for Justice Involved Youth School Readiness and Academic Success Child Sexual Abuse Awareness and Prevention Reducing Use of Exclusionary Discipline Practices and Promoting Supportive School Discipline Suicide Prevention Violence Prevention, Crisis Management and Conflict Resolution Preventing Human Trafficking Building School and Community Relationships Culturally Responsive Teaching and Professional Development of Implicit Bias 	 Drug and Violence Prevention Health and Safety Practices in School or Athletic Programs School-Based Health and Mental Health Services Healthy, Active Lifestyle, Nutritional Education Physical Activities Trauma-Informed Classroom Management Preventing Use of Alcohol, Tobacco, Marijuana, Smokeless Tobacco, Electronic Cigarettes Chronic Disease Management
Mentoring and Schoolwide Positive	g Authorized Topics d School Counseling e Behavioral Interventions ligned with the purposes of <i>Title IV</i>

*NOTE: This chart provides a summary of topics and is not an exhaustive list

Objective - Better understand Title IVA grant to help determine districts' use of TIVA funds.



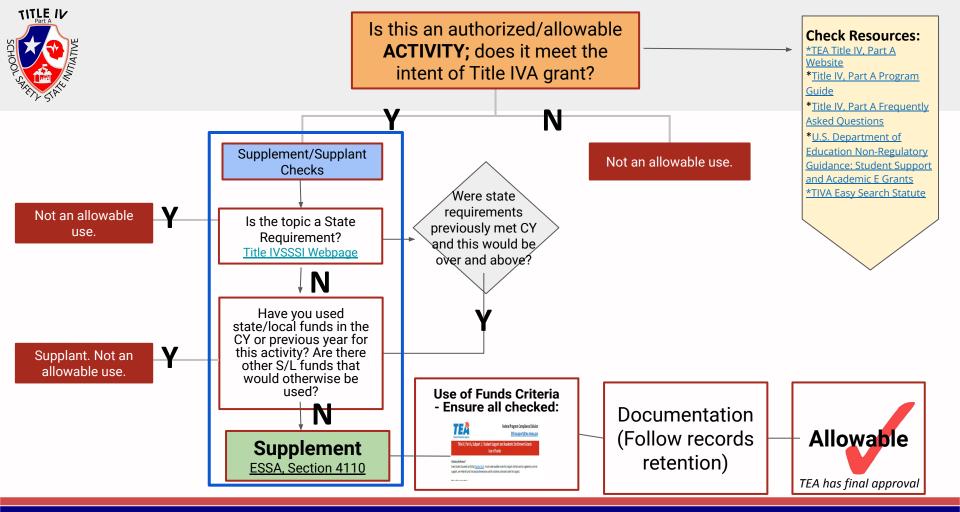




School Safety & Security

Psychological & Physical Safety

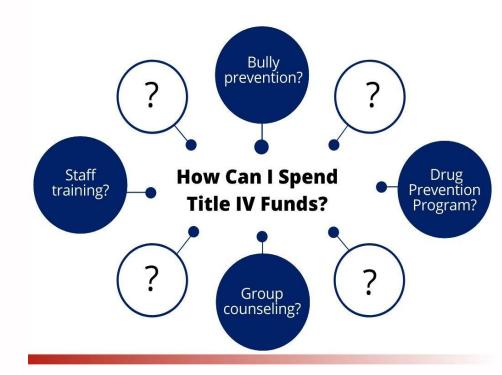
State Law





Scenario 1...

Can we use funds to support professional development in Trauma-Informed Care?



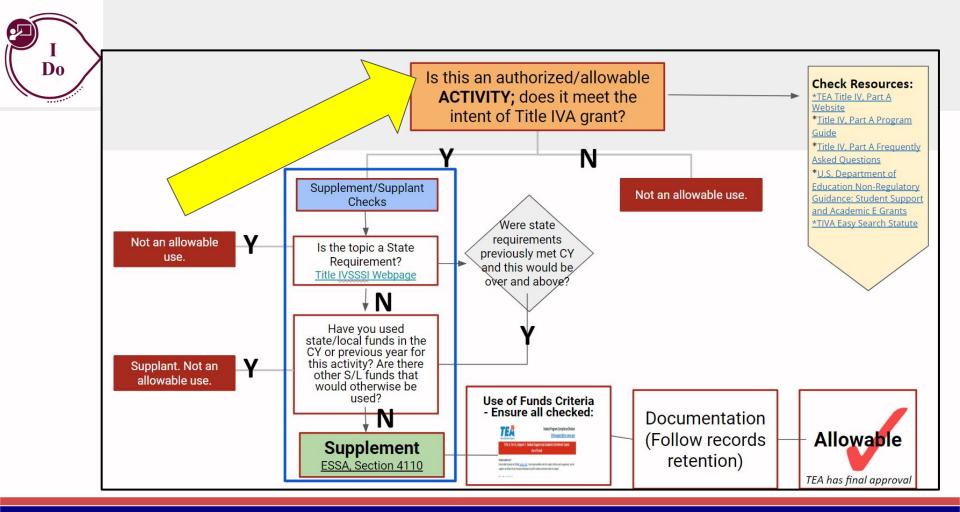


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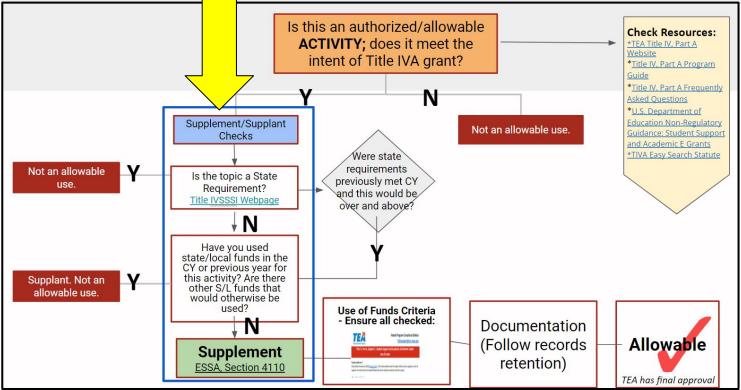
*NO

TIVA State Initiative Website



Would using TIVA funds Supplement or Supplant activity?

Do



Supplement or Supplant Review

S

Title IVA activities (supplement state and local)

State/Local Activities

Used with permission from Vivian Smiryl, TEA

Supplement or Supplant Review

TEXAS Education Agency

Traditional Presumptions of Supplanting

An auditor will presume that supplanting occurs if the federal funds—

- Provide services/activities required by State or local law;
- Provide same services/activities as those provided in prior school year with State or local funds;
- Provide same services/activities in Federal and non-Federal programs.



Used with permission from Vivian Smiryl, TEA



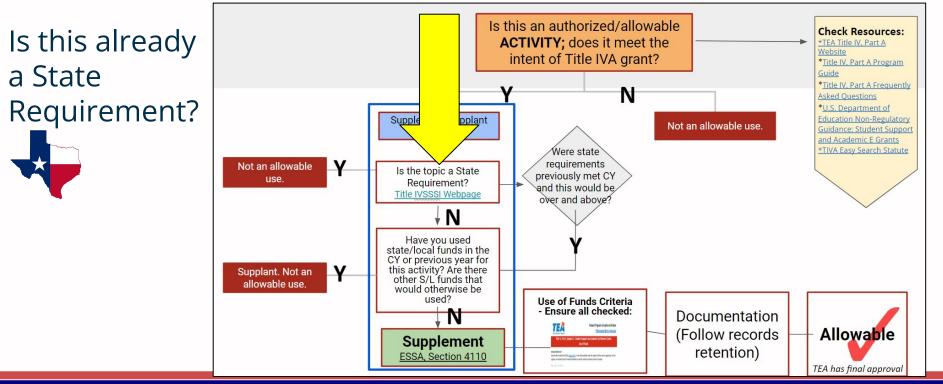


Rebutting the Presumptions of Supplanting

The LEA is responsible for providing documentation to sebut a presumption of supplanting.

- Documentation of rescission of funds or budget reduction.
- Documentation that prior fund source is no longer available.
- Written, contemporaneous documentation!









How do I know if this is a State Requirement?

- 1. TIVA School Safety State Initiative Website/<u>District Resources</u>
- 2. LEA's TASB Policy online (Policy = Law)
- 3. TASB training requirements list
- 4. TEA Title IV, Part A FAQ





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Does this Supplement or Supplant?





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		Traun	na Informo	ed	Search Search Go to Policy Code	Welcome! South Texas ISD's board policy manual can be navigated in the following ways: • Search for policies by key word(s) or use <u>Advanced Search</u> for more specific results. • Use Go To Policy Code to look up a specific policy.
7		Google S Local Dis	strict		Go Browse Sections Introduction A. Basic District Foundations B. Local Governance C. Business and Support Services	 Browse Sections to view the table of contents for each section of the manual. Use the Topic Index to find important terms. To learn more about the policy manual: Read the introduction to learn how the policy manual is organized. Consult the help page for more tips on navigating the policy manual, performing searches, and working with policy documents.
		Policy Or	n Line		D. <u>Personnel</u> E. <u>Instruction</u> F. <u>Students</u> G. <u>Community and Governmental</u> Relations	<u>Copyright and Disclaim</u> Accessibility Stateme

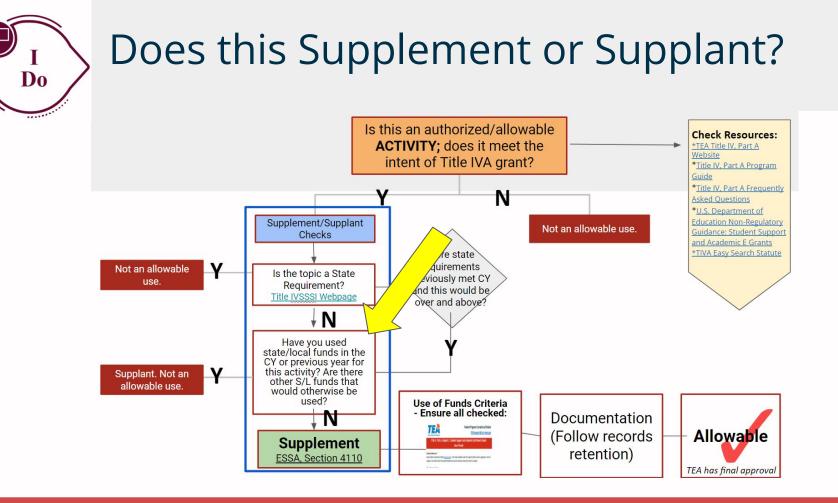


TASB training requirements list School District Training Chart Published online in TASB School Law eSource

A variety of staff development trainings are required or recommended for school employees. The requirements are scattered throughout statutes and policies. Some are mandated by law; others are recommended as risk management practices. This chart organizes the requirements and recommendations into a series of lists, organized by topic. Some requirements and recommendations appear under more than one topic to assist the reader.

Student Discipline Special Education Students with Disabilities Student Welfare Student Health and Safety Emergency Operations Employee Welfare Facilities Management Instructional Programs Human Resource Management Financial Matters Volunteers Law Enforcement Technology

 $\ensuremath{\textcircled{\sc 0}}$ 2021. Texas Association of School Boards, Inc. All rights reserved. TASB Legal Services





Previous/Current Use of Funds?

A source to check:

District's <u>School</u> <u>Safety Allotment</u> <u>Report</u>

School Safety Allotment Survey (HB1525, Section 31)

The sixth pillar of the SSSP requires team members to support facility safety and security. In addition, the 86th Legislature passed Senate Bill (SB) 11, which created an annual school safety allotment to help fund equipment, programs and training related to school safety and security. More recently, the 87th Legislature enacted House Bill (HB) 1525 that included a provision requiring TEA to publish an annual report regarding the programs, personnel, resources and other purposes section for which the funds were used by LEAs.

To meet this statutory requirement, TEA is adding school safety allotment expenditure questions that LEAs must answer during the **November 2022** SSSP data collection survey for the 2021-2022 school year. Accordingly, LEAs should begin gathering and collecting information and tracking all expenditures of their school safety allotment for their 2022 fiscal year. If funds were expended on items not listed in the survey, LEAs should account for those expenditures under the "other expenditures" question in the survey. The November 2022 SSSP and school safety allotment survey appears below:

(1) Securing school facilities, including:

(A) improvements to school infrastructure:



Enter Amount

(B) the use or installation of physical barriers:

(C) the purchase and maintenance of:

(i) security cameras or other security equipment:

Enter Amount

Check TIVA FAQs

- Q4. Are Title IV, Part A funds allowable to cover the purchase of curriculum, programs. and training for mental health?
- A4. Yes. LEAs may purchase curriculum for evidence-based programs and training objectives listed in FAQ #1 to support school mental health. Costs for any supp advanced training plus any curriculum materials, staffing, or coaching that the reasonable and necessary to implement the related program activities in a scho IV, Part A.

The following types of basic training required by the state may not be funded b

- Suicide prevention/intervention/postvention
- Recognizing mental health conditions/prevention/intervention
- Substance abuse prevention/intervention
- Grief and trauma-informed practices
- Building/maintaining positive relationships and good decision making
- Bullying prevention

Do

Child maltreatment

For example, if an LEA provides basic trauma informed care training that is required for educators by purchasing an online subscription to a trauma-informed care training with Foundation School Program (FSP) or local funds, then the LEA could supplement that required training with supplemental and advanced training with Title IV, Part A; such as the Trauma Sensitive Schools Framework or PBIS-Integrated Systems Framework for Mental Health or another initiative for school mental health training. In this scenario, Title IV Part A could pay for the supplemental training and curriculum, material training, and coaching that is reasonable and necessary to build out a trauma-informed school on a system an evidence-based strategy for schools.

*Q3. May Title IV, Part A funds be used to support professional development on Trauma-Informed Schools?

*A3. Trauma-Informed Care is a state requirement per Texas <u>H.B. 496</u>. Therefore, Title IV, Part A funds may not be used to support the state requirements listed in the bill. If there are professional development activities that *are not* listed in state regulations, which would be supplemental and meets the requirements listed in the <u>Title IV</u>, Part A Use of Funds document, those activities may be allowable.



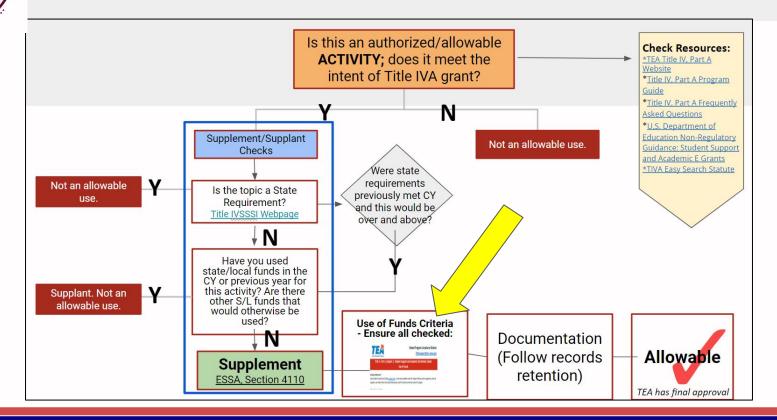
Rebutting the Presumptions of Supplanting

The LEA is responsible for providing documentation to rebut a presumption of supplanting.

- Documentation of rescission of funds or budget reduction.
- Documentation that prior fund source is no longer available.
- Written, contemporaneous documentation!

Review Allowable Cost Criteria

Do





Review Allowable Cost Criteria

Ensure all checked: <u>Title IV, Part A, Subpart 1: Student</u> <u>Support and Academic</u> <u>Enrichment Grants Use of Funds</u>





ESSAsupport@tea.texas.gov

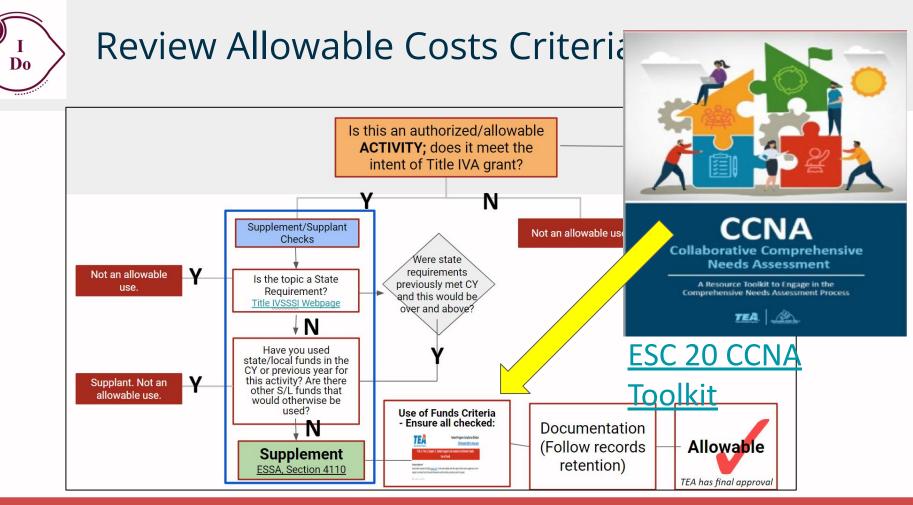
Title IV, Part A, Subpart 1: Student Support and Academic Enrichment Grants Use of Funds

Statutory Reference*

Every Student Succeeds Act (ESSA), Section 4110. Funds made available under this subpart shall be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities authorized under this subpart.

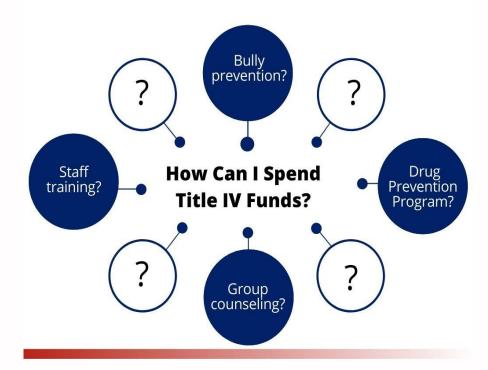
Allowable Costs Criteria

- Ensure that the LEA has prioritized distribution of Title IV, Part A funds to applicable school campuses [ESSA, Section 4106(2)(A)]
- 2. Ensure that the LEA has consulted with private nonprofit (PNP) schools [ESSA, Section 4106(2)(B)];
- 3. Ensure that activities and/or resources are -
 - Supplemental [ESSA, <u>Section 4110</u>];
 - Identified in required <u>Comprehensive Needs Assessment</u> which includes data and all <u>stakeholders</u> [ESSA, Sections <u>4106(2)</u> and <u>4106(c)(1)</u>];
 - The planning process meets the requirements for continued consultation of stakeholders and coordination [ESSA, Section 4106(c)(2)];
 - Reasonable;
 - Necessary to carry out the intent and purpose of the Title IV, Part A program;
 - o Allocable; and
 - Allowable under Title IV, Part A
- 4. Ensure that the expenditure(s) meet all EDGAR requirements;
- 5. Ensure that all LEA policies and procedures were followed;
- 6. Ensure if Title IV, Part A allocation is over \$30,000 [ESSA, Section 4106(e)(2)(C-E)];
 - At least 20% of funds to support Well-Rounded Education Opportunities
 - At least 20% of funds to support Safe and Healthy Students
 - Not more than 15% to support the Effective Use of Technology;
 - The remaining 85% of the funds for this content area must be used for professional development in the use of technology and data;
- 7. Ensure that no more than 15% is used for purchasing technology infrastructure [ESSA, Section 4109(b)];
 - Technology infrastructure (devices, equipment, and software applications to address readiness shortfalls) [ESSA, Section 4109(a)(2)(B)];
- 8. Ensure that if Title IV, Part A allocation is less than \$30,000
 - The planning process meets the requirements for continued consultation of stakeholders and coordination [ESSA, Section 4106(c)[2]]; and
- 9. Ensure an evaluation of Title IV, Part A program effectiveness is periodically conducted by the LEA and includes:
 - A description of program objectives and intended outcomes for all Title IV, Part A activities and how the LEA will periodically evaluate the effectiveness of the activities [ESSA, <u>Section 4106(e)(1)(E)</u>].





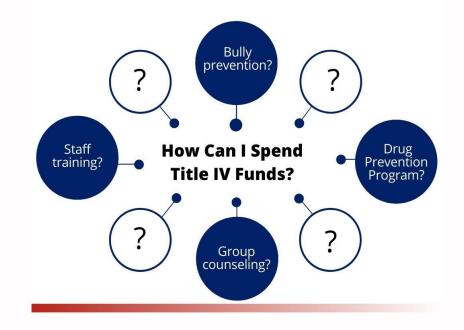
SO... Can you use funds to support professional development in Trauma-Informed Schools?

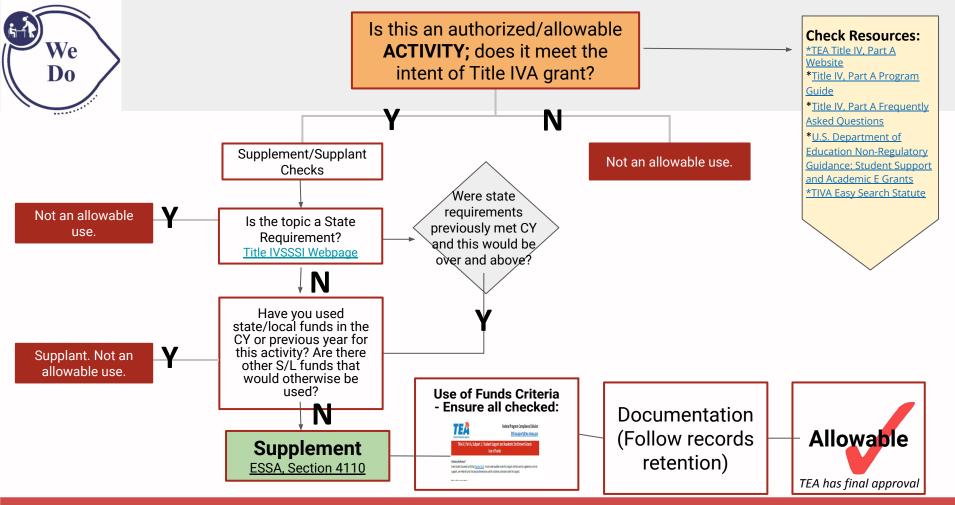




Scenario 2...

May Title IV, Part A funds be used to support training sessions for staff in bleeding control stations?



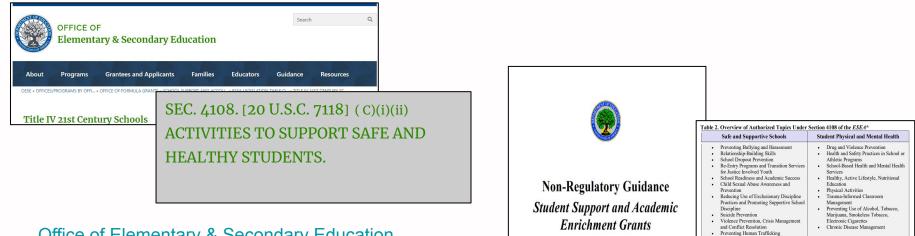




State Initiative Website

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	Title IV State Initiative			TITLE IV
7	District/LEA Resources		11	
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/	Parent Resources	Texas Education Agency		SPIELE
	TEA Resources			. 1 2
	Title IV SI FAQ	District/LEA Resources		
		Required Training		
		Title IV Resources		
		Office of Elementary & Secondary Education Title IV 21st Century Sch	hools Statute	
		Every Student Succeeds Act Title IV, Part A Statute (easy to search)		
		US Department of Education Title IV, Part A Non-Regulatory Guidance	e	
		TEA Title IV, Part A Webpage		
		Title IV, Part A Program Guide 2.0		





Office of Elementary & Secondary Education Title IV 21st Century Schools Statute

> https://www2.ed.gov/policy/elsec/leg/essa/ess assaegrantguid10212016.pdf

. Relationships Culturally Responsive Teaching and

Building School and Community

Professional Development of Implicit Bias

*NOTE: This chart provides a summary of topics and is not an exhaustive list

Cross Cutting Authorized Topics Mentoring and School Counseling Schoolwide Positive Behavioral Interventions Pay for Success Initiatives aligned with the purposes of Title IV



as Education Agency	
as Education Agency	

TITLE IV, PART A, SUBPART 1: STUDENT SUPPORT AND ACADEMIC ENRICHMENT PROGRAM GUIDE

Federal Program Compliance Division Department of Grant Compliance and Administration (512) 463-9499 ESSASupport@tea.texas.gov

3. Programs or activities that-

- integrate health and safety practices into school or athletic programs;
- support a healthy, active lifestyle, including nutritional education and regular, structured physical education activities and programs, that may address chronic disease management with instruction led by school nurses, nurse practitioners, or others to help maintain the well-being of students;



ESSASupport@tea.texas.gov

Title IV, Part A Frequently Asked Questions

This document provides the answers to program-related questions received by the Division. You can also navigate through the document using the Bookmarks in your PDF viewer. The newest questions that have been added will be noted by "*" and in red font.

For questions or additional information, please contact us at ESSASupport@tea.texas.gov.

Questions and responses are organized by the following topic areas:

- Federal Requirements
- Supplant, Not Supplant (SNS) Requirements
- Comprehensive Needs Assessment (CNA)
- Use of Funds
- Well-Rounded Education Opportunities
- Safe and Healthy Students
- Effective Use of Technology
- Private Nonprofit (PNP) Equitable Services
- Mental Health Services
- Parent and Family Engagement
 Shared Services Agreement (SSA)
- <u>Shared Services Agreement (SSA)</u>
 <u>Flexibility, Transferability, Waivers, and Carryover</u>
- State Requirements

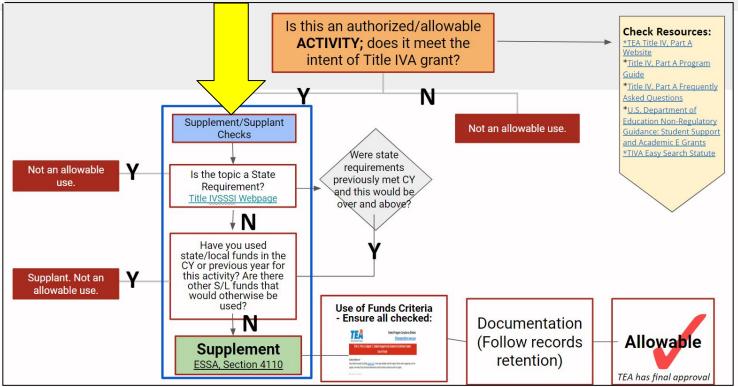
Federal Requirements

Q1: What requirements should an LEA consider when determining if Title IV, Part A funds may support

- *Q4. May Title IV, Part A funds be used to support training sessions for staff in bleeding control stations?
- *A4. No, it is a state requirement. Texas <u>H.B. 496</u> requires bleeding control stations, stop the bleed kits, instructions, and training for all charter schools and districts. Therefore, using Title IV, Part A funds for this state requirement would be supplanting.

Would using TIVA funds Supplement or Supplant activity?

We Do





Supplement or Supplant activity?



How do I know if this is a State Requirement?

- 1. TIVA School Safety State Initiative Website/<u>District</u> <u>Resources</u>
- 2. LEA's TASB Policy online (Policy = Law)
- 3. TASB training requirements list
- 4. TEA Title IV, Part A FAQ





District/LEA Resources

Required Training	
Title IV Resources	•
Mental Health	*
Safety	<u> ۸</u>
AED/CPR Training	
Bully Prevention	
Conflict Resolution	
Dating Violence	
Extracurricular Safety Training	
Multi-Hazard Emergency Operation Plan	
Traumatic Bleeding Protocol	Bleeding

TIVA State Initiative Website

Does this Supplement or Supplant?

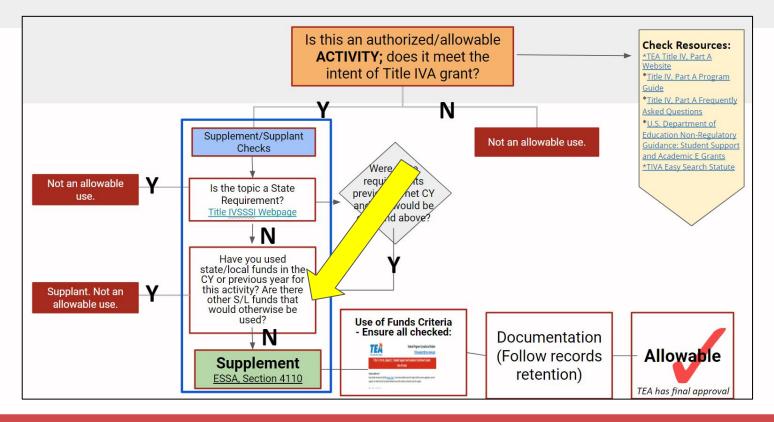
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 Use of Funds 		
	Education Opportunities	
 Safe and Health 		
Effective Use of		
	fit (PNP) Equitable Services	
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	sferability, Waivers, and Carryover	
State Requirem		
Federal Requirement	nts	
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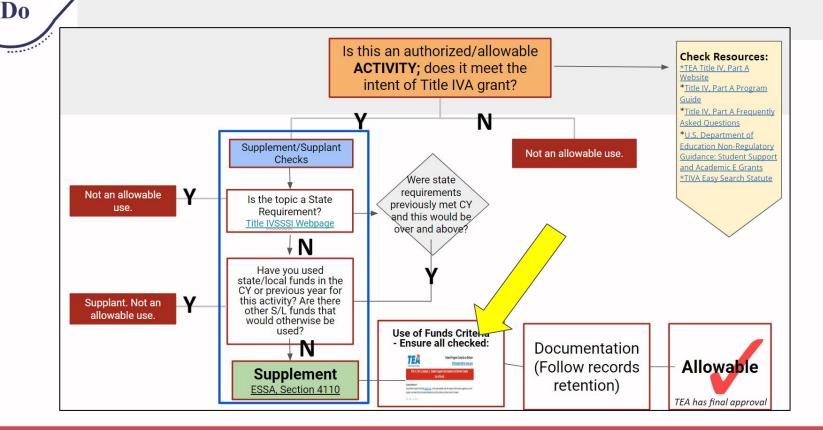
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Review Allowable Cost Criteria

We



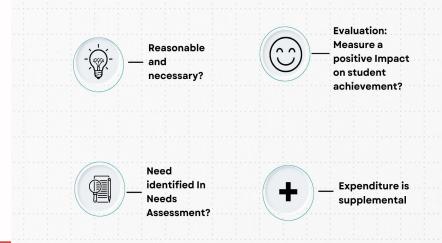


Review Allowable Cost Criteria

Ensure all checked:

Title IV, Part A, Subpart 1: Student

Support and Academic Enrichment Grants Use of Funds





Title IV, Part A, Subpart 1: Student Support and Academic Enrichment Grants Use of Funds

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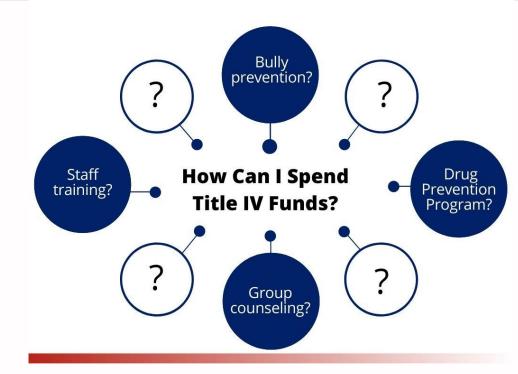
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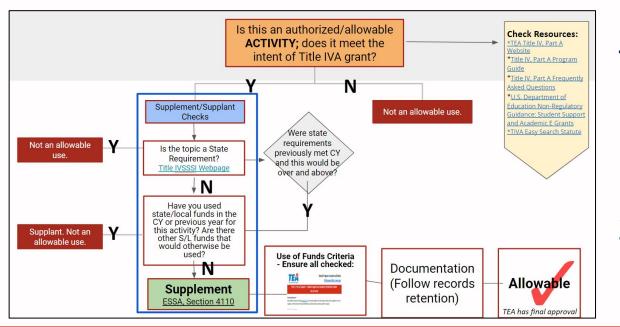
Scenario 2...

May Title IV, Part A funds be used to support training sessions for staff in bleeding control stations?





Funding Question Tool



Today's Resources





If you have questions about Title IVA Funds

LaNetra Guess

Title IV, Part A, Program Director PNP Ombudsman LaNetra.Guess@tea.texas.gov (512) 463-6939

Federal Program Compliance Division ESSASupport@TEA.Texas.gov 512-463-9499



If you have questions about Supplement not Supplant

Vivian Smyrl Texas Education Agency Vivian.Smyrl@TEA.Texas.gov 512-936-9216

Federal Program Compliance Division ESSASupport@TEA.Texas.gov 512-463-9499



Title IV, Part A School Safety State Initiative



Rod Pruitt, ESC 14 Title IV, Part A School Safety State Initiative Coordinator <u>rpruitt@esc14.net</u> 325-675-8646



Nancy T. Galle, ESC 14

Title IV, Part A School Safety State Initiative Project Manager/ Consultant <u>ngalle@esc14.net</u> 325-675-7007



TEA Title IV, Part A Resources

- <u>Title IV, Part A, Subpart 1 Program Guide</u>
- Texas Education Agency <u>Supplement, Not Supplant Handbook</u> <u>Handbook</u>, version 4.0, revised Sept 2019
- <u>Title IV, Part A Use of Funds Criteria</u>
- <u>TEA Title IV, Part A Frequently Asked Questions</u>
- <u>ESSA Consolidated Plan Application Program Guidelines</u>
- Program-Specific ESSA Provisions and Assurances
- <u>Collaborative Comprehensive Needs Assessment (CCNA) Toolkit</u>



Definitions

Is the expenditure:

- **Reasonable:** consistent with prudent business practice and comparable current market value
- **Necessary:** required to carry out the intent and purpose of the Title IV, Part A program; and
- **Allocable:** chargeable or assignable in accordance with relative benefits received.



Unallowable Use of Funds

Title IV, Part A Statute General Provisions

- Section 4001(b)
 - Medical services, drug treatment, or rehabilitation not integrated through Title IV, Part A student supports, referral to treatment, etc.,
- Section 4001(c)
 - Prescriptions for controlled substances

ESSA Statute, Part F, Subpart 2: Uniform Provisions

- Section 8526
 - Construction, renovation, or repair

TEA Budgeting Cost Guidance Handbook



- ESSA Title IV, Part A Statute
- U.S. Department of Education (USDE) Non -Regulatory
 Informational Document, June 2019
- <u>National Center on Safe Supportive Learning Environments: ESSA</u> <u>Title IV, Part A</u>